1 2 BEFORE THE ILLINOIS POLLUTION CONTROL BOARD 3 IN THE MATTER OF: ) 4 ) DAVID & SUSI SHELTON, ) Petitioners, 5 ) ) 6 vs. )PCB 96-53 )VOLUME 5 7 A. STEVEN & NANCY CROWN, ) ) 8 Respondents. ) 9 10 REPORT OF PROCEEDINGS taken in the 11 above-entitled matter, taken before MS. JUNE 12 EDVENSON, Hearing Officer for the Illinois 13 Pollution Control Board, commencing on the 16th 14 day of July, A.D., 1996 at the offices of the 15 Pollution Control Board, 100 W. Randolph Street, 16 Chicago, Illinois, at approximately 9:00 a.m. 17 18 19 20 21 22 23 24

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| 1  | PRESENT:             |                         |
|----|----------------------|-------------------------|
| 2  |                      |                         |
| 3  | FOR THE PETITIONERS: | THE JEFF DIVER GROUP    |
| 4  |                      | BY: MR. JEFFREY DIVER   |
| 5  |                      | MR. STEVEN KAISER       |
| 6  |                      | 45 South Park Blvd-270  |
| 7  |                      | Glen Ellyn, IL 60137    |
| 8  |                      |                         |
| 9  | FOR THE RESPONDENTS: | GOULD & RATNER          |
| 10 |                      | BY: MR. RICHARD ELLEDGE |
| 11 |                      | MR. ROBERT CARSON       |
| 12 |                      | 222 N. LaSalle Street   |
| 13 |                      | Chicago, IL 60601       |
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| 5  | . Ц                                                     | OFR'D             | REC ' D           |
| 6  | Exhibit No. 48                                          |                   |                   |
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1 HEARING OFFICER: Welcome. We will 2 resume proceedings in the case PCB 96-53, the 3 Sheltons versus the Crowns. And, we're in the midst of the direct testimony of Mr. David Shelton 4 for Complainant's case in chief. 5 6 MR. KAISER: Thank you very much, Madam 7 Hearing Officer. Good morning, Mr. Shelton. 8 MR. CARSON: Would it be okay if I raised 9 a scheduling issue, just because when I saw Mr. Diver walk out, I thought perhaps there's another 10 witness coming. We're trying to determine if 11 12 we'll be starting our case this afternoon. 13 MR. KAISER: I believe you will be. 14 MR. CARSON: So, you'll probably be done by noonish, you think? 15 16 MR. KAISER: Yes. 17 MR. CARSON: Thank you. HEARING OFFICER: You may proceed. 18 19 MR. KAISER: Good morning, Mr. Shelton? 20 Good morning. Α. 21 MR. KAISER: Mr. Shelton, you'll recall 22 when we adjourned --HEARING OFFICER: Excuse me, perhaps we 23 should have the re-swearing of the witness. 24

1 (Witness sworn) DAVID SHELTON, 2 after having been first duly sworn on oath, 3 deposes and testifies as follows: 4 DIRECT EXAMINATION 5 6 BY MR. KAISER: 7 Q. Mr. Shelton, you'll recall that when we 8 adjourned late in the afternoon last Friday, we 9 were reviewing a letter dated October 11th, 1993 which you had sent to Steven Crown. Do you recall 10 just generally that that was where we were in your 11 12 testimony? 13 Α. Yes, I do. And that we'd covered issues like the 14 Ο. test firing of the Crown air conditioner unit in 15 September of 1993 and those matters? 16 17 Α. Yes. And now, we're into October of 1993. 18 ο. 19 And, I want to draw your attention to the first 20 paragraph of the letter dated October 11th, 1993 and previously identified for the record as 21 Exhibit 49. And, it states with reference to the 22 air conditioner as follows: "The noise is 23 unbelievable. It penetrates our entire house, 24

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1 even with closed windows. We actually have vibration from it in our windows and china. And, 2 the sound echos throughout our backyard." Was 3 that, in fact, a true statement on or about 4 October 11th, 1993 concerning the sound eminating 5 6 from the Crown air conditioning unit? MR. CARSON: Object to the form of the 7 8 question as leading. 9 HEARING OFFICER: Could you rephrase the 10 question? MR. KAISER: Yes, I could. 11 12 BY MR. KAISER: 13 Did you write that, essentially, the Q. first paragraph of this letter and, in fact, did 14 you write that entire letter? 15 Yes, I did. 16 Α. 17 Q. And, are the things, have you had a chance to review that letter in preparation for 18 19 your testimony --20 Α. I have. -- here today? And, are the matters set 21 Ο. forth in that letter true and accurate? 22 23 Α. They are. Thank you. And, is this, in fact, a true 24 Q.

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1 and accurate copy of the letter that you sent to Steven Crown on or about October 11th, 1993? 2 3 Α. Yes, it is. Thank you, thank you very much. 4 Ο. Now, I'd like to move forward -- strike 5 б that -- did you have any conversations with Steven 7 Crown in the latter part of 1993 concerning the air conditioning unit? 8 9 I had the conversation the day after the Α. 10 test firing in September. Q. And, was that the telephone conversation 11 12 you had with Mr. Crown where you called him at his 13 office? 14 Α. It was, it was. 15 Q. All right. I, along with my wife, subsequently had 16 Α. 17 the conversation I testified to last Friday with Mr. Keller where we discussed relocation of the 18 19 unit. 20 Q. And, Mr. Keller, again, to recapitulate 21 the testimony, was the construction superintendent for Mr. Crown? 22 I don't know his exact title, but it's my 23 Α. understanding that that's the role he was playing, 24

yes.

1

2 Q. All right. When we didn't hear back from Mr. Keller, 3 Α. who we expected to hear back from about 4 relocation, we then wrote this letter. I did 5 б not--7 Q. This letter being the October 11th, 1993 8 letter? 9 Α. Yes. I did not receive a response to the 10 ο. letter and didn't have a conversation that I 11 12 recall with Mr. Crown for some months, though my 13 wife had a conversation with him. Q. All right. And what is the, do you 14 recall that the Crown air conditioning unit was 15 turned on again in April of 1994? 16 17 Α. Yes, it was. What, if anything, occurred in connection 18 Q. 19 with the air conditioning unit between October 20 11th, 1993 when you wrote the letter that's been previously identified as Exhibit 49, and the time 21 in April of 1994 when the Crown air conditioning 22 unit was turned on? 23 I know only what I've been told and heard 24 Α.

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1 in the earlier testimony. And, I'm not sure I 2 recall the exact chronology, but apparently the 3 unit was turned and at some point there might have 4 been some insulation put on the inside. There was 5 supposed to have been a meeting with the Trane 6 engineers in early January, which was to include a 7 visit--Trane engineers were to meet at the Crown 8 house, which was to include a visit to our house. 9 And, we waited for them to come and they never came. And, we were never told that the meeting 10 had been cancelled. 11 12 MR. CARSON: Your Honor, object to the 13 last portion of the answer as being without 14 foundation and being non-responsive to the question in the narrative form. We move to strike 15 16 it. There was supposed to be a meeting, there is 17 no foundation for that, your Honor. MR. KAISER: I can lay a foundation for 18 19 that, Madam Hearing Officer. 20 HEARING OFFICER: I will permit the 21 counsel to lay a foundation. MR. KAISER: Thank you. 22 BY MR. KAISER: 23 Mr. Shelton, sometime in the latter part 24 ο.

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1 of the 1993 or early part of 1994, did you become 2 aware that there was a meeting scheduled to take 3 place on or about the Crown residence sometime in January of 1994 for the purposes of discussing the 4 air conditioner and the noise eminating from the 5 6 Crown air conditioner? 7 Α. Yes. 8 Q. How did you become aware of that proposed 9 meeting? Mr. Crown talked with my wife and asked 10 Α. if it would be all right for them to come to our 11 12 house. 13 MR. CARSON: Objection, hearsay. Move to 14 strike the response and the reference to the 15 "there was supposed to be a meeting". MR. KAISER: I don't know that we're 16 trying to prove any of the essential elements of 17 the case, it's more of a foundational matter. So, 18 19 I don't know that hearsay would necessarily 20 preclude introduction of that statement for those 21 limited purposes. MR. CARSON: I'm not aware that there's 22 any aspect of this case where it would be relevant 23 that there was supposed to be, a meeting didn't 24

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1 take place. What, clearly, what they're trying to 2 do is to create the impression that nothing was 3 done, and that's the purpose that they're doing 4 this. They're trying to establish there was a meeting scheduled and it didn't happen. And, Mrs. 5 6 Shelton was here, and if she was engaged in a 7 conversation with Mr. Crown at which this was 8 discussed, then she should have so testified, but 9 she didn't. HEARING OFFICER: Counsel, what is your 10 purpose with this testimonial approach? 11 12 MR. KAISER: I'm just trying to put 13 before the Board the chronology of events here and 14 efforts made to come up with a solution and, frankly, unsuccessful efforts. And those efforts 15 16 included a proposed meeting in January of 1994, 17 which I believe Mr. Shelton will testify that he was at his home with his wife awaiting 18 19 representatives of Mr. Crown and the Trane 20 engineers, and those people never appeared. And, 21 there was no explanation offered for their failure 22 to appear. I think it's relevant and material to the Board's consideration of these events. 23 HEARING OFFICER: The objection is 24

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1 sustained with respect to what another person said. The witness may testify to what his 2 3 understanding was at any point in time. BY MR. KAISER: 4 Q. Mr. Shelton, in the latter part of 5 б December, 1993 or early part of January, 1994, did 7 you form a belief as to whether there was going to 8 be a meeting at the Crown residence to discuss 9 problems associated with Crown air conditioning 10 system? 11 Α. Yes. 12 Q. When did you form a belief as to when 13 that meeting was to occur? 14 Α. It was my belief it was to occur in early January. I don't recall the exact date at this 15 16 moment. 17 ο. And, that would be January of 1994? 18 Α. Yes. 19 What, if any, steps did you personally Q. 20 take to make yourself available for that meeting? 21 Α. I didn't go to the office first thing in the morning as I normally do, I waited at home. 22 Do you recall how long you waited at 23 ο. home? 24

| 1  | A. I waited until midmorning, 10:00                |
|----|----------------------------------------------------|
| 2  | o'clockish.                                        |
| 3  | Q. And, to the best of your recollection,          |
| 4  | that was sometime in the early portion of January, |
| 5  | 1994?                                              |
| 6  | A. Yes.                                            |
| 7  | Q. And, on that morning where you stayed           |
| 8  | home from the office, waited at your home, did you |
| 9  | have any conversation was Mr. Crown or any agents  |
| 10 | or representatives of Mr. Crown?                   |
| 11 | A. No.                                             |
| 12 | Q. And, at what point did you make the             |
| 13 | determination that it was more fruitful to go to   |
| 14 | the office?                                        |
| 15 | A. Midmorning.                                     |
| 16 | Q. To this day, have you, do you have any          |
| 17 | understanding as to why a meeting that you had     |
| 18 | formed a belief was going to occur in early        |
| 19 | January of 1994 at the Crown residence for the     |
| 20 | purpose of discussing the Crown air conditioning   |
| 21 | system, why that meeting did not occur?            |
| 22 | MR. CARSON: Objection, no foundation.              |
| 23 | MR. KAISER: All we're asking for is any            |
| 24 | belief. If we get that there is a belief, then we  |

1 can put in the foundation. But, we don't need to lay a foundation if, in fact, there is no belief. 2 HEARING OFFICER: Overruled. 3 I have no belief as to why it didn't 4 Α. I don't know why it didn't occur. 5 occur. 6 BY MR. KAISER: 7 Q. Do you recall when the Crown air 8 conditioning system was turned on in April of 9 1994? It was in the third week of April, as I 10 Α. recall. 11 12 Q. Do you recall what the Crown air 13 conditioning system sounded like when it was 14 started up again in the third week of April, 1994? It was terribly loud and disruptive. 15 Α. 16 ο. Was it disruptive when you were inside 17 your home? 18 Α. Yes, it was. 19 Do you recall whether your windows were Q. 20 open or closed during the third week of April, 1994? 21 22 Α. We were unable to keep our windows open. We, in fact, even left the storm windows up, 23 excuse me, left the storm windows on to try to 24

1 reduce the noise.

| -  |                                                    |
|----|----------------------------------------------------|
| 2  | Q. Did keeping the storm windows closed            |
| 3  | reduce, did you perceive it as reducing the noise  |
| 4  | from the Crown air conditioning system?            |
| 5  | A. It perhaps reduced the noise, I don't           |
| б  | really know whether it reduced it very much or     |
| 7  | not. I know that the noise was unbearable, even    |
| 8  | with them on.                                      |
| 9  | Q. And, can you describe for the Board some        |
| 10 | of the activities that were made more difficult or |
| 11 | impossible because of the noise, the unbearable    |
| 12 | noise coming from the Crown air conditioning unit  |
| 13 | in the third week of April, 1994?                  |
| 14 | A. Yes. The noise, it was an extensive,            |
| 15 | deep, powerful drone that penetrated the house.    |
| 16 | And, it was interspersed with huge sound surges,   |
| 17 | booms, if you will, as different components of the |
| 18 | system kicked in and out. At times, particularly   |
| 19 | in the evening, we could also hear higher pitched  |
| 20 | sound such as a waterfall and hear also beating    |
| 21 | sounds, which I'm told were probably different     |
| 22 | phases of the system or different components of    |
| 23 | the system going in and out of phase with each     |
| 24 | other. The combination of these various noises     |
|    |                                                    |

1 had a lot of impact physically on the family, 2 which I believe my wife testified to, such as loss 3 of sleep, my son having to move out of his room, 4 our inability to use our backyard or our patio. Extreme tiredness, depression and anxiety resulted 5 in the family. We were all very jumpy, more short 6 7 tempered than normal. I rambled a bit, I'm not --8 Thank you. And, do you recall for how Q. 9 long the Crown air conditioning unit remained on 10 once it was re-started in the third week of April, 1994? 11 12 Α. It was on only during the daytime hours 13 until Father's Day weekend in June. Father's Day 14 weekend in June, it was on 24 hours a day. Then, 15 it operated during the daytime hours only until the last few days of June when it went back on 24 16 17 hours a day and remained that way for the rest of 18 the cooling season. 19 During that Father's Day weekend of 1994, Q. 20 and we've heard testimony from your wife and from 21 your son concerning the impact of the unit on 22 them, can you tell us how you experienced the 23 sound from the Crown air conditioning unit during the Father's Day weekend of 1994, what affects did 24

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1 it have on you?

|    | -                                                 |
|----|---------------------------------------------------|
| 2  | A. Again, the physiological reactions and         |
| 3  | emotional reactions such as stress and anxiety    |
| 4  | were the same as they described. I, in addition   |
| 5  | to what they've said, which I think I could       |
| б  | incorporate as being very much how I felt, felt a |
| 7  | particular, concern may be too mild a word,       |
| 8  | helplessness. As head of the family, here I was   |
| 9  | seen by a family unable to sleep. My wife         |
| 10 | actually in tears, my son telling me dad, I can't |
| 11 | sleep in my room. I tried to barbeque that        |
| 12 | weekend for Father's Day, barbeque, and it was    |
| 13 | horribly depressing. Every time the compressors   |
| 14 | would kick on and off, I would start. In my mind, |
| 15 | it was nothing short of a torture, and it was     |
| 16 | beyond my control. I've never felt so helpless    |
| 17 | and impotent in my life.                          |
| 18 | Q. Did those feelings continue throughout         |
| 19 | July of 1994?                                     |
| 20 | A. Yes, they did andyes, they did.                |
| 21 | Q. Did those feelings continue throughout         |
| 22 | August of 1994?                                   |
| 23 | A. Yes, they did and some new feelings            |
| 24 | actually came into being. One was the fact that   |
|    |                                                   |

1 I'm, there is nowhere to go to escape this thing. 2 It's like being seasick on a boat in the middle of 3 Lake Michigan. It's, for anyone who has 4 experienced that, it's a horrible feeling and 5 there's absolutely nowhere you can go to get away 6 from it. So, there was that sense of lack control 7 over my life. There was a sense that this 8 violation of the sanctity of our home was a 9 violation of us, perhaps something akin to what a 10 rape victim must feel when you've lost something 11 basic and fundamental that is yours, which is your 12 ability to enjoy your family and enjoy your home 13 during the summertime. Those kinds of feelings. 14 The fact that this was happening beyond my control 15 intensified as the summer went on.

Now, I want to pull back in time a moment 16 Ο. 17 here, and I want to direct your attention to June 30th of 1994. I want to show you what's 18 19 previously been marked for purposes of 20 identification as Exhibit 48. I want to show you 21 that. It's a letter from David Shelton to Steven Crown dated June 30th, 1994. And, as I said, 22 23 previously marked for purposes of identification as Exhibit 48. Do you recognize that letter? 24

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1 Α. I do. Did you write this letter? 2 Q. 3 Yes, I did. Α. Did you mail this letter to Mr. and Mrs. 4 Ο. A. Steven Crown on or about June 30th, 1994? 5 6 Yes, I did. Α. 7 I note in the roughly upper right third, Q. it indicates certified mail. Do you know whether 8 9 you sent this letter via certified mail? 10 Α. Yes, I did. Do you recall that sometime in June or 11 Q. thereabouts of 1994, the Crowns caused to be 12 13 installed fences, trees and baffling? 14 Α. I must backtrack for just a moment. Once the air conditioner was turned on in April, my 15 wife and I had, on different occasions, talked via 16 17 the phone and, in certain instances, face-to-face about their plans for the air conditioner. 18 19 Their plans meaning the plans of the Q. 20 Crowns? The Crowns plans for attenuating the 21 Α. 22 noise on the air conditioner. They had indicated that there would be a fence and some trees put 23 around the air conditioner, and that they alluded 24

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1 to certain other things such as the turning of the 2 air conditioner and I believe the cones, and I'm 3 not sure what else they might have mentioned as possibilities for them. I reiteratd what I had 4 been told by a number of people that fences and 5 б trees were unlikely to be of much help in reducing 7 the sound. And, it was my understanding that they 8 weren't going to operate the system in the evening 9 after the Father's Day weekend until such time as 10 we had seen how the fences and trees were going to work and make sure that the noise was going to be 11 12 acceptable. Unfortunately, that was not to be. 13 On June 27th, I believe it was, the air 14 conditioner commenced operating around the clock again, which I had understood wouldn't happen. I 15 16 had a different conversation with Mr. Crown at 17 that time, but came away thinking --Excuse me, was that conversation in 18 Q. 19 person or by telephone? 20 Α. It's by telephone. 21 Ο. Do you recall whether you called him or 22 he called you? 23 Α. I called him. Did you call him at home or at his 24 ο.

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1 office?

| 2  | A. I believe it was at the office.                 |
|----|----------------------------------------------------|
| 3  | MR. CARSON: Your Honor, I'd like to                |
| 4  | interrupt here with an objection to the previous   |
| 5  | reasons, I understand counsel's attempt to         |
| 6  | establish a foundation for the conversation Mr.    |
| 7  | Shelton just alluded to. However, he just a        |
| 8  | moment ago said something about he had an          |
| 9  | understanding as to the unit not being operated    |
| 10 | for 24 hours until these sound attenuating devices |
| 11 | were put into place. And, there was no foundation  |
| 12 | at all for that testimony, and I move to strike    |
| 13 | it.                                                |
| 14 | MR. KAISER: We may lay the foundation              |
| 15 | later, Madam Hearing Officer.                      |
| 16 | HEARING OFFICER: I'm going to grant the            |
| 17 | motion to strike that portion of the testimony.    |
| 18 | And, I'm going to ask counsel, before we proceed,  |
| 19 | you indicated that Exhibit 48 had been introduced, |
| 20 | but I do not reflect that in the records. Could I  |
| 21 | see Exhibit 48?                                    |
| 22 | MR. KAISER: Yes. I apologize. I may                |
| 23 | have drawn it from the wrong pile there.           |
| 24 | HEARING OFFICER: For identification                |

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1
          purposes, Exhibit 48 is a letter from Mr. Shelton
          to Mr. and Mrs. Crown dated June 30th, 1994.
 2
          BY MR. KAISER:
 3
              Q. Before we go any further, Mr. Shelton,
 4
          just to put it all on the record in one place,
 5
 б
          did you, in fact, write this letter dated June
 7
          30th, 1994 and identified as Exhibit 48?
                   I did.
 8
              Α.
 9
              ο.
                   And, did you mail it to Mr. and Mrs. A.
          Steven Crown on or about June 30th, 1994?
10
                   Yes, I did.
11
              Α.
12
              Q.
                   And, is this a true and accurate copy of
13
          the letter that you sent to the Crowns on or about
          June 30th, 1994?
14
15
              Α.
                   It is.
                   Are the statements contained therein true
16
              Ο.
17
          and accurate as of June 30th 1994?
18
              Α.
                   They are.
19
                   MR. KAISER: Madam Hearing Officer, I
          move for the admission into evidence of Exhibit
20
          48.
21
                   MR. CARSON: No objection to Exhibit 48.
22
                   HEARING OFFICER: Exhibit 48 is entered
23
          into. Thank you.
24
```

1 BY MR. KAISER:

| 2  | Q. Now, you were describing for us a               |
|----|----------------------------------------------------|
| 3  | telephone conversation you initiated with Mr.      |
| 4  | Crown. Was it the late part of June of 1994?       |
| 5  | A. Yes.                                            |
| 6  | Q. And, what did you say to him and what did       |
| 7  | he say in response?                                |
| 8  | A. I told him that the trees and fencing           |
| 9  | were doing very little to reduce the noise, and    |
| 10 | that we continued to be deeply bothered by the     |
| 11 | noise. And also, to tell him that based upon       |
| 12 | earlier phone and face-to-face conversations we'd  |
| 13 | had in the prior few weeks, that it was my         |
| 14 | understanding they weren't going to be running the |
| 15 | unit at night. But that, in fact, it was now       |
| 16 | running at night and asked him to please turn it   |
| 17 | off at night.                                      |
| 18 | Q. And what, if anything, did Mr. Crown say        |
| 19 | in response?                                       |
| 20 | A. He indicated that they had tried to             |
| 21 | accommodate us, but had accommodated us about as   |
| 22 | much as they could.                                |
| 23 | Q. About how long did that telephone               |
| 24 | conversation last?                                 |

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| 1  | A. It wasn't long, 5 minute type of                |
|----|----------------------------------------------------|
| 2  | conversation.                                      |
| 3  | Q. What, if anything, did you do after             |
| 4  | concluding that telephone conversation with Mr.    |
| 5  | Crown?                                             |
| 6  | A. I was extremely anxious as to what was          |
| 7  | happening, very concerned that we were making no   |
| 8  | progress. I had to go out of town on business      |
| 9  | and                                                |
| 10 | MR. KAISER: Excuse me, if I can just               |
| 11 | back up one second. Did Mr. Crown you              |
| 12 | mentioned that during the telephone conversation   |
| 13 | you've just described with Mr. Crown, you stated   |
| 14 | to him that you had an understanding that the air  |
| 15 | conditioning unit would not be operated around the |
| 16 | clock?                                             |
| 17 | A. Yes.                                            |
| 18 | Q. What was the basis for your understanding       |
| 19 | that the unit would not be operated around the     |
| 20 | clock?                                             |
| 21 | A. Earlier face-to-face and phone                  |
| 22 | conversations with Mr. Crown.                      |
| 23 | MR. CARSON: Objection, foundation.                 |
| 24 | MR. KAISER: If we may lay the                      |

1 foundation? HEARING OFFICER: Overruled. 2 3 MR. KAISER: May I proceed? HEARING OFFICER: Yes. 4 BY MR. KAIERA: 5 6 Q. Do you recall during what months the 7 telephone conversations -- did Mr. Crown make 8 those representations that unit would not be 9 operated around the clock, in a telephone conversation to you or in a face-to-face 10 conversation with you? 11 12 Α. I'm not certain specifically which 13 conversations it occurred in. There were several conversations. I had 2 or 3 conversations on the 14 phone with him and met him at a swim meet where 15 16 our kids were competing. 17 ο. And, these conversations took place between the latter part of April, 1994 and 18 19 Father's Day weekend of 1994? 20 Α. Yes, yes they did. And, my wife also had some conversations with him. And, I can't recall 21 specifically which of those conversations in which 22 he indicated that they're only keeping it on 23 during the day. 24

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1 Q. Do you recall talking with Mr. Crown 2 during your children's swim meet in the spring of 1994? 3 4 Α. Yes. Did the subject of the air conditioner, 5 ο. б was that one of the topics about which you talked? 7 Α. Yes, it was. And, did you discuss whether the unit 8 Q. 9 would be operated 24 hours a day during your conversation with Mr. Crown at the swim meet in 10 the spring of 1994? 11 To the best of my recollection, he did 12 Α. 13 indicate that the unit would just be operating during the day when construction was going on. 14 And, when you say indicate, what ways did 15 Ο. he indicate that? 16 17 Α. He told me that that was the way it would 18 be operating. 19 Q. Do you recall who provided you with the 20 name of Al Shiner and Associates? 21 Α. I got the name from two sources; one was 22 from Greg Zack at the Illinois EPA. And, the other was from our architect who had worked with 23 Al Shiner on another occasion. 24

| 1  | Q. Do you recall during what time frame you        |
|----|----------------------------------------------------|
| 2  | obtained the name of Al Shiner?                    |
| 3  | A. We got the name during the, as best I           |
| 4  | recall, we got the name during the week of June    |
| 5  | 27th, 1994.                                        |
| 6  | Q. Did you and your wife, in fact, retain          |
| 7  | Shiner and Associates to obtain property line      |
| 8  | noise level measurements? I want to show you       |
| 9  | what's previously been marked for purposes of      |
| 10 | identification as Exhibit 56. And, Madam Hearing   |
| 11 | Officer, I believe Exhibit 56 has been offered and |
| 12 | admitted into evidence.                            |
| 13 | HEARING OFFICER: You're correct.                   |
| 14 | BY MR. KAISER:                                     |
| 15 | Q. Showing you a letter from Alan Shiner to        |
| 16 | David Shelton dated July 5th 1994. Do you          |
| 17 | recognize that?                                    |
| 18 | A. Yes, I do.                                      |
| 19 | Q. What do you recognize that to be?               |
| 20 | A. That's the letter that Al Shiner faxed to       |
| 21 | us on July 5th with the results of his noise       |
| 22 | readings.                                          |
| 23 | Q. I want to show you what's previously been       |
| 24 | marked for purposes of identification as Exhibit   |

1 17, a letter from you to A. Steven Crown dated July 6th, 1994. And I believe, Madam Hearing 2 Officer, this has been offered and admitted into 3 evidence. 4 HEARING OFFICER: Correct. 5 б BY MR. KAISER: 7 Q. I show you that letter dated July 6th, 1994 and ask you if you recognize that? 8 9 Α. Yes, I do. And did you, in fact, write that letter 10 ο. on or about July 6th, 1994? 11 12 Α. Yes. 13 Q. And, did you send that letter to A. Steven Crown? 14 Yes, I did. 15 Α. Does this letter dated July 6th, 1994 16 ο. 17 truly and accurately set forth steps taken or contemplated by you and your wife in the latter 18 19 part of June and early part of July, 1994? It does. Should I --20 Α. 21 Q. Yes. It does. I should perhaps explain the 22 Α. village council meeting. 23 Yes, please. 24 Q.

1 Δ In the last conversation I had with Mr. Crown on June 30th after the air conditioner 2 3 continued to run, he had told me that they had 4 accommodated us all they were going to accommodate 5 us and weren't going to do any more. That was 6 that, and that we could do whatever we thought we 7 had to do. At that point, having spent the prior 8 9 months trying to work this out directly with 9 neighbor to neighbor, we felt we had no 10 alternative but to turn to the Village for help; which we did. We also talked to the police, who 11 12 the Village had originally indicated we should 13 work with on this, but they, in turn, indicated we 14 should work with the Village, that it was not 15 their pervue. With that in mind, we were on the 16 agenda of the July 5th Village Council meeting. 17 And, to that end, the day of July 5th, we were able to get the noise readings by Shiner, and that 18 19 is the Village Council meeting that's referred to 20 here in this letter of July 6th. 21 During the early part of July, 1994, did Q. you also talk with certain Winnetka Village 22 23 trustees? Yes. During the weekend of the 4th of 24 Α.

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1 July, two trustees came to our house to listen and 2 suggested that we come to the village council 3 meeting and also suggested that we ask neighbors to listen to the unit and to attest to whether 4 5 they thought it was a noise nuisance or not. Do you recall the names of those 6 Q. 7 trustees? 8 Yes, Louise Holland and Arnie Levy. Α. 9 If I may just take a look at that letter, Ο. 10 Mr. Shelton? Thank you. I note that you 11 reference a conversation with a person by the name 12 of Bill Devers. Do you know a Bill Devers, 13 D-e-v-e-r-s? 14 A. Yes. Bill, at the time, lived near to us 15 on Ardsley Road. He was a neighbor. Q. 16 What was your purpose for talking with 17 Mr. Devers? We asked Bill if he would listen to the 18 Α. 19 air conditioner and give us his reaction. He did 20 and told us he thought it was a serious problem. 21 I told him that it had been suggested to us that 22 we start a petition and asked if he would be, feel 23 comfortable signing the petition. He said that he would prefer to play the role of a peace maker, if 24

1 you will. That rather than sign the petition, he was going to be in Aspen the 4th of July weekend 2 3 where the Crown family was having a 4th of July 4 party, and that he was going to be at that party and suggested that would talk with Steven and that 5 6 perhaps he could help mediate the problem between 7 the two of us. MR. CARSON: Your Honor, objection to the 8 9 portion of witness' answer that characterizes what Mr. Devers said about the sound, that's hearsay. 10 I move to strike that portion of the answer. 11 12 MR. KAISER: I'm sorry, counsel, I was 13 consulting with my co-counsel. HEARING OFFICER: A motion to strike the 14 testimony related to the characterization of the 15 16 sound by Mr. Devers has been made, and I'm going 17 to grant that. Thank you, your Honor. 18 MR. KAISER: 19 BY MR. KAISER: 20 Q. I want to show you what's previously been 21 marked for purposes of identification as 22 Plaintiffs Exhibit 91. It's a letter from Greg Zack to you, Mr. Shelton, dated July 11th, 1994 23 and again, Madam Hearing Officer, if I may confirm 24

| 1  | that this has been offered and admitted into       |
|----|----------------------------------------------------|
| 2  | evidence.                                          |
| 3  | HEARING OFFICER: That's correct.                   |
| 4  | Q. Mr. Shelton, did you receive this letter        |
| 5  | on or about July 11th, 1994?                       |
| б  | A. Yes, I did.                                     |
| 7  | Q. And, had you talked to Mr. Zack prior to        |
| 8  | receipt of that letter?                            |
| 9  | A. Yes, I had talked to him late June.             |
| 10 | Q. Do you recall who provided you with Mr.         |
| 11 | Zack's name or suggested that you contact the      |
| 12 | Illinois Environmental Protection Agency?          |
| 13 | A. The recommendation came from one of the         |
| 14 | police officers in Winnetka.                       |
| 15 | Q. And did you, in fact, obtain Mr. Zack's         |
| 16 | telephone number and contact him sometime early,   |
| 17 | late June or early July of 1994?                   |
| 18 | A. Yes, I did, but I can't remember exactly        |
| 19 | who gave me Mr. Zack's name. I do know that I did  |
| 20 | talk to him prior to June 30th, I believe that was |
| 21 | the certified letter.                              |
| 22 | Q. I see.                                          |
| 23 | A. Mr. Zack had suggested that, to get a           |
| 24 | record of this thing started, that we should send  |

1 a certified letter.

| 2  | Q. And, that was the June 30th, 1994 letter        |
|----|----------------------------------------------------|
| 3  | also known as Exhibit 48 which you sent to Mr.     |
| 4  | Crown on or about June 30th, 1994 by certified     |
| 5  | mail?                                              |
| 6  | A. Yes.                                            |
| 7  | Q. I want to show you what's previously been       |
| 8  | marked for purposes of identification as Exhibit   |
| 9  | 34. It's a letter, Alan H. Shiner to David R.      |
| 10 | Shelton dated July 12th, 1994. And again, Madam    |
| 11 | Hearing Officer, I'd like to confirm that Exhibit  |
| 12 | 34 has been offered and admitted into evidence.    |
| 13 | Showing a copy of that letter to Respondent, to    |
| 14 | Mr. Shelton.                                       |
| 15 | HEARING OFFICER: That's correct.                   |
| 16 | BY MR. KAISER:                                     |
| 17 | Q. I ask you do you recognize that letter?         |
| 18 | A. Yes, I do.                                      |
| 19 | Q. Is that a letter you received from Mr.          |
| 20 | Shiner on or about July 12th, 1994?                |
| 21 | A. It is.                                          |
| 22 | Q. Thank you. Did that letter enclose on           |
| 23 | the second page a diagram for a sound reduction or |
| 24 | acoustical enclosure?                              |
|    |                                                    |

| 1  | A. Yes.                                           |
|----|---------------------------------------------------|
| 2  | Q. I want to now show you what's marked for       |
| 3  | purposes of identification as Exhibit 47. This is |
| 4  | a letter from David R. Shelton to Alan L. Shiner  |
| 5  | dated July 21, 1994. I don't know that this has   |
| 6  | previously been offered, Madam Hearing Officer.   |
| 7  | HEARING OFFICER: This has been                    |
| 8  | introduced, but not admitted into evidence.       |
| 9  | BY MR. KAISER:                                    |
| 10 | Q. I show you that letter. Do you recognize       |
| 11 | that?                                             |
| 12 | A. Yes, I do.                                     |
| 13 | Q. What do you recognize it to be?                |
| 14 | A. It's a letter that I sent to Al Shiner         |
| 15 | giving him additional information that I had      |
| 16 | obtained from Trane.                              |
| 17 | Q. And, Trane being the manufacturer of the       |
| 18 | air conditioning unit at the Crown residence?     |
| 19 | A. Yes.                                           |
| 20 | Q. I note at the bottom of Page 2, you            |
| 21 | indicate that carbon copies were sent to S. Crown |
| 22 | and G. Zack. Is S. Crown Steven Crown?            |
| 23 | A. Yes.                                           |
| 24 | Q. Did you cause a copy of this letter,           |

1 Exhibit 47, to be mailed to Steven Crown on or about July 21st, 1994? 2 3 Α. Yes, I did. 4 ο. Did you also cause a copy of this letter to be mailed to Greg Zack on or about that date? 5 б Yes, I did. Α. 7 Q. Did the letter that you sent to Mr. 8 Shiner also include a three page attachment? 9 Yes, it did. Α. Now, I note that on the, and I'm looking 10 Ο. at the third page of Exhibit 47, that there is 11 12 some handwriting in the upper right hand corner 13 that indicates Trane engineering bulletin covering 14 RAUC series units. Do you know whose handwriting that is? 15 16 Α. That's my handwriting. 17 Q. And where did you obtain the copies of this Trane engineering bulletin? 18 19 It was sent to me by Chris Seda at Trane. Α. 20 Q. And, were these 3 pages, the last 3 pages 21 of Exhibit 47, those portions that you've 22 identified as excerpts from the Trane engineering 23 bulletin, were those attached to the carbon copy of the July 21st 1994 letter that Mr. Crown was 24

sent a carbon copy of?

A. Yes.

1

2

3 MR. KAISER: Madam Hearing Officer, I move for admission into evidence of Exhibit 47. 4 MR. CARSON: Your Honor, Exhibit 47 has 5 6 the same problem that we've raised previously with 7 reference to other exhibits that have this 8 unauthenticated and inapplicable Trane bulletin 9 attached. We know from prior testimony regarding Exhibit No. 99 that the bulletin was not even 10 issued at the time that this particular unit was 11 12 purchased and, therefore, it's not applicable and 13 not relevant. And, we're objecting to the introduction of the letter and the attachment for 14 15 that reason.

MR. KAISER: Madam Hearing Officer, it's 16 17 simply not true that these 3 pages excerpted from the Trane engineering bulletin are 18 19 unauthenticated. We had Mr. Seda come in, in 20 part, for that purpose of authenticating these 21 portions of the Trane engineering bulletin. And, 22 you'll recall that on Friday, Mr. Carson made the 23 argument that these things may be rubbish or something of that nature. And, we said even if 24

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1 it's only rubbish, it still goes in to demonstrate 2 to the Board notice that Mr. Crown received on or about July 21st, 1994. So, we think the objection 3 is without merit and that the entire exhibit 4 should be received. Again, that the Trane 5 6 engineering bulletin is not being offered for the 7 truth of the matter, but it's being offered to 8 show the Board what Mr. Crown had in his 9 possession in the latter part of July of 1994 so 10 that the Board can then judge the response made by 11 Mr. Crown. 12 HEARING OFFICER: The objection is 13 overruled and the Exhibit will be admitted into 14 evidence. 15 BY MR. KAISER: Now, Mr. Shelton, I'd like to show you 16 Ο. 17 what's been marked for purposes of identification as Exhibit 107. And frankly, counsel, I'm not 18 19 certain you've seen -- I'm certain you've seen it, 20 I'm not certain I have a copy for you. Exhibit 107 is a letter from David R. Shelton to Mr. A. 21 Steven Crown dated September 2, 1994. I'm showing 22 23 it to counsel for Respondent. Mr. Shelton, again, I'm showing you what's been marked for purposes of 24

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```
1
          identification as Exhibit 107, the letter from you
          to Mr. Crown dated September 2, 1994. I want you
 2
 3
          to look at that document, tell me if you recognize
 4
          it?
                   Yes, I do.
 5
              Α.
 б
                   What do you recognize it to be?
              Q.
 7
                   It's a letter I wrote to Steven Crown on
              Α.
          September 2nd, 1994.
 8
 9
                   Did you mail that letter to Mr. Crown?
              Ο.
                   Yes, I did.
10
              Α.
                   Are the facts contained in that
11
              ο.
12
          letter--first, is this a true and accurate copy of
13
          the letter that you mailed to Mr. Crown on or
          about September 2, 1994?
14
15
              Α.
                   Yes, it is.
                   Are the facts set forth in this letter
16
              Ο.
17
          true and accurate as of September 2nd, 1994?
18
              Α.
                   Yes, they are.
19
                   MR. KAISER: Madam Hearing Officer, we
20
          would, at this time, move for the admission into
          evidence of Exhibit 107, a letter from David
21
22
          Shelton to Steven Crown dated September 2, 1994.
          And, we can arrange to have copies. I'm giving
23
          you the original here.
24
```

| 1  | HEARING OFFICER: Are there any                    |
|----|---------------------------------------------------|
| 2  | objections?                                       |
| 3  | MR. CARSON: No objection to 107.                  |
| 4  | HEARING OFFICER: Exhibit 107 will be              |
| 5  | admitted into evidence.                           |
| 6  | MR. DIVER: Madam Hearing Officer, if I            |
| 7  | may take the exhibit, I'll get copies made right  |
| 8  | now.                                              |
| 9  | HEARING OFFICER: Thank you.                       |
| 10 | BY MR. KAISER:                                    |
| 11 | Q. Mr. Shelton, I now want to show you            |
| 12 | what's been marked for purpose of identification  |
| 13 | as Exhibit 45, a letter from David R. Shelton and |
| 14 | Robert S. Julian to Mr. A. Steven Crown dated     |
| 15 | September 14th, 1994. I ask you if you recognize  |
| 16 | that?                                             |
| 17 | A. Yes, I do.                                     |
| 18 | Q. What do you recognize it to be?                |
| 19 | A. It's a letter that Bob Julian and I sent       |
| 20 | to Steven Crown on September 14th, 1994.          |
| 21 | Q. Did you prepare that with Mr. Julian?          |
| 22 | A. Yes.                                           |
| 23 | Q. Is that your signature at the lower left       |
| 24 | hand corner or side of the document?              |

| 1  | A. Yes.                                            |
|----|----------------------------------------------------|
| 2  | Q. Is this a true and accurate copy of the         |
| 3  | letter that you sent to Mr. Crown on or about      |
| 4  | September 14th, 1994?                              |
| 5  | A. It is.                                          |
| 6  | Q. Are the facts set forth therein true and        |
| 7  | accurate as of September 14th, 1994?               |
| 8  | A. Yes, they are.                                  |
| 9  | MR. KAISER: Madam Hearing Officer, I               |
| 10 | move for the admission into evidence of Exhibit    |
| 11 | 45.                                                |
| 12 | MR. CARSON: No objection to 45.                    |
| 13 | HEARING OFFICER: Exhibit 45 will be                |
| 14 | entered into evidence.                             |
| 15 | BY MR. KAISER:                                     |
| 16 | Q. Do you recall making observations during        |
| 17 | September of 1994 concerning the operations of the |
| 18 | Crown air conditioning system and the outdoor air  |
| 19 | temperature during the evenings of September,      |
| 20 | 1994?                                              |
| 21 | A. The temperatures were starting to get           |
| 22 | cooler in the evening. I don't recall specific     |
| 23 | temperatures at the time, but they were getting    |
| 24 | cooler and certainly below temperatures where we   |

1

operated our air conditioner.

| 2  | Q. Do you recall the range of temperatures         |
|----|----------------------------------------------------|
| 3  | which the temperature fell during two evenings in  |
| 4  | September of 1994?                                 |
| 5  | A. I don't recall the specifics. I actually        |
| 6  | recall specifics a year later, but I don't, at the |
| 7  | time I didn't think to keep a log.                 |
| 8  | Q. All right. Well, when we get to 1995,           |
| 9  | I'll ask you for that comparison, thank you.       |
| 10 | Q. I want to show you what's been marked for       |
| 11 | purposes of identification as Exhibit 20, a letter |
| 12 | from A. Steven Crown to David R. Shelton and Mr.   |
| 13 | Robert S. Julian dated September 15th, 1994. I     |
| 14 | want to show you that, Mr. Shelton. Tell me if     |
| 15 | you recognize that?                                |
| 16 | A. Yes, this is a letter I received from           |
| 17 | Steven, though I didn't receive it until a number  |
| 18 | of days later.                                     |
| 19 | Q. Do you recall approximately how many days       |
| 20 | later?                                             |
| 21 | A. Yes, I recall specifically the letter was       |
| 22 | postmarked September 21st and I received it the    |
| 23 | 23rd.                                              |
| 24 | Q. And, is this a true and accurate copy of        |

1 a letter you received from Mr. Crown in the latter 2 part of September, 1994? To the best of my recollection, yes. 3 Α. 4 ο. And, I note that there are some, for instance, underlines and marginalia on the first 5 б page. Do you know whose handwriting that is? 7 No, I'm not sure where this--Α. 8 Ο. I take it those were not on the original 9 letter you received from Mr. Crown? 10 No, they were not. They are not my Α. 11 notes. 12 MR. CARSON: Can I interject here with an 13 objection and a proposal? Exhibit Number 44 --14 oh, I guess it's a little bit different, the 15 handwritten thing. I'm concerned about the notations on Exhibit Number 20 as affecting the 16 17 authentication of that document. And, somebody was making some commentary in the margin on that, 18 19 and we don't know who. Exhibit 44 is one that's 20 not been marked up, of the same letter. It does have a different P.S., and when I started to 21 interrupt here, I didn't realize the P.S. was 22 different. 23 HEARING OFFICER: I have a concern also 24

```
1
          about the marginal notes, but proceed, counsel.
 2
                   MR. KAISER: Here's a possible solution.
 3
          Perhaps we can create a hybred of 44 where we use
         not only that Page 2 which has the handwritten
 4
         note which appears to be from Mr. Crown to Mr.
 5
 6
          Zack, but also then append to it Page 2 from
 7
          Exhibit 20, which contains the handwritten note
          from Mr. Crown.
 8
 9
                   MR. CARSON: Effectively redacting the --
                   MR. KAISER: Just taking off the cover
10
11
          sheet.
12
                   MR. KAISER: May I use your copy of 44
13
          for that purpose?
14
                   MR. CARSON: I need that copy of 44.
                   MR. KAISER: Let's see if we have that
15
16
          copy. We may, Madam Hearing Officer.
17
                   HEARING OFFICER: Let's go off the record
          for just a minute.
18
19
                   (WHEREUPON a 10-minute recess was taken.)
20
                             AFTER RECESS
                   HEARING OFFICER: We'll continue at this
21
22
          time with the testimony of Mr. Shelton.
          BY MR. KAISER:
23
                   Thank you, Ms. Edvenson. As you recall
24
              Ο.
```

1 before the break, we were talking about, Mr. 2 Shelton and counsel for Respondents, an 3 appropriate Exhibit 20. The initial exhibit offered contained certain marginalia and 4 underlining which we were not able to identify the 5 6 author of those marks. We now have a clean copy 7 of Exhibit 20, the letter from Mr. Crown to Mr. Shelton and Mr. Julian, and I would ask to 8 9 substitute into the record this clean copy of Exhibit 20 and offer Exhibit 20 for admission into 10 evidence at this time. 11 MR. CARSON: I think that would be fine, 12 13 if I can just take a moment and look at it. MR. CARSON: Thank you. Can I have just 14 a moment to confer with counsel? 15 MR. KAISER: Madam Hearing Officer, I 16 17 note, for the record, that there's one bit of marginalia, Page 2 of Exhibit 20 which, by 18 19 agreement with counsel for the Respondents, I'm 20 now marking out so that what remains is a fascimile of the original letter from Mr. Crown 21 22 dated September 15, 1994. MR. CARSON: That revised Exhibit 20 is 23 acceptable to us, your Honor. 24

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HEARING OFFICER: All right. 1 Then Exhibit 20 will be entered into evidence. 2 MR. KAISER: Thank you. 3 BY MR. KAISER: 4 Mr. Shelton, I'd like to show you what's 5 Q. 6 been marked for purposes of identification as 7 Exhibit 43, a letter you sent to village manager 8 Douglas Williams dated September 27th, 1994. Mr. 9 Shelton, I'm showing you what's now been marked 10 for purposes of identification as Exhibit 43. I want you to take a look at that, tell me if you 11 12 recognize that? 13 Yes, I do. Α. 14 Q. What do you recognize that to be? Something is stapled --15 Α. 16 Well, Exhibit 43 contains, in essence, Ο. 17 two letters. One is from David R. Shelton to Village Manager Douglas Williams dated September 18 19 27th, 1994, and another is a letter from you to 20 Mr. Crown dated September 27th, 1994. And, as 21 they address, as I understand it, the same issue 22 and are, in essence, a response to Mr. Crown's letter dated September 15th, 1994 and previously 23 marked for purposes of identification as Exhibit 24

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| 1  | 20, they have been joined as one exhibit and now   |
|----|----------------------------------------------------|
| 2  | are before you as Exhibit 43.                      |
| 3  | A. I understand. Yes, these are letters            |
| 4  | that I sent to Mr. Williams and to Steven Crown.   |
| 5  | Q. Did you draft these letters?                    |
| 6  | A. I did.                                          |
| 7  | Q. And, are these both true and accurate           |
| 8  | copies of the letters you sent to Mr. Williams and |
| 9  | Mr. Crown on or about September 27th, 1994?        |
| 10 | A. Yes, they are.                                  |
| 11 | MR. CARSON: I'm not meaning to                     |
| 12 | interrupt, but I'm not sure I heard correctly.     |
| 13 | Was it Exhibit 22 that's now attached to 43?       |
| 14 | MR. KAISER: No, I was just offering by             |
| 15 | way of explanation that the two letters which are  |
| 16 | contained in 43, and maybe it would clarify the    |
| 17 | record to call it 43 A, which is the letter to Mr. |
| 18 | Williams, and 43 B, the letter to Mr. Crown and    |
| 19 | the attachments, yes.                              |
| 20 | MR. CARSON: And the letter to Mr. Crown            |
| 21 | and attachments is Exhibit 22.                     |
| 22 | MR. KAISER: Is it also 22?                         |
| 23 | MR. CARSON: I believe so.                          |
| 24 | MR. KAISER: Madam Hearing Officer, can             |

1 we confirm whether, in fact, Exhibit 22 was, in fact, a letter from Mr. Shelton to Mr. Crown dated 2 3 September 27th, 1994. HEARING OFFICER: Exhibit 22 is September 4 21, 1995 letter from Mr. Shelton to Mr. Crown. 5 б And, it was admitted for limited purposes. 7 MR. KAISER: I'm sorry, the date on Exhibit 22? 8 9 Α. 9-21-95. HEARING OFFICER: It was admitted for 10 11 limited purposes on July 1st. 12 MR. KAISER: May I take look at that 13 exhibit. HEARING OFFICER: Sure. 14 15 MR. CARSON: I could use a look at it, 16 too, because I've got something else marked as 22. 17 MR. KAISER: May I hold this out for just a moment? Thank you. All right. 18 19 BY MR. KAISER: 20 0. Well, if we may proceed, then, what you 21 have in front of you, Mr. Shelton, has been marked 22 for purposes of identification, and allow me to mark this one as such, as 43 A, which is the 23 letter to Mr. Williams, and 43 B, which is the 24

1 letter to Mr. Crown. And I want you to look at both 43 A and 43 B and tell me if those are true 2 3 and accurate copies of the letters you sent to Mr. 4 Williams and Mr. Crown on or about September 27th, 1994? 5 6 Yes, it is. Α. And, I note that the letter to Mr. Crown 7 Q. 8 contained certain attachments. Can you identify 9 for the Board what documents were attached to the letter to Mr. Crown dated September 27th, 1994? 10 Yes. There are two attachments. One is 11 Α. 12 a copy of Al Shiner's July 5th, 1994 noise level 13 readings. And, on that, I note that there's some 14 Ο. handwritten material at the bottom of that July 15 5th, 1994 letter. Do you recognize that 16 17 handwriting? Yes, that's my handwriting. The second 18 Α. 19 attachment is a 2 page excerpt from the Trane 20 engineering bulletin that I received from Chris 21 Seda, and that I referred to a few minutes ago. 22 And, were those attachments part of the 0. letter that was sent to Mr. Crown on or about 23 September 27th, 1994? 24

1 Α. Yes, they were. And you note that on the letter to Mr. 2 Q. 3 Williams dated September 27th, 1994, it indicates in the c.c. that a carbon copy of 43 A was sent to 4 Mr. A. Steven Crown. Do you know whether you sent 5 б a carbon copy of Exhibit 43 A to Steven Crown on 7 or about September 27th, 994? Yes, I did. 8 Α. 9 MR. KAISER: Madam Hearing Officer, I move for admission into evidence Exhibit 43, both 10 43 A and 43 B. 11 12 MR. CARSON: No objection to 43 A and 43 13 B, your Honor. HEARING OFFICER: All right. The two 14 letters of Exhibit 43 will be entered into 15 evidence. 16 17 BY MR. KAISER: I want to show you now what's been marked 18 ο. 19 for purposes of identification as Exhibit 19. 20 It's a letter from you to Mr. Crown dated November 9th, 1994. I'm showing you a copy of that, asking 21 22 you if you recognize that? Yes, I do. 23 Α. What do you recognize that to be? 24 ο.

| 1  | A. It's a letter I sent to Steven Crown on        |
|----|---------------------------------------------------|
| 2  | November 9th. I faxed it to him.                  |
| 3  | Q. Is this a true and accurate copy of the        |
| 4  | letter that you faxed to Mr. Crown on or about    |
| 5  | November 9th, 1994?                               |
| б  | A. It is.                                         |
| 7  | MR. KAISER: I move for admission into             |
| 8  | evidence of Exhibit 19.                           |
| 9  | MR. CARSON: Can we have a moment to               |
| 10 | confer on Exhibit 19?                             |
| 11 | HEARING OFFICER: Yes.                             |
| 12 | MR. CARSON: Your Honor, we would object           |
| 13 | to Exhibit 19 as containing hearsay. You'll note  |
| 14 | that in the second paragraph of Exhibit 19,       |
| 15 | there's a statement attributed to several         |
| 16 | unidentified real estate brokers, and we don't    |
| 17 | have an opportunity to examine those unidentified |
| 18 | real estate brokers. And, it's clearly being      |
| 19 | offered to show this problem that the Sheltons    |
| 20 | purportedly had as far as difficulty to sell this |
| 21 | home. And, it would be inappropriate to accept    |
| 22 | this because of that hearsay.                     |
| 23 | MR. KAISER: It's being offered, once              |
| 24 | again, to show what Mr. Crown knew and when he    |

1 knew it, or what information was communicated to 2 Mr. Crown when. And then, of course, collaterally 3 what did he do or what did he not do once he 4 obtained that information. It clearly was a letter written by Mr. Shelton, which was sent to 5 6 Mr. Crown. It's true and accurate, it's part of 7 the dialogue between the Sheltons and the Crowns. 8 And frankly, regardless of the truth of the matter 9 asserted, it's an act that stands alone. The drafting of the letter, the sending of the letter, 10 the accepting of the letter, that's an act 11 12 independent, frankly, of the content. And while 13 it's not being offered for the truth of the matter 14 asserted, it's being offered to show what the level and tone of communications between the 15 parties was in November of 1994. And, for that 16 17 purpose, we'd move for its admission. HEARING OFFICER: The objection is 18 19 overruled and Exhibit 19 will be admitted into 20 evidence, but for the limited purpose of showing notice to Mr. Crown of Mr. Shelton's concerns. 21 BY MR. KAISER: 22 23 Q. By way of explanation here, Mr. Shelton, could you, is it true that in November of 1994, 24

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1 you and your wife were talking with brokers about 2 possibly listing your home at 707 Ardsley Road in 3 Winnetka, Illinois, for sale? 4 Α. Yes, we were. 5 ο. Why were you considering listing your 6 home for sale November of 1994? 7 Α. There were several reasons. One reason 8 is that we had been concerned for some time about 9 the need for additional construction at the house, 10 an addition of our own. As I think I testified last Friday, our original goal when we bought this 11 12 adult ranch house was to make it a house of 13 sufficient size for our family with two additions 14 as we could afford them. We did the first in 1990 and were hoping to do the second later on. 15 16 However, having lived in the house through half of 17 our first addition, plus by the middle of 1994, having lived next door to the construction on the 18 19 Crown house for some almost 3 years, we were very 20 tired of construction and we were having second 21 thoughts about whether we wanted to live through any more of it. Therefore, selling the house 22 23 seemed like a good alternative. But, that had 24 been a concern for a year or so at that point.

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1 The other things that had pushed us over the edge 2 insofar as deciding to sell the house had to do 3 with the Crown air conditioner. The first was we 4 had had what, for us, was an unbelievably horrible experience through the summer and fall of 1994 5 with the noise, and were frankly at wits end. 6 7 And, at that point, even though there had been at 8 very late some communications about what was going 9 to be done, it had been exceptionally slow in 10 coming in. And, the communications we had gotten were very inconsistent with each other. And, we 11 12 were not at all comfortable that there would be a 13 solution to this problem. So, that was a serious 14 concern for us, and the reason that we thought it 15 would be better to get on with our lives where we didn't have to worry about it. The third factor 16 17 was that, to a great extent, I think one can say that a home is as much on memories and emotions as 18 19 it is a physical structure. And, we had loved our 20 house and loved our neighborhood. But with this 21 battle with the neighbor, which we'd never, ever 22 had a problem with a neighbor before, with this 23 battle with our neighbor and this concept, problem with the noise, the basic joy out of living in 24

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1
          that house had gone away. And for those reasons,
          we had decided that it's best to get on with our
 2
          life and sell the house.
 3
                   And, it was for that reason you met with
 4
              ο.
          real estate brokers in late October and early
 5
 6
          November of 1994?
 7
              Α.
                   Yes, it was.
                   Did you subsequently list your house with
 8
              Q.
 9
          a real estate broker?
                   Yes, we did. In February of 1995, I
10
              Α.
          believe.
11
12
              Q.
                   Do you recall the name of that broker?
13
                   The name of the brokerage firm was Kahn
              Α
14
          Realty, K-a-h-n, and the broker was Ann
15
          Montgomery.
                   Is that branch of Kahn Realty located in,
16
              Q.
17
          where is it located?
                   It's located in Winnetka.
18
              Α.
19
                   Did you talk with Ann Montgomery or
              Q.
20
          anyone else at Kahn Realty concerning the problems
          you had been having with the Crown air
21
22
          conditioning system?
                   We did, plus unfortunately when we were,
23
              Α.
          when we reached an impasse in trying to work this
24
```

1 out directly with our neighbors and were forced to 2 go to the Village for help, it had become somewhat 3 of a public issue. So, unfortunately, it was common knowledge that there was an air conditioner 4 problem here. We also talked specifically with 5 6 her about it. 7 MR. KAISER: Madam Hearing Officer, if 8 you can please let me know what is the last 9 exhibit number that we've used in this proceeding? HEARING OFFICER: That's Number 107. 10 11 MR. KAISER: So, any new exhibit would be 108. 12 13 BY MR. KAISER: 14 Ο. I'm showing counsel for Respondent what 15 I've just marked for purposes of identification as 16 Complainant's Exhibit 108. It's a 3 page document 17 which has presently been tendered to counsel for Respondent in your response to interrogatories and 18 19 document production requests. It's a listing of 20 the Shelton residence with Kahn Realty Companies. 21 Original listing date on or about February 1, 22 1995. Showing you a 3-page document that I've marked for purposes of identification as 23 Plaintiff's Exhibit 108. I ask you to review 24

```
1
          those 3 pages, tell me if you recognize that
 2
          document?
                   Yes, I do. This is information
 3
              Α.
          pertaining to the listing of our house that we
 4
          supplied to the Crown attorneys in the
 5
 б
          interrogatories.
 7
              Ο.
                   Is that, where did you obtain that
          information?
 8
 9
              Α.
                   From our broker, Ann Montgomery.
                   And, are those true and accurate copies
10
              Ο.
          of the information you obtained?
11
12
              Α.
                   Yes.
13
                   MR. CARSON: Objection, foundation--of
          the copies he obtained? I withdraw the objection.
14
          BY MR. KAISER:
15
                   Are those true and accurate copies of the
16
              0.
17
          document you obtained from your broker, Ann
          Montgomery?
18
19
              Α.
                   Yes.
20
              Q.
                   And, was it your testimony you listed
          your home with Ann Montgomery in February of 1995?
21
22
              Α.
                   Yes.
                   And, did you have a conversation with Ms.
23
              ο.
          Montgomery and anyone else at Kahn Realty
24
```

1 concerning the Crown air conditioning unit? Yes, we did. We met with, I believe the 2 Α. 3 name is Joe Cavolek, I'd have to check my notes to be certain, but I believe C-a-v-o-l-e-k, and Joe 4 is the number 2 person at Kahn Realty. We went 5 with Joe and Ann to talk about the implications of 6 7 the air conditioner problem for our listing. 8 MR. CARSON: Your Honor, just can I raise 9 an objection in a preliminary fashion in that 10 where this is going, obviously, is for us to hear 11 what Joe Cavolek and Ann Montgomery had to say 12 about the air conditioning problem. It's 13 objectionable hearsay and we're, we want to go on 14 record as soon as possible objecting to the 15 admissibility of that testimony. HEARING OFFICER: Counsel, can you tell 16 17 us where you plan to go with this testimony? MR. KAISER: Yes. All I'd like to do is 18 19 establish that this was the listing agreement and 20 that as a condition to listing the Shelton home 21 with Kahn Realty Companies, Kahn Realty asked that 22 Page 3 of the agreement, air conditioner noise rider, be attached to the listing and that this, 23 24 in fact, is a true and accurate copy of the rider

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that Kahn Realty placed upon the listing
 2
          agreement.
                   MR. CARSON: There is no authentication,
 3
          there is no ability to authenticate these Kahn
 4
          Realty documents with this witness, and that's all
 5
 6
          inadmissible hearsay, unauthenticated.
 7
                   HEARING OFFICER:
                                      All right. We've had
          the production of the exhibit to the witness and
 8
9
          we've had the witness verify that the exhibit is
          the item that he received from the reality.
10
          Proceed.
11
12
                   MR. KAISER: I want to mark for purposes
13
          of identification as 108 A, B, and C. And,
14
          drawing your attention in particular to 108 C,
          which is titled air conditioner noise. When did
15
          you first see the page that's been marked as
16
17
          Exhibit 108 C?
                   I actually drafted it just prior to the
18
              Α.
19
          listing of the house.
20
              Q.
                   Why did you draft it?
21
                   Kahn requested that I write a description
              Α.
          of the situation with regard to the Crown air
22
          conditioner as it related to our house.
23
                   What, if any, concerns did you have as of
24
              ο.
```

1

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1 February, 1995 with respect to liability to future 2 purchasers of your home at 707 Ardsley Road in the event that you did not disclose the problems you'd 3 had with noise eminating from the Crown air 4 5 conditioning system? 6 MR. CARSON: Object to that question as 7 calling for a conclusion. And, in this case, a legal conclusion as to what his liability would 8 9 be. 10 MR. KAISER: Excuse me, that's not what's called for. I asked for Mr. Shelton's concerns. 11 12 He can have concerns regarding liability and 13 doesn't need to be a lawyer to have those 14 concerns. That's all I want to know, did he or 15 did he not have concerns in February of 1995 concerning liability. If he has a concern, then 16 17 I'll lay a foundation on the basis of his concern. Although living in the United States in the 1980's 18 19 and 1990's, I think everyone has a basis for a 20 concern. But--HEARING OFFICER: As to the objection 21 objection to the question that was asked, the 22 objection is sustained. 23 BY MR. KAISER: 24

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| 1  | Q. Mr. Shelton, in February of 1995, did you       |
|----|----------------------------------------------------|
| 2  | have concerns with respect to liability to a       |
| 3  | future purchaser of your home in the event that    |
| 4  | you did not disclose or remain silent about the    |
| 5  | problems you'd had in connection with the Crown    |
| 6  | air conditioning unit?                             |
| 7  | MR. CARSON: Same objection, and I                  |
| 8  | believe it was the same question.                  |
| 9  | HEARING OFFICER: I'll permit the witness           |
| 10 | to answer. Objection overruled.                    |
| 11 | A. I had two concerns. The first that it           |
| 12 | was my understanding that a new regulation had     |
| 13 | been passed in Illinois fairly recently prior to   |
| 14 | this, I don't know the exact date, that required   |
| 15 | increased disclosure by home owners who were       |
| 16 | selling. I also understood from talking with       |
| 17 | counsel and Kahn that it was my understanding that |
| 18 | while this regulation might not technically        |
| 19 | require our disclosure, it, there was a sufficient |
| 20 | gray area that we might have some exposure if we   |
| 21 | didn't disclose this.                              |
| 22 | The second concern I had was not                   |
| 23 | really a legal concern, simply a question of       |
| 24 | ethics. I didn't think it would be right to sell   |

1 our house to someone knowing that there was this 2 problem without telling them about it. 3 MR. CARSON: Renew the objection and move 4 to strike the response. The witness started by giving his interpretation of the status of 5 6 Illinois law with respect to the new statute, and 7 then he went on to tell us what opinions he 8 received from Kahn Realty, whoever that is, and 9 counsel, unidentified, and it's hearsay and it's 10 inappropriate, and we move to strike the response. MR. KAISER: Madam Hearing Officer, 11 12 again, we're not offering it for the truth of the 13 matter asserted, whether, in fact, there was a new 14 Illinois regulation; whether, in fact, Mr. Shelton's problem with the Crown air conditioning 15 16 system fell into a gray area, those are not the 17 matters we're trying to prove here. What we want to determine is what was in the Shelton's minds in 18 19 February of 1995. What obligations did he 20 perceive, either based in law or in the area of 21 ethics and morality, that caused him to generate the document that we're looking at, Exhibit 108 C. 22 That's an entirely appropriate area of inquiry and 23 24 for testimony.

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| 1  | MR. CARSON: There's no hearsay rule if            |
|----|---------------------------------------------------|
| 2  | everything is introduced for the purpose of       |
| 3  | establishing the state of mind when it's obvious  |
| 4  | that it's a subtrofuge. It's being offered to     |
| 5  | prove, again, if his state of mind is based upon  |
| 6  | some erroneous understanding of the law, how is   |
| 7  | that erroneous understanding of the law even      |
| 8  | relevant or material to the proceeding?           |
| 9  | HEARING OFFICER: Thank you, counsel.              |
| 10 | MR. CARSON: Would it be okay if we                |
| 11 | looked at the exhibit again?                      |
| 12 | Your Honor, if I can also make an                 |
| 13 | additional comment regarding the contents of the  |
| 14 | Exhibit.                                          |
| 15 | HEARING OFFICER: Proceed.                         |
| 16 | MR. CARSON: Exhibit 108 C contains a              |
| 17 | number of statements that are a total             |
| 18 | contradiction to the evidence that we've heard so |
| 19 | far in this proceeding. It states here that the   |
| 20 | unit commenced operation in the summer of 1994.   |
| 21 | We've heard testimony that, in fact, it commenced |
| 22 | operation in the fall of 1993. It states that     |
| 23 | decibel readings from the unit indicated that     |
| 24 | noise levels were in excess of Illinois EPA       |

1 standards. While I don't think we have any direct 2 evidence in this proceeding to this point, I think 3 the Board is certainly aware that these EPA 4 standards are not applicable to units communicated from a residence to another residence. It also 5 6 states that the enclosure was erected in January, 7 around the unit, was erected in January of 1995 8 when, in fact, the evidence is it was erected 9 sometime later, approximately April of 1995. It's 10 not a reliable document, and there is no opportunity for us to test this information. 11 12 There's no opportunity for us to test the 13 information contained on this listing sheet 14 because it was all generated by somebody at Kahn 15 Realty who is not available for cross examination. 16 MR. KAISER: I know, your Honor, or Madam 17 Hearing Officer, that at this point, we're talking solely about Exhibit 108 C, and we've not moved 18 19 for admission of 108 A, 108 B or even at this point, for 108 C. We're laying the foundation for 20

21 its admission.

HEARING OFFICER: All right. At this
point the objection, which is with respect to
testimony proffered by Mr. Shelton, is overruled

1 and the motion to strike is denied. I perceived this testimony as admissible as a foundation for 2 Mr. Shelton's authorship of 108 C. I will receive 3 4 this testimony. MR. KAISER: I've lost track whether 5 6 there is a question pending. I'd ask that Madam 7 Court Reporter find it and read it back. If there 8 is not, then I can just proceed. 9 MR. CARSON: As I recall, I interrupted 10 after the answer before your next question. MR. KAISER: All right. 11 12 BY MR. KAISER: 13 Q. Now, with respect to 108 C, what is your testimony concerning the authorship of 108 C? 14 Who, in fact, drafted what we're referring to as 15 Document 108 C? 16 17 Α. I drafted it, and disagreed with some of the Respondent counsels assertions about it. 18 19 Q. The facts that he challenged in his 20 objection? 21 HEARING OFFICER: The facts are not at 22 issue right now. BY MR. KAISER: 23 Right. And, I ask did you draft the 24 ο.

| 1  | document we're referring to as Exhibit 108 C?      |
|----|----------------------------------------------------|
| 2  | A. I drafted it because our brokerage firm         |
| 3  | said that we would have to have a disclosure of    |
| 4  | this kind in order for them to list the house.     |
| 5  | And, secondly, because I felt it was the right     |
| 6  | thing to do, the ethical thing to do.              |
| 7  | MR. CARSON: Re-raising the same                    |
| 8  | objection and move to strike the response for the  |
| 9  | same grounds previously stated.                    |
| 10 | HEARING OFFICER: Motion to strike as to            |
| 11 | the broker's statement is granted.                 |
| 12 | BY MR. KAISER:                                     |
| 13 | Q. Did you show this Exhibit 108 C to Ms.          |
| 14 | Montgomery or anyone else at Kahn Realty?          |
| 15 | A. Yes, I did.                                     |
| 16 | Q. Do you know whether Kahn Realty was             |
| 17 | willing to list your home for sale with them in    |
| 18 | the absence of 108 C?                              |
| 19 | A. It's my understanding they would not.           |
| 20 | Q. What was the basis for your                     |
| 21 | understanding?                                     |
| 22 | A. A meeting we had with Ms. Montgomery and        |
| 23 | Mr. Cavolek to discuss the housing and the related |
| 24 | noise problem.                                     |

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| 1  | Q. And, do you recall what month and what          |
|----|----------------------------------------------------|
| 2  | year that meeting took place?                      |
| 3  | A. It was, to the best of my recollection,         |
| 4  | January of 1995.                                   |
| 5  | Q. After tendering a copy of 108 C to, did         |
| 6  | you, in fact, give Ms. Montgomery a copy of this   |
| 7  | document we're referring to as 108 C?              |
| 8  | A. Yes, we did.                                    |
| 9  | Q. What, if any, action did Kahn Realty take       |
| 10 | with respect to listing your home once their       |
| 11 | agent, Ms. Montgomery received a copy of the       |
| 12 | document we're referring to as 108 C?              |
| 13 | A. They proceeded with the listing.                |
| 14 | Q. Is 108 C a true and accurate copy of the        |
| 15 | document you provided to Ms. Montgomery prior to   |
| 16 | Kahn Realty proceeding with the listing in         |
| 17 | February of 1995?                                  |
| 18 | A. Yes, it is. I might add that we did wait        |
| 19 | to list the house until the enclosure was done so  |
| 20 | that we could, in fact, say that it was completed, |
| 21 | and Mr. Crown had indicated to us at a meeting     |
| 22 | with the village officials in January of 1995 that |
| 23 | the enclosure was completed.                       |
| 24 | Q. Thank you. Did you have any conversation        |

```
1
          with Ms. Montgomery at the time that you provided
         her with this document, 108 C?
 2
 3
              Α.
                   Yes.
                   Where did that conversation take place?
 4
              Ο.
                   There were several conversations as we
 5
              Α.
 6
          discussed the listing, and then put it into
 7
          effect. We had the meeting with her and Mr.
          Cavolek at the Kahn Realty Office in Winnetka.
 8
 9
          She also visited our home on more than one
10
          occasion, and we had phone conversations. And, at
         various times during those conversations, we
11
12
          talked about this disclosure, as well as other
13
          aspects of the listing.
                   In January of 1995, did you form an
14
              Q.
          opinion as to whether a disclosure statement was a
15
          necessary attachment to a listing agreement with
16
17
          Kahn Realty?
                   MR. CARSON: Objection, calls for a
18
19
          conclusion.
20
                   HEARING OFFICER: Sustained.
21
                   MR. KAISER: I'm sorry, Madam Hearing
          Officer, Mr. Shelton can't testify to his opinion
22
          or belief in January of 1995?
23
                   HEARING OFFICER: Would the Court
24
```

1 Reporter please read back the question? (WHEREUPON, the record was 2 read by the Court 3 4 Reporter.) HEARING OFFICER: Thank you. My ruling 5 6 stands. I would like to ask counsel for 7 Complainants to use a less leading questioning 8 style. 9 BY MR. KAISER: What, if any, opinion did you form in 10 Ο. January of 1995? What, if any, opinion did you 11 12 form in January of 1995 concerning the conditions 13 imposed by Kahn Realty in connection with the 14 listing of your residence for sale? MR. CARSON: Your Honor, again, I'm going 15 16 to object. What counsel is attempting to 17 establish here is that Kahn Realty said, you have to make this disclosure. We're not going to 18 19 accept the listing. If that's what he intends to 20 prove, why don't they bring Kahn Realty here to so 21 testify? This is an inappropriate way to bring in this testimony. It's also legal conclusions. 22 We're dealing with the applicability of the 23 Illinois Real Estate Disclosure Act, and why do we 24

have to listen to a lay witness tell us what the law is through what some real estate broker told him? It's inappropriate and it shouldn't be permitted.

MR. KAISER: If that argument could 5 6 change the facts, then there might be a basis for 7 excluding it. But, the facts are, and I'm 8 offering this as an offer of proof, the facts are 9 that Kahn Realty did insist that Mr. Shelton 10 attach a rider to the listing agreement. Whether 11 that had an appropriate basis in law or didn't is 12 not the question here. We're not litigating that. 13 What we're demonstrating is that there were 14 consequences to the persistent noise eminating from the Crown air conditioning unit. One of 15 16 those consequences was that when Mr. Shelton went 17 to list his home, the realtor insisted on a air conditioning noise rider. Now, whether that was 18 19 well founded in law or whether Mr. Carson might 20 have advised the reality company to do otherwise, 21 that's what's irrelevant. But, it's highly 22 relevant the fact that there was this requirement, 23 and that Mr. Shelton abided by it. HEARING OFFICER: The objection is 24

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sustained.

1

2 MR. KAISER: Madam Hearing Officer, just for the record, as a matter of proof, if Mr. 3 Shelton were allowed to testify, he would testify 4 that he had meetings with Ms. Montgomery and the 5 6 manager for Kahn Realty in Winnetka, Illinois. 7 HEARING OFFICER: I believe he has 8 testified to that already. 9 MR. KAISER: Yes, I believe he has. 10 But, that the substance of those conversations were that, in fact, a rider was a necessary 11 12 condition to listing his home for sale. I believe 13 he's also testified that he drafted this rider, 14 that he tendered it to Ms. Montgomery and that after receipt, Kahn Realty did, in fact, list the 15 home for sale. With that, I move for admission 16 17 into evidence of Plaintiffs Exhibit 108 C. MR. CARSON: We're objecting to 108 C, 18 19 your Honor, that the witness has testified as to a 20 number of facts as to his perceptions at the time. 21 The document itself, though, is hearsay. It's his 22 purported summary of events that he gave to a 23 realtor for an unknown purpose, and it's inadmissible hearsay. I stated earlier all the 24

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1 factual statements we beleive to be incorrect as
2 well.

3 MR. KAISER: My response is it's not being offered for the truth of the matter 4 asserted. We're not attempting to prove, through 5 6 this, the facts contained therein. What we want 7 to establish is that in February of 1995, Mr. 8 Shelton drafted this document, tendered this 9 document to Ms. Montgomery of Kahn Realty, and that upon receipt of this document, Kahn Realty 10 then listed the Shelton residence for sale. 11 12 HEARING OFFICER: Thank you, counsel. 13 MR. CARSON: May I make one other point with respect to 108 C, your Honor? 14 HEARING OFFICERL Yes. 15 16 MR. CARSON: It states in the closing 17 sentence, excuse me for taking yours, I want to show it to her, the last sentence there, if 18 19 further attenuation is needed, additional steps can be taken. There is no foundation for that 20 21 statement. This is just, I mean, is this witness 22 now an expert in sound attenuation? 23 HEARING OFFICER: Okay, thank you. MR. KAISER: If I just may point out, 24

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1 Madam Hearing Officer, in fact more attenuation, 2 additional steps were taken in the spring of 1996. 3 So, it's somewhat bizarre to argue that this is a false statement and, therefore, this document 4 shouldn't be admitted when we've all sat through 5 6 hearings and know that they've scaled back the air 7 conditioning operations protocols in the spring of 8 1996 as additional steps to be taken to attenuate 9 sound.

10 MR. CARSON: I'm not arguing the truth or 11 falsity of the statement. This witness would be 12 permitted to make that statement here in this 13 hearing on why should he be able to make that 14 statement indirectly through that piece of paper.

15 MR. KAISER: Madam Hearing Officer, we 16 would stipulate that this document can be used for 17 the purposes of establishing a violation of any 18 noise, of numerical noise standards established by 19 the Board already.

20 HEARING OFFICER: The objection is 21 overruled. However, the document, Exhibit 108 C 22 will be admitted only for the limited purpose of 23 showing that Mr. Shelton authored it and provided 24 it to his real estate agent. It's not admitted
1 for the truth of any matter asserted in it. 2 MR. KAISER: Thank you. If I may 3 substitute, Mr. Carson, do you have a problem if I substitute this copy of 108 C. It's in a little 4 better repair. 5 6 HEARING OFFICER: Thank you. 7 BY MR. KAISER: Showing counsel for Respondents what's 8 Q. 9 marked for purposes of identification as Exhibit 10 7, it's a letter from Mr. Shelton to the Winnetka Village Council dated January 16th, 1995. I 11 12 believe it's been the subject of some prior 13 testimony, though it's my recollection that it's 14 not yet been formally admitted into evidence. 15 Mr. Shelton, I'm showing you what's been marked for purposes of identification as Exhibit 16 17 7. I ask you if you recognize that? Yes, I do. 18 Α. 19 Q. What do you recognize that to be? 20 Α. It's a copy of a letter that I sent to 21 the Village Council in January of 1995. 22 Is that a true and accurate copy of the Ο. letter that you sent to the Village Council on or 23 about January 16th, 1995? 24

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1 Α. It is. I note that there is a number of 2 Ο. attachments to that letter. And, if we could just 3 show it to Madam Hearing Officer. 4 HEARING OFFICER: Mr. Kaiser, would you 5 6 remove the note that I placed on it? 7 MR. KAISER: Yes, I'd be happy to. 8 BY MR. KAISER: 9 I note that there are a number of Ο. attachments to this letter. Can you please go 10 through and, for the Board's benefit, identify the 11 12 attachments? 13 Yes. The first attachment is a 2-paged Δ 14 excerpt from the Trane engineering bulletin regarding noise levels of RAUC air conditioners 15 that we referred to in other documents. 16 The 17 second attachment is the copy of a petition that we had neighbors sign during the summer of 1994. 18 19 The third attachment is a copy of the Al Shiner 20 noise readings of July 5th, 1994. Q. 21 And again, on that attachment, Mr. 22 Shelton, I notice that that contains--is that your handwriting at the bottom of the page of the 23 Shiner Associates letter? 24

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| 1  | A. It is. It's not the same notation that          |
|----|----------------------------------------------------|
| 2  | was on the copy that we looked at a few minutes    |
| 3  | ago, but as the months progressed, I became a      |
| 4  | little more learned and, in the arcane area of     |
| 5  | noise. And so, this was a little more accurate     |
| 6  | understanding of what I feel the facts were that I |
| 7  | note here. All right. And, lastly is a letter      |
| 8  | from Greg Zack at the EPA to Mr. Shiner where he's |
| 9  | talking about the effects of the enclosure being   |
| 10 | constructed by the Crowns.                         |
| 11 | Q. And, were these attachments all contained       |
| 12 | in the letter that you sent to the Winnetka        |
| 13 | Village Council on or about January 16th, 1995 and |
| 14 | identified for purposes of this hearing as Exhibit |
| 15 | 7?                                                 |
| 16 | A. Yes, they were.                                 |
| 17 | Q. I note that at the bottom of Page 4 of          |
| 18 | that letter, there's a c.c. to an A. Steven Crown. |
| 19 | Is that Mr. Crown the Respondent in this           |
| 20 | proceeding?                                        |
| 21 | A. It is.                                          |
| 22 | Q. And, I see that there's a little                |
| 23 | handwritten check beside his name. What, if        |
| 24 | anything, does that indicate?                      |

| 1  | A. That I did, in fact, mail the copy to           |
|----|----------------------------------------------------|
| 2  | him.                                               |
| 3  | Q. And the copy that you sent to Mr. Crown,        |
| 4  | would that have contained the attachments to the   |
| 5  | letter as well, as well as the body of the letter  |
| 6  | itself?                                            |
| 7  | A. Yes, it would.                                  |
| 8  | Q. And, is this a true and accurate copy of        |
| 9  | the letter that you sent to the Village on or      |
| 10 | about January 16th, 1995?                          |
| 11 | A. It is.                                          |
| 12 | MR. KAISER: Move for admission into                |
| 13 | evidence of Exhibit 7.                             |
| 14 | MR. CARSON: We object to the admission             |
| 15 | of Exhibit 7, in particular attachments which      |
| 16 | include the irrelevant and inapplicable Trane      |
| 17 | Bulletin, the blatant hearsay petition, the        |
| 18 | recitation by this witness who is obviously not an |
| 19 | expert witness as to what decibel readings mean    |
| 20 | and the letter from Mr. Zack as having no proper   |
| 21 | foundation. The attachments to the letter are all  |
| 22 | inadmissible. The letter itself directed to the    |
| 23 | Winnetka Village Council is of questionable        |
| 24 | relevance as well, and we're objecting to Exhibit  |

1 7.

| 2  | HEARING OFFICER: Counsel, can you inform          |
|----|---------------------------------------------------|
| 3  | me which of these exhibits have already been      |
| 4  | admitted as a separate exhibit?                   |
| 5  | MR. KAISER: The Trane Bulletin has been           |
| 6  | authenticated and admitted through Mr. Seda. The  |
| 7  | petition has been the subject of testimony from   |
| 8  | Complainant, Susi Shelton but not yet, to my      |
| 9  | knowledge, been offered and admitted. The letter  |
| 10 | of Mr. Shiner dated July 5, 1994 and except the   |
| 11 | annotation at the bottom by Mr. Shelton I believe |
| 12 | has been admitted. And Mr. Zack's letter of       |
| 13 | October 19th, 1994, to the best of my             |
| 14 | recollection, has been admitted.                  |
| 15 | MR. CARSON: Can I respond to that?                |
| 16 | HEARING OFFICER: Yes.                             |
| 17 | MR. CARSON: If this portion of the Trane          |
| 18 | Bulletin has been admitted, it has been as an     |
| 19 | attachment to a letter for a limited purpose. The |
| 20 | document itself has not been admitted into        |
| 21 | evidence. The Petition, to my knowledge, has not  |
| 22 | been admitted into evidence. Mr. Shelton's        |
| 23 | commentary regarding what decibel readings mean   |
| 24 | has never been admitted into evidence. And, if    |

this letter that Greg Zack, that purports to be a letter from Greg Zack dated October 19th has been admitted into evidence, I'd like to know what the exhibit number is. I'd like to verify it with my own notes because I don't recall that being the case.

7 MR. KAISER: Already. Madam Hearing 8 Officer, I stand corrected by, certainly, Mr. 9 Carson's remarks. I believe he's correct that Mr. Zack's letter of October 19th, 1994 has not 10 previously been added. And, I believe, certainly 11 12 agree he's correct when he states the Trane 13 Bulletin has been admitted for a limited purpose. 14 You'll recall the entire bulletin was the subject 15 of confidentiality between the Respondent and the 16 Trane Company on whether it was shown and was used 17 by Mr. Seda to authenticate these excerpts. The entire Trane bulletin was, of course, not offered 18 19 and weren't admitted into evidence. Again, we're 20 looking to get this information in for the 21 purposes of establishing what actions Mr. Shelton 22 has taken by way of seeking a remedy outside of 23 these proceedings. And again, it goes to notice, what Mr. Crown knew, when he knew it, what he did 24

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1 or did not do with that knowledge.

| _  |                                                    |
|----|----------------------------------------------------|
| 2  | HEARING OFFICER: Okay. I'm going to                |
| 3  | permit Exhibit 7 to be entered into evidence.      |
| 4  | With respect to the Trane engineering pages, the   |
| 5  | same limitations will apply as applied in our      |
| 6  | previous examination of this exhibit. This will    |
| 7  | not be admissible for the truth of the information |
| 8  | asserted. With respect to the petition, that will  |
| 9  | be added as a petition, as evidence of a petition. |
| 10 | The sound test results of Mr. Alan Shiner are      |
| 11 | already admitted, and the letter from Greg Zack    |
| 12 | will be added as evidence that he was in touch     |
| 13 | with Mr. Shiner related to this issue.             |
| 14 | MR. KAISER: Thank you.                             |
| 15 | BY MR. KAISER:                                     |
| 16 | Q. Mr. Shelton, I want to show you what's          |
| 17 | been marked for purposes of identification as      |
| 18 | Exhibit 35. It's a, I believe it's a 3-page        |
| 19 | document which has as its cover a letter from you  |
| 20 | to members of the Winnetka Village Council, Mr.    |
| 21 | Crown, Mr. Al Shiner. It's dated January 21st,     |
| 22 | 1995. Counsel, I see you have a copy on your       |
| 23 | table here, 35, I apologize.                       |
| 24 | MR. KAISER: Madam Hearing Officer,                 |
|    |                                                    |

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1 perhaps you could advise me whether this has been offered and admitted previously, or whether I need 2 3 to lay a foundation? HEARING OFFICER: 5 has been admitted 4 into evidence on July 1st. 5 6 MR. CARSON: My notes indicate a limited purpose of notice for Exhibit 35. Is that 7 8 consistent with your notes, Madam Hearing Officer? HEARING OFFICER: Yes, it is. 9 BY MR. KAISER: 10 Do you recognize that document? 11 ο. 12 Α. I do. 13 What do you recognize it to be? Q. 14 Α. It's a synopsis of the discussion that we had with representatives of the Village, Steven 15 Crown and Bob Julian on January 17th, 1995, and Al 16 17 Shiner was on the speaker phone a good part of that conversation. 18 19 Ο. Is this a true and accurate copy of the 20 letter that you sent to these addressees on or about January 21st, 1995? 21 22 Α. It is. And, did you enclose in that letter this 23 ο. 2-page synopsis of the January 17th, 1995 meeting? 24

1 Α. I did. I might also point out that in 2 Point 2 of the synopsis, was referring to Al 3 Shiner's point, this is where, what I based our real estate disclosure statement, that additional 4 steps could be taken, this was the basis for that 5 б statement. 7 Q. I see. Did you, in fact, participate in 8 a meeting concerning the Crown air conditioning 9 unit on or about January 17, 1995 at the Winnetka Village Hall? 10 11 Α. Yes. 12 Q. I note that at the top of the second page 13 of the document, you note people attending. Is 14 that, in fact, an accurate summary of the persons who were in attendance at the meeting? 15 16 Α. It is. 17 ο. And, I see that Mr. Shiner is indicated as attending by phone. What did you mean by that? 18 19 He was not physically at the meeting, but Α. 20 we did have a speaker phone in the conference room and he was listening and talking with the group 21 22 via the phone. Was one of the subjects discussed testing 23 ο. of the air conditioning unit? 24

1 A. Yes, it was. 2 Q. When you refer to testing, what are you talking about? 3 Additional noise readings. 4 Α. What agreements, if any, did you reach 5 Q. б with the Crowns concerning payment for additional noise readings? 7 8 Α. We agreed that we would share the cost of 9 the testing. Now, what, if any, agreements did you 10 Ο. reach with the Crowns concerning the timing of 11 12 such tests? 13 Α. That we would test as, essentially, as weather permits. 14 Did you make that summary of the meeting 15 Q. and the points discussed at the meeting on or 16 17 about the time of the meeting? I didn't. 18 Α. 19 Q. And, as you review that today, is that a 20 true and accurate summary of the points in the meeting, location, persons attending and subjects 21 covered? 22 23 Α. Yes, it is. MR. KAISER: I move for admission into 24

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```
1
          evidence of Exhibit 35 without restriction.
 2
                   MR. CARSON: No objection to Exhibit 35.
                   HEARING OFFICER: Okay, thank you.
 3
         Exhibit 35 is entered into evidence without
 4
         restriction.
 5
 6
         BY MR. KAISER:
 7
              Q. I want to show you what's previously been
 8
         marked for purposes of identification as Exhibit
 9
          60, a letter from Alan H. Shiner to David Shelton
         dated June 20th, 1995, asking you if you recognize
10
         that?
11
12
             A. Yes, I do.
13
              Q.
                  Is that a true and accurate copy of a
          letter you received from Mr. Shiner?
14
                   It is.
15
              Α.
                  Does that, in fact, summarize the noise
16
              ο.
17
         results that Mr. Shiner obtained in June of 1995?
                  Yes it does.
18
              Α.
19
              Q. I believe Exhibit 60 has already been
         admitted into evidence. If it hasn't, I now move
20
         for its admission.
21
                   HEARING OFFICER: Exhibit 60 has been
22
         entered into evidence.
23
         BY MR. KAISER:
24
```

Q. I want to show you what's been marked for purposes of identification as Exhibit 41, which I believe has been offered and admitted into evidence.

MR. CARSON: Can I, I'm sorry to 5 6 interrupt, but can I just raise a question with 7 respect to Exhibit 60? Is there a difference between 60 and 33? Looks like 33 is one that has 8 9 Mr. Shiner's signature on it and 60 does not. 10 And, I don't have a note as to whether 33 was already in or not, but perhaps for the sake of 11 12 avoiding duplication and so the record is clear, 13 we can just put one or the other.

HEARING OFFICER: 33 was never introduced in this meeting. And, there are several numbers of exhibits that were pre-numbered that have not been introduced, and I will share with you my draft list of the exhibits during our next break. I'll get some copies of these. They'll assist everyone, I think.

MR. CARSON: But, for purposes of going
forward, then, we're working with 60 and not 33.
MR. KAISER: That's correct. And, Madam
Hearing Officer, do you have a copy of 60 now?

```
1
                   HEARING OFFICER:
                                      No.
 2
                   MR. KAISER: Allow me to provide you
         with one.
 3
          BY MR. KAISER:
 4
                   I want to show you what's previously been
 5
              ο.
 б
          marked for purposes of identification as Exhibit
 7
          41, a letter from David R. Shelton to A. Steven
          Crown dated June, 26th 1995. And, Mr. Shelton, I
 8
 9
          show you Exhibit 41 and ask you if you recognize
10
          it?
                   Yes, I do.
11
              Α.
12
              Q.
                   What do you recognize it to be?
13
                   It's a letter that I sent to Steven after
              Α.
          the June, 1995 noise readings were completed by
14
         Mr. Shiner.
15
                   Did the letter contain certain
16
              Ο.
17
          attachments?
                   Yes, it did.
18
              Α.
19
                   Can you describe to the Board what those
              Q.
          attachments are?
20
                   The first attachment is a copy of the
21
              Α.
          June 20 letter from Al Shiner with his results.
22
          And, I have written in my hand at the bottom the
23
          results of readings at, near lot lines which Mr.
24
```

1 Shiner neglected to include. And, I also noted 2 the daytime standards. 3 The second attachment is a summary of 4 secondary kinds of issues that I thought should be addressed. And, the third issue is a letter 5 6 regarding projections, what the enclosure would do 7 and comparing that to, in the way of reducing sound, as compared to what the readings, in fact, 8 9 were in June of 1995. And, were those attachments included in 10 ο. 11 the letter that you sent to Mr. Crown? 12 Α. Yes, they were. 13 Ο. On or about June 26th, 1995 and identified as Exhibit 41. I note that the first 14 sentence states "The new enclosure has helped, but 15 it has not solved the air conditioner noise 16 17 problem." Was that, in fact, your opinion as of June 26th, 1995? 18 19 Yes, it has. Α. 20 Q. Describe again, for the Board, the sound 21 of the air conditioning unit as you experienced it 22 in your home in late June, 1995? 23 Α. When the unit commenced operation in the spring of 1995, we were very disappointed that the 24

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1 reduction in sound was not greater. In fact, it 2 was to our ear, trying to recollect back to the 3 prior fall when the system had been operating, it 4 was a very minimal reduction in the noise levels. 5 During the night of the test, the noise test that 6 Mr. Shiner conducted, however, the cones that have 7 been testified to previously were removed and 8 that, in fact, did lead to an improvement in the 9 sound levels. 10 So, up until the night of the readings, ο. 11 the impact on you was essentially the same as it 12 had been in 1994? 13 After the cones were removed with the Δ 14 enclosure, there was some relief from sound. 15 However, it continued to present us with some 16 quite serious problems. We continued to have 17 difficulty sleeping, even with our windows closed. 18 A particular drone penetrates through the windows, 19 even with the storms on, we continued to keep the 20 storms on and we could hear the air conditioner. 21 It would wake us up. We continued to sleep with 22 the fans on and would sometimes sleep with ear 23 plugs. We continued to find the noise levels too high in our backyard and patio, particularly later 24

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1 in the evening, and what, in our minds, was a very 2 serious problem. Our son couldn't sleep in his room still, he had to vacate that. And, as a 3 result of all those things, the loss of sleep and 4 so on, we continued to have anxiety and stress, I 5 б think being tired, depressed, that sort of thing. 7 And, did you form an opinion as to what Q. 8 was the cause of your loss of sleep in the summer 9 of 1995? Yes, though with the cones off, the noise 10 Α. levels were clearly reduced, it was still a 11 12 problem for us sleeping. Q. 13 And, when you refer to it, what are you 14 referring to? The Crown air conditioner, the chiller. 15 Α. MR. KAISER: I move for admission into 16 17 evidence of Exhibit 41 to the extent it hasn't been so moved and so received. 18 19 HEARING OFFICER: Exhibit 41 was admitted 20 into evidence July 1st for a limited purposes. 21 MR. CARSON: No. No objection to 22 Exhibit 41. HEARING OFFICER: All right, thank you. 23 Exhibit 41 is entered into evidence without 24

restriction.

1

2 BY MR. KAISER:

3 I want to show you what's been marked for Ο. 4 purposes of identification now and received into evidence as Exhibit 41. And, I want to direct 5 6 your attention to the 5th page, the section which 7 you've entitled Other Issues and dated June 26th, 1995. Did you, in fact, create this document, 8 9 this attachment, other issues, about that date? 10 Α. Yes. And, I believe you just talked about the 11 ο. 12 noise reduction gotten from the enclosure was must 13 less than expected. I note that in the Paren 2, 14 you note sound surges were not tested. What do 15 you mean by that? The tests were being conducted at 10:00 16 Α. 17 o'clock or later on Monday evening. As a result, we limited the test. In fact, this was limited to 18 19 a protocol that had been agreed to by Mr. Shiner 20 and Mr. Mautner, and that didn't include any 21 measurement of the impact of a compressor cycling 22 on and off. And, those were the sound surges I 23 was referring to. And what impact had those sounds had on 24 ο.

1

you and your family?

2 They were particularly disruptive, the Α. 3 change in sound levels in particular would awaken us or caused you to start if it were during the 4 day time. 5 6 Q. I note that at Paren 3, you note sections 7 that are sound levels, levels were tested. What 8 do you mean by that statement? 9 We'd been concerned from the beginning of Α. 10 this whole matter that particularly our son's second floor bedroom was directly overlooking the 11 12 Crown air conditioner, and the enclosure that they 13 built did not have anything on top of it. As a 14 result, there was a direct line of sight from his room to the air conditioner and the noise levels 15 weren't any louder in our sound room, they were 16 17 quite loud. And, unfortunately, that night, those noise levels were tested. 18 19 Paren 4, sound pulsations, beatings 20 were not tested. What are you referring to by 21 sound pulsations? 22 Particularly during 1994 and the early Α. part of 1995, one could hear increases and 23 decreases in the sound levels which I've used the 24

1 term "beating" to describe them. And, it's my 2 understanding, I realize I'm a layman, that that's 3 the result of different components of the Crown chiller getting into and out of phase with each 4 other. It increases, then decreases. It's a 5 6 pulsating kind of rhythm to it and we could listen 7 to that all night in our beedroom. 8 Paren 5 shows frequency problems. Ο. What 9 are you referring to? 10 I must say it was drawn up on some of the Α. information that Mr. Shiner provided along the 11 12 way. Particularly, in our bedroom, there seemed 13 to be a particular drone that was very 14 bothersome. Mr. Zack had thought that it might be what he calls a discreet tone problem, which I 15 believe he alluded to in his testimony last week. 16 17 It's a particularly low frequency at which the frequencies on either side are much lower. And, 18 19 when that phenomenon happens, it can cause a 20 particularly irritating sound. 21 Where, if ever, have you experienced that Ο. 22 type of particular irritating sound? 23 Α. Our bedroom. When you say your bedroom, you're 24 Q.

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| 1  | referring to your bedroom at 707 Ardsley Road in  |
|----|---------------------------------------------------|
| 2  | Winnetka, Illinois?                               |
| 3  | A. Yes.                                           |
| 4  | Q. During what time frame did you experience      |
| 5  | that especially irritating sound?                 |
| 6  | A. During the evening hours when we're            |
| 7  | trying to sleep.                                  |
| 8  | Q. Did you experience that during the summer      |
| 9  | months of 1994?                                   |
| 10 | A. Yes.                                           |
| 11 | Q. Did you experience that in the late            |
| 12 | spring and early summer of 1995?                  |
| 13 | A. Yes.                                           |
| 14 | Q. Duration of sound, Paren 7. What are you       |
| 15 | referring to there?                               |
| 16 | A. I was referring to the fact that in my         |
| 17 | experience, when people think of residential air  |
| 18 | conditioners, they think of air conditioners that |
| 19 | are used on particularly hot days and not on days |
| 20 | when it isn't so hot. And, living relatively near |
| 21 | to the lake shore, we have a lot of cool days.    |
| 22 | And, as a result, most people that I know don't   |
| 23 | operate their air conditioners at their homes     |
| 24 | constantly. Even when they are operating, the     |

units are frequently cycled off and may not run at
 all during the night if things are cooled down.
 The Crown chiller is completely unlike that. It
 essentially operates 24 hours a day for many
 months at a time.

6 Without interruption or relief? Ο. 7 There, I should say there was a change Α. 8 made sometime in the spring of 1995, I think it 9 was my impression it was just prior to the Shiner 10 tests in June of 1995 where they reduced--back up 11 for a moment. They adjusted the system controls 12 so that the system did not cycle on and off as 13 frequently as it had before. That, in fact, there 14 was some part of the system that was on absolutely 15 continually, and then other parts would kick on and off above that. But, I sort of lost track of 16 17 where I was on the question there. That's essentially what I mean by duration of this thing. 18 19 Right now, it operates continually.

20 Q. Thank you. I want to show you now, I 21 want to back track just a bit and show you what 22 we've marked for purposes of identification as 23 Exhibit 37, a handwritten letter from you to 24 Steven Crown dated 5-19-95. Showing you a copy of

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that, ask you if you recognize that.

1

2 Α. Yes, I recognize this. 3 ο. What do you recognize that to be? It's a letter that I sent to Steven 4 Α. talking about the spring readings that we had, 5 б noise readings that we had agreed to in our 7 meeting with Village officials in January, 1995. Q. 8 Is that a true and accurate copy of the 9 letter that you sent to Mr. Crown on May 19, 1995? 10 Α. It is. MR. KAISER: Move for admission into 11 evidence of Exhibit 37. 12 13 MR. CARSON: Could we have just a 14 moment? HEARING OFFICER: Yes. Let the record 15 reflect that Exhibit 37 does not include any 16 17 readings per se. MR. CARSON: No objection to 37. 18 19 BY MR. KAISER: 20 Q. Showing the witness what's marked 21 previously for purposes of identification as Exhibit 8, a letter from David Shelton to A. 22 Steven Crown dated June 16, 1995. Showing it to 23 the witness, ask you, Mr. Shelton, do you 24

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1

| recognize | that? |
|-----------|-------|
|           |       |

2 Α. Yes. What do you recognize it to be? 3 Q. It's a letter to Steven that I wrote 4 Α. concerning the noise level tests conveying, I 5 б think, some frustration that it was taking him so 7 long to get them done. And also conveying to him that we were still having serious problems with 8 9 noise levels. Is that a true and accurate copy of the 10 ο. letter that you sent to Mr. Crown on or about June 11 6th, 1995? 12 13 A. Yes, it is. MR. KAISER: Move for admission into 14 evidence of Exhibit 8. 15 MR. CARSON: No objection to 8. 16 17 BY MR. KAISER: Showing counsel for Respondent what's 18 ο. 19 previously been marked for purposes of 20 identification as Exhibit 28. Showing a copy to the witness. It's a letter from David R. Shelton 21 to A. Steven Crown dated June 12, 1995. Mr. 22 Shelton, do you recognize that letter? 23 24 Α. Yes.

1 Q. What do you recognize it to be? 2 A letter I sent to Steven concerning the Δ noise tests and the fact that the noise continued 3 to be a problem for my family. 4 Q. Is that a true and accurate copy of the 5 б letter you sent to Mr. Crown on or about June 7 12th, 1995? 8 Α. It is. Move for admission into 9 MR. KAISER: evidence of Exhibit 28. 10 MR. CARSON: No objection. 11 12 HEARING OFFICER: All right. 13 BY MR. KAISER: Showing the witness, showing counsel for 14 Q. Respondents first a copy of a letter dated June 15 30th, 1995 from A. Steven Crown to David R. 16 17 Shelton. I believe it's previously been offered and admitted into evidence as Exhibit 40. Mr. 18 19 Shelton, I ask you to take a look at that document 20 and tell me if you recognize it? 21 Α. Yes, I do. 22 Q. What do you recognize it to be? This is a letter I receive from Steven, 23 Α. actually received it in early July, saying that 24

the results of the Shiner test notwithstanding,
 they were not going to do anything more about the
 noise.

4 Q. What was your emotional response to the5 receipt of that letter?

6 To answer the question, I need to back up Α. 7 for just a moment, I think, to characterize my 8 whole mindset at the time. I've described, again, 9 the physical reactions we were continuing to have 10 with the noise. By this time, I was also getting very horribly frustrated and concerned about the 11 12 opportunity cost of what this thing was, this 13 whole matter was doing, the amount of time it was 14 taking, you know, the thought being essentially that I have a terrific family and I have some 15 16 interesting business things going on, the world 17 has all kinds of things happening, the last thing in the world we need to do is to be talking about 18 19 an air conditioner problem. I was unbelievably frustrated at the cost of not being able to do 20 those things because of having to deal with this 21 22 whole matter. I kept asking myself where could we 23 have done something differently so we hadn't gotten to this point. I couldn't find anything. 24

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1 I was very scared of where this letter left us. A 2 line had been drawn in the sand the prior January 3 when they told us they would do no more, and that 4 forced us to go to the Village. A line in the sand was being drawn again, which was going to 5 6 have to force us to go to Pollution Control or 7 some kind of litigation. The thought of pitting 8 our resources against those of the Crowns in 9 litigation was, quite frankly, very scary to me. MR. CARSON: Objection to that last 10 comment and move to strike it. It's irrelevant 11 12 and it's prejudicial. It's clearly inappropriate. 13 MR. KAISER: It's responsive, Madam Hearing Officer, to the question asked, what was 14 his emotional response? It's just a genuine 15 16 reaction and appropriate testimony. 17 MR. CARSON: It may be a genuine feeling, but it also is an attachment to object 18 19 property into this proceeding that's never a 20 consideration for this Board or any governing 21 body. 22 MR. KAISER: It goes to the legitimacy of 23 this complaint in so many regards as to what caused him to finally take this step and to pursue 24

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1 litigation and to see it through. I think it 2 gives credance to all of his testimony concerning 3 the extent of the problem and the unreasonableness and the interference with his life. And, this is 4 just one component. Granted, Respondent's don't 5 6 want to hear about, don't want the Board to 7 consider it, but it's something that was in his 8 mind and is an appropriate consideration for the 9 Board.

10 HEARING OFFICER: The objection is 11 overruled. However, the witness' testimony with 12 respect to the financial resources of the parties 13 is not admissible for the truth of the matter 14 asserted.

15 Α. Finally, going through my mind was a 16 grave disappointment that the Village hadn't been 17 able to solve our problem, and a continued feeling of utter helplessness that here we were, we were 18 19 precluded from putting an addition onto our house 20 because it would make us closer to this noise 21 problem. On the other hand, this thing was 22 clearly handicapping our ability to sell our home. 23 And, it was clearly taking away the joy of living in our home. Maybe the right adjective was 24

1 despair, was in despair when I saw this. I 2 couldn't believe it was happening. So, that was 3 my reaction when I got this letter. 4 Ο. I want to show you what's previously been or perhaps not previously been marked, but --5 6 well, previously been marked, I can't frankly 7 state whether it's been offered or accepted into evidence, it's Exhibit 22, a handwritten letter 8 9 from David Shelton to Steven Crown dated September 10 21st, 1995. HEARING OFFICER: That was entered into 11 12 evidence on July 1st for limited purposes. 13 BY MR. KAISER: 14 Q. I want to show you Exhibit 22, Mr. Shelton, ask you if you recognize that? 15 16 Α. Yes, I do. What do you recognize that to be? 17 Q. It's a note that I sent to Steven Crown 18 Α. 19 in September after receiving his June 30, I 20 believe it was, letter. Our correspondence and communications had dropped off markedly. In fact, 21 22 I'm not sure if we had any during that time period. However, the air conditioner was 23 continuing to run and I, in fact, did keep 24

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1 notations during this time period. There were 2 nights when our thermometer showed temperatures in the '30's, '40's and '50's when the air 3 4 conditioner was running. Is that farenheit? 5 Ο. 6 Α. It is. 7 Q. Where is that thermometer located? 8 Outside our current window. Α. 9 Have you ever had the opportunity to Q. 10 observe the reading on your thermometer outside the window at your residence at 707 Ardsley Road 11 12 in Winnetka, Illinois and compare the purported 13 temperatures that you saw on your thermometer with 14 those you heard on radio or television broadcasts? I've noticed over time that it seems to 15 Α. be reasonably accurate, particularly within a mile 16 17 of the lake shore. There's frequenly a fairly large difference between the temperature reported 18 19 at O'Hare and Midway and what it is at the lake 20 shore. But generally, it's been reasonably 21 accurate. Certainly, I would think within plus or 22 minus 5 degrees or so. But, which accuracy seemed 23 sufficient to me to continue to be nonplussed at the fact that here it is with our thermometer 24

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1 showing the '50's and the '40's even on a couple 2 of occasions the '30's, and the air conditioner is 3 running. And, when you say the air conditioner 4 ο. again, just for precision, what air conditioner 5 6 are you referring to? 7 The Crown chiller. And, I might add that Α. 8 it's been our experience that as it gets colder, 9 the noise actually seems to be louder. Whether that's because colder air transmits sound better 10 11 or not, I don't know what the phenomenon is. But, 12 that's our sensation. So, it particularly drove 13 us up a wall to have the system operating when it 14 was that cold. This particular letter, in 15 response to your question, I faxed to Steven in 16 September. Susi, I was having a cornea transplant 17 and really needed to get some sleep. And so, I faxed this letter to him. I don't know if he was 18 19 in his office to see it or not, but I in any 20 event, the air conditioner was not shut off. MR. KAISER: Move for admission into 21

evidence of Exhibit 22 without restriction.
MR. CARSON: No objection.
MR. KAISER: Madam Hearing Officer, I

| 1  | would like to show to counsel for Respondents what |
|----|----------------------------------------------------|
| 2  | I'm marking for purpose of identification as       |
| 3  | Exhibit 109. Tendering a copy to Respondent's      |
| 4  | counsel and also showing the original to Madam     |
| 5  | Hearing Officer. This is a certified copy of       |
| б  | portions of the Winnetka Village Code pertaining   |
| 7  | to free standing heating or cooling devices. As    |
| 8  | I'm sure you're aware, Illinois Revised Statutes   |
| 9  | provide for judicial notice of village codes and   |
| 10 | any municipal ordinances. And, we would, at this   |
| 11 | time, move for admission into evidence of Exhibit  |
| 12 | 109.                                               |
| 13 | MR. KAISER: The effective date, Mr.                |
| 14 | Elledge, is January 17th, 1996.                    |
| 15 | MR. CARSON: If you don't mind my asking            |
| 16 | 23.35, that's pertinent here?                      |
| 17 | MR. KAISER: That's correct. That's                 |
| 18 | right.                                             |
| 19 | MR. CARSON: Can we go off the record for           |
| 20 | just a moment?                                     |
| 21 | HEARING OFFICER: Let's go off the record           |
| 22 | for a moment.                                      |
| 23 | (WHEREUPON, the luncheon recess was                |
| 24 | taken)                                             |

| 1  | AFTER RECESS                                       |
|----|----------------------------------------------------|
| 2  | MR. DIVER: Madam Hearing Officer, back             |
| 3  | on the record? I believe the last thing we were    |
| 4  | talking about were two identified exhibits, 108    |
| 5  | and 110. 108 being a purported copy of the         |
| 6  | Winnetka Village Code and Plaintiff's 110 being a  |
| 7  | purported copy of an ordinance                     |
| 8  | MR. CARSON: 153-95.                                |
| 9  | HEARING OFFICER: Yes. And counsels,                |
| 10 | would you please note that on my draft exhibit     |
| 11 | list, the second entry 109 should read 110. That   |
| 12 | was an inadvertent                                 |
| 13 | MR. DIVER: 110 should be for the                   |
| 14 | ordinance.                                         |
| 15 | HEARING OFFICER: Yes.                              |
| 16 | MR. DIVER: On reviewing these and                  |
| 17 | having considered Madam Hearing Officer's comment  |
| 18 | as to whether or not an actual change was effected |
| 19 | and if so, what, by virtue of this ordinance, what |
| 20 | we've determined to do is to wait until we return  |
| 21 | the next time for hearing and then present a copy, |
| 22 | a certified copy of the ordinance as it was before |
| 23 | being amended and make that as our last element of |
| 24 | proof in the case. Basically, the ordinance prior  |

```
1
          to amendment.
                   HEARING OFFICER: All right. And, I
 2
          don't think we have any motion for the
 3
          introduction of these exhibits into evidence at
 4
          this time, is that correct?
 5
 6
                   MR. KAISER: That's correct.
 7
                   HEARING OFFICER:
                                      Okay.
                                We'll bring those back as
 8
                   MR. DIVER:
          the first item of business at our next hearing
 9
          date and make that as the last element of our
10
11
          case.
12
                   HEARING OFFICER: Okay. We'll handle
13
          that as a preliminary activity in August.
                   MR. DIVER: The 19th.
14
                   HEARING OFFICER: 19th. All right. Mr.
15
          Kaiser, you wish to proceed with testimony of Mr.
16
17
          Shelton?
                   MR. KAISER: Yes, if I may.
18
19
          BY MR. KAISER:
20
              Q.
                   Showing counsel for Respondents, having
          previously shown counsel for Respondent's what's
21
         marked for purposes of identification as Exhibit
22
          111, I'm showing a copy of that exhibit, which
23
          states at the top, Plat of Survey, B.H. Suhr and
24
```

```
1
          Company, Inc., that's S-u-h-r. Showing you this
 2
          document, Mr. Shelton, I ask you if you recognize
 3
          that?
 4
              Α.
                   Yes.
                   What do you recognize that to be?
 5
              Q.
 б
                   It's a Plat of Survey of our home.
              Α.
 7
              Q.
                   And, that's your home at 707 Ardsley
          Road, Winnetka, Illinois?
 8
 9
              Α.
                   Yes.
10
              ο.
                   Did you cause that survey to be
          performed?
11
12
              Α.
                   Yes.
13
              Ο.
                   And, is this a document that's been in
          your files?
14
15
              Α.
                   Yes.
                   Is this a true and accurate copy of the
16
              ο.
17
          survey you received on or about November 6th,
          1991?
18
              A. Yes, it is. I'm noticing for the first
19
20
          time that it does say one story brick residence.
          I don't know if they're counting our second story
21
          as one and a half story and, therefore, one, or if
22
          it's two story. But, other than that, this is,
23
          it's true and accurate as to what I received.
24
```

1 Q. Looking at where your one story, what's 2 identified as a one story home, but in November of 1992 was, in fact, a 2 story home, does that, can 3 4 you confirm whether that indicates where your residential structure is located within your lot 5 6 lines? 7 Α. Yes, it does accurately show that. MR. KAISER: I move for admission into 8 9 evidence of Exhibit 111. 10 MR. CARSON: No objection to 111. HEARING OFFICER: Number 111 is entered 11 12 into evidence. 13 BY MR. KAISER: Q. Mr. Shelton, I'd now like to talk with 14 15 you a little bit about the subject that came up 16 during the course of Mr. Crown's examination. 17 You'll recall that there was testimony from Mr. Crown that at one time in, I believe it was the 18 19 Spring of 1993, you had offered to sell your home 20 at 707 Ardsley Road to Mr. Crown. Do you recall that testimony during Mr. Crown's examination? 21 22 Yes, I do. Α. Please tell us the circumstances 23 ο. surrounding that offer of sale? 24

1 Α. By the spring of 1993, we were thinking 2 about the second phase of our addition plans. 3 However, we were also a little concerned that we had, as I mentioned earlier, lived through a half 4 a construction project before and also by that 5 6 time, we had been living next door to the Crown 7 construction for some year and a half. And, we 8 were a little concerned about going forward and 9 living through another project. The reality of it 10 was a little more intimidating than the original thoughts about it scattered over the years. We 11 12 thought that perhaps a better alternative would be 13 to find a house that had the remaining things that 14 we felt we needed without an addition. However, we were concerned about selling the house on 15 16 Ardsley and going out and looking for a new one 17 because of the risk and hassle of listing the house for sale. We had, in fact, had a very bad 18 19 experience in 1989 when we bought the house at 707 20 Ardsley, we ended up with two houses for almost a 21 year. And, that left a very sharp memory in our 22 minds. And so, we were hesitant on the one hand 23 to go forward with construction. But, on the other hand, to go through the effort of listing 24
1 the house. As we talked about this, it came to 2 our minds that perhaps our neighbors, the Crowns, 3 though they weren't living there yet, might be 4 interested in our house. They were obviously undertaking a mamouth construction project that 5 6 was on, for the size house, a relatively small 7 piece of land. And so, it seemed that they would 8 be an obvious possibility of someone who might be 9 interested in buying our house without us having 10 to go through the risk and hassle of listing it. So that I called Steve and told him about it. He 11 12 asked what we would be asking for the house. Т 13 told him that I would need to talk with some 14 brokers to get an idea and would get back to him. 15 I did that, I got back to him with an indicated 16 value. His reaction was that while that might be 17 a fair value with a house on it, they would be looking at it as vacant land and it would not be 18 19 worth nearly as much to them. There was a big 20 difference. And so, I said I completely understand, and that was the end of it. We never 21 22 broached the subject again. 23 ο. Now, I want to talk about an issue that

24 came up during the course of your wife, Susi

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1 Shelton's, testimony and that is the decision you and Susi made in January of 1996 to relocate your 2 3 family to the State of Ohio. Can you please describe for us the considerations that entered 4 into your decision to locate your family to the 5 6 State of Ohio in January of 1996? 7 Yes. If I can previously back up, I'm Α. 8 President of a company called Montgomery Shelton & 9 Company. I have two partners in the business, and 10 we have, over the years, acquired some different businesses. When I say we, the principals along 11 12 with some other investors. One of those 13 businesses is a company called the NEEF Company 14 and it's located in Greenville, Ohio, manufacturers and markets directly to schools, 15 awards product letters, banners, school jackets, 16 17 things of that sort. I played the role of CEO of the company since we acquired it in 1987. Since 18 19 1991, I have, in fact, spent a lot of time with 20 the company making 3 to 4 trips per month to the 21 company of 1 to 3 days at a time. In the fall of 22 1995, the Board of the company asked if I would step in full time as its Chief Operating Officer, 23 as well as the CEO of the business. And, the 24

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1 expectation was that I would do that for a year 2 and a half, by which time we would recruit a new 3 President from the outside. I agreed to do that, 4 with the realization that this would require some 5 increase in my commuting to make, to being a 6 regular weekly event going down on Monday morning, 7 coming back on Thursday night was the expectation 8 that we had. After talking this over with Susi, 9 my wife, we concluded that the commuting is 10 certainly not desirable. On the other hand, it's 11 certainly been manageable for the years since 12 we've been doing it in 1991. And, the idea of 13 moving the family, taking the kids out of school 14 mid year and causing all that dislocation is 15 something that we didn't want to do. So, on 16 balance, those things alone considered, we would 17 have continued with the commuting for the extra year and a half. However, the toll of all that's 18 19 gone on regarding the air conditioner, the noise 20 and all of the anguish that's gone on in trying to 21 get this problem resolved has taken a toll, 22 serious toll, particularly on my wife, who's been 23 at home most of the time during the day, as well as evening, and had to bear the brunt of it. And, 24

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1 as we talked about it, we concluded that, you 2 know, it might be good for all of us to get away 3 from this, to try to relieve some of the stress. So, with that thinking, we decided that we'll go 4 ahead and move down to Ohio for the year and a 5 6 half. And, that's the reason for the relocation. Q. 7 And then since January of 1996, you and your family have been residing in the State of 8 9 Ohio? Α. 10 We've been, Montgomery Shelton & Company still has its office in Winnetka. My office is 11 12 still there. We've rented our home in Winnetka to 13 tenants. We have rented a home in Greenville for 14 that 18 month period, and have been living down 15 there for that time period. 16 How have your children adjusted to the ο. 17 move? The move has not gone well for our 18 Α. 19 family. It's been much more difficult than we had 20 realized. There's been considerable culture shock 21 involved. Also, I think taking the kids out of 22 school mid year made things quite a bit more difficult than we had expected they would. As a 23 24 result, our kids are, in varying ways, struggling

1 quite badly. And, we have essentially concluded 2 that we need to come back to Winnetka to get them 3 back into school here sooner rather than later. 4 We've also, by the way, been quite disappointed in the quality of the schools in Greenville. We knew 5 6 that they weren't terrific schools, but due to a 7 long period of financial problems they are, in 8 fact, quite disappointed. So, we're planning, as 9 of right now, to relocate back to Winnetka prior 10 to the start of this coming school year, the 1996-97 school year, and we'll have to make 11 12 temporary housing arrangements until our lease on 13 our house is terminated next spring. And, I will 14 resume commuting at that time.

15 Ο. Mr. Shelton, have you, we're referring in 16 your testimony towards the latter part of the 17 morning to the lost opportunity costs that this whole air conditioner problem has caused you to 18 19 incur. Could you again describe for the Board 20 what you mean by lost opportunity or the 21 opportunity cost, what that concept means to you? 22 Yes, there are two aspects of it. One is Α. 23 very difficult to quantify, and it has to do with the pain and suffering in a situation like this 24

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1 and the distraction, loss of sleep, tiredness, 2 that sort of thing which can reduce your 3 effectiveness. And, whether that be in business 4 or family matters, that has a cost to it. And, I 5 don't know how to quantify that. However, we have 6 made some effort to determine the other kind of 7 opportunity cost which has to do with actual time 8 you had to spend working on the problem as opposed 9 to doing other things. We didn't keep a detailed 10 log all the way through this, we had no idea it 11 was ever going to come to all of this. However, 12 we have gone back and can reasonably estimate that 13 for specifically identifiable kind of time, it's 14 excluding the hearing times and so on, the travel 15 times here, so on, the food, 400 hours and I would guess that that's, you know, only a small part of 16 17 the time that we spent on this, my wife and I 18 together since this has been going on. 19 So that number, 400 hours represents time Q. 20 you and Susi have spent? Going to meetings, writing letters, going 21 Α. 22 to the sound readings, preparing for things, that sort of thing. And, again, I didn't begin to 23 count the numerous phone calls and that sort of 24

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1 thing, or contemplation time that went into this. 2 The research time, you know, in addition to the 3 Trane people here in Chicago, I talked to Trane 4 engineers at the production plant in Tennessee. I've talked to the air conditioning refrigeration 5 6 institute, I talked to U.S. EPA, I talked to the 7 Illinois EPA. I didn't count all of that time. 8 Ο. You did not count that time, is that your 9 testimony? Certainly not all of it. I'd have to go 10 Α. back and look at it in detail, but most of it I 11 12 certainly didn't count. 13 Is it my understanding you excluded from Q. 14 that total the time spent in hearing? 15 Α. Yes. And have you, in fact, been present 16 ο. 17 throughout the hearing? 18 Α. I have. 19 Are you aware of the current operational Q. 20 instructions for the Crown air conditioning unit? 21 Yes, I am. I was present at the readings Α. a couple of weeks ago when they tested the system 22 23 with those operating instructions. And, what is your understanding of how 24 ο.

1

the system is to operate currently?

It's my understanding that it's geared 2 Α. for one compressor, I believe it's the 10 ton 3 4 compressor, to operate along with one or possibly two fans between the hours of 10:00 p.m. and 5 6 either 6:00 or 7:00 a.m., I've forgotten exactly 7 which. 8 Ο. And, do you have an opinion as you sit 9 here this afternoon, as to whether that would be an effective remedy to the noise problem you've 10 been enduring for in excess of two and a half 11 12 years now? 13 MR. CARSON: Objection to the form of the 14 question, and also it's calling for a conclusion. 15 HEARING OFFICER: Can you rephrase the 16 question? 17 BY MR. KAISER: Do you have an opinion, as you sit here 18 ο. 19 this afternoon, as to whether the operating 20 instructions that you have just described will cause a reduction in noise from the Crown air 21 conditioner that will be sufficient to allow you 22 23 to sleep at night. MR. CARSON: Same objection. 24

| 1  | HEARING OFFICER: Sustained.                        |
|----|----------------------------------------------------|
| 2  | Q. Do you have an opinion concerning these         |
| 3  | proposed operating instructions that are           |
| 4  | currently in place?                                |
| 5  | MR. CARSON: Same objection. It's calling           |
| 6  | for an opinion.                                    |
| 7  | MR. KAISER: A witness who is capable of            |
| 8  | rendering an opinion. I don't understand that as   |
| 9  | a basis for objection.                             |
| 10 | HEARING OFFICER: Sustained.                        |
| 11 | BY MR. KAISER:                                     |
| 12 | Q. Were you present during these sound             |
| 13 | readings taken by Greg Zack in the latter part of  |
| 14 | June, 1996?                                        |
| 15 | A. Yes in the latter part of 1996 or               |
| 16 | Q. Yes, wasn't that June, late June of 1996?       |
| 17 | A. Oh, late June.                                  |
| 18 | Q. Late June of 1996.                              |
| 19 | A. I'm sorry, you said the latter part of          |
| 20 | 1996.                                              |
| 21 | Q. Yes.                                            |
| 22 | A. Yes, I was.                                     |
| 23 | Q. Did you have an opportunity to listen to        |
| 24 | the sound eminating from the Crown air conditioner |

during that evening in late June, 1996?

1

Yes, I did? 2 Α. At what different locations on your 3 ο. 4 property and on the Crown property did you have an opportunity to hear the sound from the air 5 6 conditioning unit in late June, 1996? 7 During the course of measurements, we Α. 8 were moving around the perimeter of the air 9 conditioner, the chiller primarily on the north 10 side. The readings themselves were taken directly north of the chiller. There were then some 11 12 readings done on our property at the southeast 13 corner of our house. So, I listened from there as 14 well and I also walked out into our back yard and 15 patio. Can you describe for the Board how the 16 ο. 17 sound that you heard in late June of 1996 compares to the sound you had last experienced on your 18 property in October or November of 1995? 19

20 A. The, it's very difficult with the gap in 21 time to make a direct comparison. I found the 22 sound level to be very troublesome, and as I 23 walked around my backyard, that's the dominant 24 sound and in the past found myself being

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1 completely enveloped in the sound. It's, as far 2 as I can tell, not a great reduction. I did not 3 have the opportunity to go to the second floor 4 that evening, but certainly Mr. Zack's tests showed, the sound levels at the second floor, even 5 6 at this one third operating condition, are 7 extraordinarily loud, and that would continue to 8 be a very serious problem. In fact, as I recall 9 the readings, they were well above the daytime 10 readings, let alone the nighttime readings 60 feet away from the chiller. It would also be, with 11 12 this limited operation, which was well in excess 13 of both nighttime and daytime standards, be very 14 concerned about the fact that they are only talking about this limited operation between 10:00 15 p.m. and 6:00 or 7:00 a.m. in the morning, which 16 17 means that we'd have to endure the full force of the machine during the evening hours when we'd 18 19 like to be out on the patio enjoying an evening 20 dinner and so on. And, during the early morning 21 hours when, particularly on the weekends, our kids 22 would like to sleep in and so on. That would 23 certainly be impossible after that kind of an 24 operating regime. Plus, as Mr. Crown

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1 acknowledged, they and Mr. Mautner, they can't 2 commit that this is a workable solution and 3 certainly they don't know what will happen if there are a lot of people in the house and they 4 have a party. Can that limited operation cool the 5 6 house? They frankly don't know. Furthermore, 7 what would happen if they were to sell the house 8 or there were guests there when they weren't, and 9 they got too hot, would they turn on the unit, you 10 know, it's just not a permanent solution, it's nothing that they can guarantee. I think it would 11 12 be a presumption for continued strife because it 13 can't be policed. It's just not a permanent 14 solution. 15 MR. KAISER: Thank you, Mr. Shelton, I 16 have no further questions at this time. 17 MR. CARSON: Can we take just a few minutes? 18 19 HEARING OFFICER: Yes, I believe we have 20 come to the conclusion of the Complainant's case in chief. 21 22 MR. DIVER: Madam Hearing Officer, with 23 the exception of the documents that we indicated 24 that we would attempt to put on during, at the

1 very close of our case, the only other matter that 2 remains, and I'm not sure procedurally how exactly Madam Hearing Officer would like to do this, but 3 4 there's a matter of interrogatory answers that we would like to have the Board consider that as 5 6 interrogatory answers which were filed by Mr. 7 Crown, as well as the request to admit facts that 8 were served upon Defendant and were unresponded 9 to. We would move to have both those considered 10 by the Board, if such a motion is necessary, to allow the Board to consider those documents which 11 12 are already to be reported. 13 HEARING OFFICER: I believe those have 14 been filed with the Board and that includes 15 responses that Respondents counsel made on behalf 16 of Respondent. 17 MR. DIVER: I believe that the requests to admit were filed and there were objections to 18 19 certain of them. 20 HEARING OFFICER: Okay. Let's go off the record for just a couple of minutes, then. 21 (WHEREUPON, a short recess was 22 23 taken.) HEARING OFFICER: Back on the record, and 24

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| 1  | we'll have the cross-examination of Mr. Shelton at |
|----|----------------------------------------------------|
| 2  | this point in time.                                |
| 3  | CROSS EXAMINATION                                  |
| 4  | BY MR. CARSON                                      |
| 5  | Q. Mr. Shelton, if I understood your               |
| 6  | testimony correctly you're the Chief Operating     |
| 7  | Officer of a company that's based in Greenville,   |
| 8  | Ohio, is that correct, sir?                        |
| 9  | A. Yes.                                            |
| 10 | Q. And, I think you indicated it was to be a       |
| 11 | one and one half year term?                        |
| 12 | A. Yes.                                            |
| 13 | Q. And, has that been committed to any sort        |
| 14 | of Board resolution, the one and one half year     |
| 15 | term?                                              |
| 16 | A. I don't believe that the specific term          |
| 17 | was in the Board resolution. I don't believe, I'd  |
| 18 | have to go back and look at the Board resolution.  |
| 19 | Q. But, you understood it was to be a term         |
| 20 | of one and one half years, though, is that right?  |
| 21 | A. Yes.                                            |
| 22 | Q. And, has the successor been named yet,          |
| 23 | sir?                                               |
| 24 | A. No, he has not.                                 |

| 1  | Q. Okay. Now, you said on direct                   |
|----|----------------------------------------------------|
| 2  | examination that you're planning to move back to   |
| 3  | Winnetka before the commencement of school in the  |
| 4  | fall of 1996, is that correct?                     |
| 5  | A. We're about 95, 96 percent determined           |
| 6  | that that's what we will do, yes.                  |
| 7  | Q. So, you're not 100 percent sure at this         |
| 8  | time?                                              |
| 9  | A. Until we've actually done it, I suppose         |
| 10 | there's always some possibility that we won't, but |
| 11 | we are essentially there. We just have to make     |
| 12 | sure that the kids can get into the Winnetka       |
| 13 | School system, we can get that back on track.      |
| 14 | We're in the process of doing that right now.      |
| 15 | Q. In fact, at one time you have stated,           |
| 16 | haven't you, that we're planning to stay in        |
| 17 | Greenville, Ohio into 1997?                        |
| 18 | A. I don't believe I had said that.                |
| 19 | Q. Did you ever plan to stay in Greenville,        |
| 20 | Ohio into 1997?                                    |
| 21 | A. We have never planned that. It's                |
| 22 | possible that it could have extended beyond that   |
| 23 | for an extra year or so. But, certainly that was   |
| 24 | never our plan. The year and a half revolved       |

around the end of the school year.

1

```
2
              Q.
                   Did you ever state that you planned to
          return to your home in Winnetka after March of
 3
          1997?
 4
                   After March of 1997?
 5
              Α.
 б
              Q.
                   Yes, sir.
 7
                   Our plans were after June of 1997, the
              Α.
          lease on our house in Winnetka expires in June of
 8
 9
          1997, as does the lease that we have on the house
          in Greenville expires in June of 1997.
10
                   I see. You have a lease on your home in
11
              ο.
12
          Winnetka. It's being occupied by some tenants,
13
          right?
14
              Α.
                   Yes.
                   And, another lease in Greenville, Ohio
15
              Q.
          pursuant to which your family is now occupying
16
17
          your home there, right?
18
              Α.
                   Yes.
19
              Q.
                   And, both leases expire in June of 1997?
20
              Α.
                   Yes.
                   Yet, you stated on direct examination or,
21
              Q.
          and is corrected by you now that you're 96 or so
22
          percent sure that you're going to be returning to
23
          Winnetka in 1996?
24
```

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1 Α. Yes. 2 And has that been something that has come Ο. 3 up recently as far as your plans? 4 Α. We've talked about it for a number of weeks as a possibility. Things have not gone well 5 6 for our kids at Greenville Schools academically. 7 We've been very disappointed in the schools and 8 emotionally our daughter, in particular, is having 9 a very serious bout with depression, and our 10 youngest son needs some particular help that he 11 just can't get. 12 Q. Mr. Shelton, excuse me. I don't want to 13 interrupt you, but my question was really pretty 14 straightforward. Have you recently arrived at this decision, this 96 percent sure decision to 15 come back to Winnetka in 1996? 16 17 Α. In the last week or so, yes. Q. So, if you had said in March of 1996 that 18 19 you were planing to stay in Greenville, Ohio for 20 another year, that statement was accurate at that 21 time? 22 As of that time, correct, yes. Α. 23 Ο. I see. It's only right on the verge of this hearing, in fact, that you've determined that 24

1 maybe we'll come back to Winnetka? 2 Α. I can assure you that it has nothing to do with this hearing, if that's what you're trying 3 4 to suggest. Isn't it the case, sir, that you may, in 5 Q. 6 fact, never move back to Winnetka? 7 Α. Exceptionally unlikely. MR. KAISER: Objection, argumentative. 8 9 We've lived in Winnetka since 1979, which Α. is almost 20 years. This is our home. We 10 consider it to be our home. I think I explained 11 12 our reasons for moving which, in fact, had largely 13 to do with getting away from a very difficult situation. It hasn't worked out. It's been very 14 difficult for the kids, we're trying to rectify it 15 for the kids. 16 17 ο. As I understand it, your reasons for moving, you felt that the reasons were so 18 19 compelling, you actually took your kids out of 20 school mid-year, is that right? 21 Α. Yes. 22 ο. This was in February that you moved to Greenville, Ohio? 23 24 Α. January.

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1 Q. January of which year? 1996. 2 Α. Okay, January of 1996, you took your kids 3 Ο. out of school, moved to Greenville, Ohio. Was the 4 annoyance from the air conditioner particularly 5 б difficult in January of 1995? 7 MR. KAISER: Objection. MR. DIVER: Or 1996? 8 MR. CARSON: 1996, excuse me 1996? 9 10 The anticipation of noise in the spring Α. and summer of 1996, anticipation in January of 11 12 1996 and the strain of the whole situation, trying 13 to get this thing resolved has placed on our family was sufficient to make us move, yes. The 14 air conditioner obviously wasn't running in 15 January of 1996. 16 17 HEARING OFFICER: Okay. Mr. Shelton, would you please try to answer the question, only 18 19 the question that's asked and as succinctly as 20 possible. And also, if an objection is raised, please wait to answer the question until the 21 objection has been resolved. 22 23 Α. Yes, ma'am. HEARING OFFICER: Thank you. 24

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BY MR. CARSON:

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So my understanding is correct, Mr. 2 Ο. 3 Shelton, that your kids were taken out of school mid year and the family relocated to Ohio at a 4 time when the air conditioner wasn't operating? 5 6 Α. Yes. 7 Q. And, based on your own experience, it 8 would be as the warmer weather would arrive in 9 spring time that you would expect the air conditioner to begin operation, right? 10 11 Α. Yes. So, the kids could have, in fact, 12 Q. 13 virtually completed the school year before and have moved before the air conditioner even was 14 started, isn't that the case? 15 MR. KAISER: Objection, argumentative. 16 17 MR. CARSON: I don't know what's argumentative about it. It's a pretty 18 19 straightforward question. 20 MR. KAISER: It's a question that can be written into Respondent's briefs and doesn't 21 22 really require an answer. There's nothing within Mr. Shelton's particular knowledge that makes him 23 more credible in responding to that question than 24

1 anybody on the planet would be. Its essence is to argue facts and to elicit clarification or 2 additional information. 3 HEARING OFFICER: I find the question 4 calls for a rather hypothetical answer, and so I'm 5 6 going to sustain the objection. 7 BY MR. CARSON: When was it that you entered into the 8 Ο. 9 lease for, to let out the Winnetka home? I don't recall the exact execution date, 10 Α. but the lease commenced in February. Either the 11 1st or the 15th, I'm not certain as I sit here 12 13 today, one of those two dates. And, that would be this year, 1996? 14 Q. That's when it commenced, yes. 15 Α. And, is it correct that the present 16 Ο. 17 tenants are not aware of the air conditioner issue? 18 19 No, that's not correct. Α. 20 Q. Is it a correct statement that your tenants are not aware of history with air 21 22 conditioner? They are aware of some of the history of 23 Α. the air conditioner at this point. 24

| 1  | Q. Okay. What point are you referring to,         |
|----|---------------------------------------------------|
| 2  | sir, today?                                       |
| 3  | A. No. Prior to the noise readings in late        |
| 4  | June, we copntemplated having some readings done  |
| 5  | from our lot line. And to that end, we found it   |
| 6  | necessary to tell them that there had been        |
| 7  | concerns with the neighboring air conditioner and |
| 8  | we were trying to get those resolved.             |
| 9  | Q. That was at what point, sir?                   |
| 10 | A. January of 1996.                               |
| 11 | Q. So, within the last four weeks or so?          |
| 12 | A. Yes.                                           |
| 13 | MR. KAISER: Objection to this whole line          |
| 14 | of questioning and move to strike. There is no    |
| 15 | relevancy as to when the tenant became aware or   |
| 16 | whether the tenant became aware of any issue. I   |
| 17 | mean, what does it go to? What issue that's       |
| 18 | critical to the resolution of this complaint is   |
| 19 | addressed by this line of questioning?            |
| 20 | MR. CARSON: What the condition of the             |
| 21 | sound emissions were from Mr. Crown's property in |
| 22 | the summer of 1996 is the very essence of what    |
| 23 | we're here to gather evidence for the Board to    |
| 24 | determine.                                        |

1 MR. KAISER: And how does the knowledge, 2 if I may, how does the question asked bear upon 3 the objective or even subjective apprehension? 4 MR. CARSON: I'm interested in having the Hearing Officer's ruling or answering the 5 6 questions the Hearing Officer might have. 7 HEARING OFFICER: Counsel, where are you 8 going with this line of questioning? 9 MR. CARSON: Well, we heard from this witness on direct examination and from his wife 10 that they took it upon themselves to inform the 11 12 whole neighborhood, representatives of the 13 Village, and anybody else that would listen, come 14 over and listen to the noise of this air conditioning unit. And, I think it's relevant 15 16 whether they found it appropriate to inform the 17 tenants that were going to be occupying the very premises where it's unlivable for this man's 18 19 family. 20 MR. KAISER: The relevance has to be 21 determined. How does it bear on one of the issues 22 at question? If his sole purpose is, you know, to 23 cast dispersions on David Sheltons's character or to badger Mr. Shelton while he's here this 24

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          afternoon, I mean, I guess I see how the question
          is effective in that regard, as to whether it
 2
         provides the Board with information essential to
 3
          resolution of this issue, I don't see the
 4
          relevance, and I don't think counsel's
 5
 6
          demonstrated it.
 7
                   MR. CARSON: I'll concede it's for the
 8
          purpose of discrediting the witness' testimony.
 9
                   HEARING OFFICER:
                                      The objection is
          overruled and the motion to strike is denied. The
10
11
          questioning may proceed.
12
              Α.
                   Could you repeat the question, please?
13
                   HEARING OFFICER: Do we have a standing
14
          question?
                   MR. CARSON: I thought we did, but I'm
15
16
         not sure.
17
                        (WHEREUPON, the record was
                        read by the Court Reporter)
18
19
                   HEARING OFFICER: The record shows that
20
          the answer to question was given. You may
21
         proceed.
         BY MR. CARSON:
22
                   Mr. Shelton, is it correct, then, that
23
              ο.
          you did not deem it appropriate to inform your
24
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1 prospective tenant of the history of the Crown air 2 conditioner before they entered into this lease with you? 3 We, in conjunction with Kahn Realty, who 4 Α. is our listing agent on the rental, concluded that 5 6 it was not necessary. 7 Q. So, the answer to my question is no, you 8 didn't deem it appropriate to do so? 9 Α. Correct. Now, as I understood your testimony this 10 ο. morning, you feel that it was your ethical 11 12 obligation to make a specific disclosure regarding 13 the neighbors air conditioner noise in connection with your efforts to sell the house, did I 14 understand that correctly? 15 16 Α. Yes, you did. 17 Q. But, you didn't feel any such ethical obligation in entering into an 18-month lease for 18 19 your home, is that right? 20 Δ Yes, there were two differences. The first difference is that the Pollution Control 21 Board complaint had been filed some months 22 earlier, and we had a hearing date that we felt 23 comfortable was going to have this thing resolved 24

1 prior to the cooling season for 1996. Secondly, we were talking about a relatively short term 2 3 rental as opposed a permanent purchase property. And, those two factors together caused us to 4 conclude that on balance, it was not necessary to 5 6 make this disclosure on the rental. 7 Ο. You concluded that it wasn't necessary or 8 appropriate? 9 In conjunction with Kahn, yes. Α. I have a number of exhibits that I want 10 ο. to refer to. Are the original exhibits here, 11 12 or --13 HEARING OFFICER: I believe I have most of them, if counsel for Complainant could bring 14 forward the others that were allowed. 15 MR. KAISER: We'll put in this stack 16 17 everything that we used this morning. Some are duplicate copies that have been admitted, but just 18 19 so there is no question. And, we can sort it out 20 before the close. Thank you. MR. CARSON: Off the record for just a 21 22 moment so we can work out the exhibits. (Off the record) 23 BY MR. CARSON: 24

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1 Q. Mr. Shelton, this is Exhibit Number 49 and you identified this earlier as a letter that 2 3 you sent to Steve Crown in October of 1993, right? 4 Α. Yes. If I can just get a little history, prior 5 ο. б to your sending this letter, this came, I don't 7 know, almost four weeks after the initial operation of the air conditioner unit, which I 8 9 think you testified was September 13th of 1993, 10 right? 11 Α. If that's the date, yes. 12 Q. And, as I recall your testimony, you and 13 your wife were watching the news, it was 10:00 or 10:30 at night, and you heard a loud sound 14 outside? 15 16 Α. Yes. 17 Q. And, I think your wife described it as an explosion. You said you wouldn't call it an 18 19 explosion, you used another description. Do you 20 remember what it was? I don't recall saying I wouldn't describe 21 Α. it as an explosion, I think I characterized it as 22 23 a bang or boom, more than one, it was several of them. It certainly could be characterized as an 24

1 explosion.

| 2  | Q. Prior to that time, had you ever been           |
|----|----------------------------------------------------|
| 3  | over to look at that air conditioning unit?        |
| 4  | A. I don't believe that we had, though we          |
| 5  | did go over to take the pictures that I think have |
| 6  | been entered as evidence. I believe that that was  |
| 7  | shortly after the early test firings. I'm trying   |
| 8  | to get a sense, sir. You testified that after you  |
| 9  | heard this loud noise, you were able to determine, |
| 10 | within a matter of roughly 10 minutes, that it was |
| 11 | the air conditioning unit that was the source of   |
| 12 | this loud noise, isn't that right?                 |
| 13 | A. Yes.                                            |
| 14 | Q. And, how were you able to determine,            |
| 15 | within that short amount of time, that it was the  |
| 16 | air conditioner that was emitting this, or which   |
| 17 | had emitted this explosion like sound?             |
| 18 | A. We went outside the house to outside of         |
| 19 | our house and we could hear some of these booms,   |
| 20 | if you will, intermingled with the commencement of |
| 21 | the running of the air conditioner.                |
| 22 | Q. If I recall your testimony, you stated          |
| 23 | that when you went out there, it wasn't making     |
| 24 | quite the same noise as you had heard earlier?     |

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| 1  | A. Correct. The air conditioner had turned         |
|----|----------------------------------------------------|
| 2  | on, but there were some subsequent loud noises.    |
| 3  | It was as if the control of this system, after I   |
| 4  | realized what it was, as if it were a bit out of   |
| 5  | control, turning things on and off, on and off,    |
| 6  | making some strange noises.                        |
| 7  | Q. Do you know for sure that it was the air        |
| 8  | conditioner that made this explosion like sound?   |
| 9  | A. We, after hearing that the air                  |
| 10 | conditioner was, in fact, operating, it was pretty |
| 11 | obvious then that the air conditioner was going    |
| 12 | and the sound was coming from the same direction.  |
| 13 | So, we assumed that it was the air conditioner.    |
| 14 | Q. So, you were able to say one way or             |
| 15 | another for sure whether you had ever looked at    |
| 16 | that air conditioner previous to this event?       |
| 17 | A. We had looked at it from a distance,            |
| 18 | going by the driveway.                             |
| 19 | Q. You knew it was there?                          |
| 20 | A. Yes, and I must say when I first saw it,        |
| 21 | I was very concerned.                              |
| 22 | Q. So, the first time you saw it, you              |
| 23 | thought, I wonder how loud that thing is, is that  |
| 24 | right?                                             |

| 1  | A. Yes.                                            |
|----|----------------------------------------------------|
| 2  | HEARING OFFICER: Say yes or no.                    |
| 3  | BY MR. CARSON:                                     |
| 4  | Q. And then, when you heard this loud noise        |
| 5  | on September 13th, you thought well, here it is,   |
| 6  | they turned on the air conditioner?                |
| 7  | A. Not when I first heard it, those bangs,         |
| 8  | booms, explosions, whatever you call them, were    |
| 9  | not the sound of an air conditioner. As it turns   |
| 10 | out, they were the sounds of this air conditioner, |
| 11 | but I had never heard an air conditioner like that |
| 12 | before in my life.                                 |
| 13 | Q. You and your wife went outside to look at       |
| 14 | it, and you were able to determine that the noise  |
| 15 | that had disturbed you was this air conditioner,   |
| 16 | right?                                             |
| 17 | A. As best we can determine, yes.                  |
| 18 | Q. Now, you sent this letter, which is             |
| 19 | Exhibit 49, that states at the end of the second   |
| 20 | paragraph, "it seems to us that the only viable    |
| 21 | solution is relocation". Did I read that           |
| 22 | correctly?                                         |
| 23 | A. You did.                                        |
| 24 | Q. And, at that time that you made that, had       |

| 1  | you done any analysis of the available methods to |
|----|---------------------------------------------------|
| 2  | reduce the sound emission from this air           |
| 3  | conditioner?                                      |
| 4  | A. I did.                                         |
| 5  | Q. You had done an analysis?                      |
| 6  | A. I had done some preliminary research.          |
| 7  | Q. And, using your expertise as a HVAC            |
| 8  | person or a sound emissions person?               |
| 9  | A. I had done several things.                     |
| 10 | Q. Listen to my question.                         |
| 11 | A. Not relying on my expertise, no.               |
| 12 | Q. And, did you do any analysis of what it        |
| 13 | would cost to relocate the unit?                  |
| 14 | A. No, I didn't.                                  |
| 15 | Q. You had already made up your mind at the       |
| 16 | time you sent this letter that the only viable    |
| 17 | solution would be relocation?                     |
| 18 | A. Based upon my research, yes.                   |
| 19 | Q. And, you have not waivered from that           |
| 20 | position from the time you sent this letter       |
| 21 | October of 1993, all way up to today, isn't that  |
| 22 | right?                                            |
| 23 | A. No, that's not right.                          |
| 24 | Q. Have you ever been satisfied that this         |

1

unit need not be relocated?

Yes, we have heard alternatives presented 2 Δ 3 by Mr. Zack of ways to control the sound right where it is, those would satisfy us. 4 So, you're not, at this point in time, 5 ο. 6 seeking to have this unit relocated. All we want is for the noise to be 7 Α. reduced to an acceptable level. How it's done is 8 9 up to Mr. Crown. Q. At the time you wrote this letter in 10 October of 1993, had any of the approaches or 11 12 methods for sound attenuation been implemented? 13 Α. I don't know, I don't think so. We know today that there are quite a 14 Q. number of them that have been tried, isn't that 15 16 correct? 17 MR. KAISER: Objection to that characterization, quite a number. There is a 18 19 specific number, quite suggests more than what's 20 actually done in this case. HEARING OFFICER: Mr. Carson, can you 21 22 rephrase your question? MR. CARSON: Sure. 23 BY MR. CARSON: 24

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1
              Q.
                   We know that the time that you heard it
          in September 13th of 1993, it was the first time
 2
          the unit had ever been operated, to your
 3
          knowledge, right?
 4
                   Yes. And, it's been test fired.
 5
              Α.
 6
              Q.
                   So, there may have been some fine tuning
 7
          or some steps taken to tighten up the operation of
          this unit after the first operation, right?
 8
 9
              Α.
                   Yes, yes.
                   MR. KAISER: Objection, calls for
10
          speculation.
11
12
          BY MR. CARSON:
13
              Q.
                   And since that time, shrubbery has been
          planted around the unit, isn't that right?
14
15
              Α.
                   Yes.
                   Arbor vitae, how do you say it? Arbor
16
              ο.
17
          vitae trees have been planted around the unit, is
          that right?
18
19
              Α.
                   Yes.
20
              Q.
                   Can you describe what those trees look
          like?
21
22
              Α.
                   They're tall evergreens. There is a long
          screen of them between our houses of some 20 feet
23
          high, I suspect on average, and there is another
24
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1
          ring of them around the air conditioner.
 2
              Q.
                  Okay. So, these trees are evergreen type
          trees that don't lose their leaves?
 3
                   Yes, they're also scattered around the
 4
              Α.
          rest of the perimeter of the house. It's not just
 5
 6
          around the air conditioner. There's also a
 7
          stockade fence. I don't recollect if my last
          visit there, whether it's still there after the
 8
 9
          enclosure was put up or not.
10
              Q.
                   There was a stockade fence. Would you
          describe the stockade fence that you saw there?
11
12
              A. Yes, there was a stockade fence of 6 feet
13
          or so that was put around the unit in the spring
          of 1994.
14
              Q. And, there is also, isn't there a
15
16
          stockade fence that separates your property from
17
          the Crown property in addition to the stockade
          fence that separates the unit?
18
19
                   There was one there before. And the
              Α.
20
          Crowns, with our permission, replaced it with a
21
         new one.
22
              ο.
                   Is that stockade fence on Mr. Crown's
23
          property or our property?
24
              Α.
                   The original was on our property, I
```

believe it was our fence.

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Q. So, he replaced your fence with your 2 3 permission at his expense, right? Yes, though there was some debate with 4 Α. Mr. Keller about that, but yes. 5 6 Q. The unit was also rotated 90 degrees? 7 MR. DIVER: I'll object to that. The testimony in this matter, nobody can testify that 8 9 had anything to do with sound reductions at all, and I'll object to any characterization that that 10 anything to do with sound from this unit. 11 12 MR. CARSON: We intend to prove it was 13 done for the purpose of reducing sound. 14 MR. DIVER: He's asking whether the unit was rotated. Fine, if he's asking him to 15 stipulate because, that was rotated because of the 16 17 sound reduction effect, we object. HEARING OFFICER: I believe the question 18 19 was limited. The objection is overruled. You can 20 answer the question. I've been told it was rotated, I assume 21 Α. 22 that it was. I frankly don't know it was or not. And, you've been told that it was done 23 ο. for the purpose of trying to achieve some 24

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1 lessening of sound? I've heard that said by Mr. Crown. 2 Α. 3 And, you're aware, aren't you, that a Ο. blanket like insulation was placed over the 4 compressors of the unit in an effort to try to 5 6 lessen the sound? 7 Α. And later removed, I think, yes. 8 Q. And, did it get a lot worse after the blankets were removed? 9 Never noticed the difference with any of 10 Α. these things. 11 12 Q. And, are you aware that insulation was 13 placed on the inside of the panels? A. Certainly didn't help the noise levels. 14 If they were, I don't know. I've been told --15 HEARING OFFICER: Answer the question to 16 17 the best of your ability. I don't know I've been told that 18 Α. 19 insulation was put there. Q. 20 And, are you aware that cones or baffles were installed? 21 Yes, I did see those. 22 Α. And, that was in an effort to lessen the 23 ο. sound as well? 24

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1 Α. That's what I've been told. 2 And, the cones later were removed in an Ο. effort to lessen the sound. 3 MR. KAISER: Objection, calls for 4 speculation as to the motivation. 5 6 HEARING OFFICER: Overruled. You can 7 answer to the best of your ability. Yes. And, the removal of the cones that 8 Α. 9 had been put on for sound control helped. BY MR. CARSON: 10 And, you're aware that an acoustically 11 ο. 12 designed enclosure was constructed around this 13 unit? 14 Α. Yes. And, are you aware that the operations 15 Q. were adjusted in 1995, I believe you testified to 16 17 that this morning, that you became aware in 1995 that the operations of the unit were adjusted in 18 19 an effort to limit, or the time of the noise? 20 Α. To limit the number of times it would cycle on and off, yes. 21 22 Q. Yes. MR. KAISER: Just a point of 23 clarification. I believe that that was 1996. 24

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BY MR. CARSON:

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What was it, 1995 or 1996? 2 Q. 3 There was a change in 1995 just prior to Α. the Shiner readings. Then there's this further 4 change in 1996. 5 6 Q. Okay. And the last item on my list, and 7 I've forgotten some, is that the program controls 8 or the controls were reprogrammed in 1996 in the manner described this morning to cut back on the 9 10 number of compressors, the number of fans working at certain hours, right? 11 12 Α. We're told that's being tested, yes. 13 And you've, in fact, been told that's Q. 14 been done, and you've heard it right. On a test basis, it can't be guaranteed, 15 Α. it will continue. 16 17 Q. By my count, the list that I just gave is 12. Now, I used earlier in a question quite a 18 19 number of approaches have been taken to lessening 20 the sound. And, when I say quite a number, I mean 21 12. Would you agree with my statement, sir? 22 MR. DIVER: Objection again to the characterization that these were all for the 23 purpose of soundproofing, that these were done, 24

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1 this witness can testify to that, these were done 2 to the purpose of soundproofing this witness can't 3 testify to. Mr. Crown can testify to that, somebody from this side can certainly testify, but 4 this witness can't testify as to what motivated 5 6 them to do any of these 12 so-called soundproofing 7 alterations. MR. CARSON: The whole tenure of this 8 9 witness' testimony has been that he kept 10 complaining and knowing what's being done. And, 11 this goes to his knowledge to what has been done, 12 and I'm just asking what he is aware of. He 13 doesn't have to tell me what he doesn't know 14 about. HEARING OFFICER: 15 Overruled, you can 16 attempt to answer the question, whether you knew 17 that there were 12. I didn't count them all, but--18 Α. 19 Will you accept my arithmetic? Q. 20 Α. I'll accept it. Q. 21 I have them written down here as I was 22 reading them to you. Will you accept that? HEARING OFFICER: Perhaps we can have the 23 repitition of the 12. I don't know that I counted 24

12.

1

2 MR. CARSON: Fine tuning of the unit after the initial test firing, planting of 3 4 shrubbery, that's two. Planting of arbor vitae trees, that's three. Installation of a stockade 5 6 fence surrounding the unit, that's 4. Insulation 7 of the fence on the property line, that's 5. 8 Rotating the unit 90 degrees, that's 6. Putting a 9 blanket like device over the compressors, that's 10 7. Installing the cones, that's 8. Putting the insulation in the panels around the unit, that's 11 12 9. Installing the acoustic enclosure, that's 10. 13 Removing the cones, that's 11. Reprogramming the controls, that's 12. Adjusting the operation in 14 1995, that would be 13. But, I suppose it's not 15 16 fair to count removing the cones and installing 17 the cones, maybe it's just one. I'm not sure exactly what I'm supposed to 18 Α. 19 answer. I find it very difficult to answer yes or 20 no. I can say that this was the double counting 21 of the cones, the blankets have been removed, so I 22 don't think one should count that. The various 23 fine tunings, I would think, are the normal part

24 of operations. The trees were put all over the

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1 yard, so I'm not sure you can count that. There 2 was already a fence there between our yard, so I'm 3 not sure you can count that. So, you come down to 4 a pretty limited number of things that you have told us were done for sound control and yes, I 5 6 will acknowledge that you have told us that 7 limited number of things were done for sound 8 control. 9 ο. At the time that you wrote your letter in 10 which you had already made up your mind that the only viable solution is relocation, had any of 11 12 those of yet been done? 13 I don't believe that they had. Α. 14 Q. At the time you wrote this letter, 15 Exhibit 49, though, you knew that Mr. Crown and 16 his contractors were planning various steps to 17 reduce the noise, is that correct, sir? At that time, we didn't know what they 18 Α. 19 were planning to do. They hadn't told us what 20 they were going to do. Q. Did you tell Mr. Crown in the letter 21 22 which is Exhibit 49, we know that you plan various 23 steps to reduce the noise? He had told me in general terms that they 24 Α.

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| 1  | were planning to do some things, but they didn't   |
|----|----------------------------------------------------|
| 2  | know what things they were going to do.            |
| 3  | Q. You knew that he planned various steps,         |
| 4  | but you didn't precisely know what the steps were? |
| 5  | A. Correct.                                        |
| 6  | Q. And, you went on to say in the same             |
| 7  | letter we're likely to have a noise problem        |
| 8  | regardless of what steps you take?                 |
| 9  | A. Yes.                                            |
| 10 | Q. You had already made up your mind that          |
| 11 | whatever he tried, it wasn't going to work?        |
| 12 | A. I had been advised by people who know           |
| 13 | more about air conditioning than either myself or  |
| 14 | Mr. Crown that that was likley to be the case.     |
| 15 | Q. So, and this person that you consulted,         |
| 16 | is that somebody that has testified here in this   |
| 17 | hearing?                                           |
| 18 | A. No.                                             |
| 19 | Q. According to your letter, Pete says that        |
| 20 | your unit can be located anywhere around the       |
| 21 | house. Did you discuss with Pete how long it       |
| 22 | would take to relocate the unit to a new location? |
| 23 | A. No, we didn't talk about that.                  |
| 24 | Q. Did you talk to Pete about the impact on        |

1 the length of the project which, obviously, was a long project, did you discuss with him what affect 2 you would have on the project's schedule if he 3 were to cause the unit to be relocated to another 4 5 place on the property? I don't recall talking about the 6 Α. 7 schedule, no. Q. Did you talk to Pete about how much it 8 9 would cost in dollars to relocate the unit to another location at that point in time? 10 No, I didn't. 11 Α. 12 Q. I would ask you to describe for us, to 13 the best of your ability, word for word, to the best of your ability, what Pete Keller told you 14 prior to October 11, 1993 concerning potential 15 relocation of the unit? 16 17 Α. Susi, my wife, was with me when we went over to the construction site shortly after the 18 19 initial turn on and after my first call to Steven. 20 Prior to that, I had talked with an architect and 21 with folks at one of the companies. 22 Let me stop you there. I'd like you to Ο. 23 describe the conversation you had with Pete Keller, please, in which the relocation was 24

discussed and as best you can, word for word,
 without a lot of embellishment about your other
 conversations with other people.

I told Pete that based on conversations 4 Α. with other people, that relocation would be the 5 6 simplest solution to noise that was likely to be 7 generated by a unit of this size, particularly 8 since it was up against a stone wall, it probably 9 would reflect a lot. And, I asked him if that could be done, and he emphasized that, you know, 10 construction is still very much open, a lot of 11 12 things are going on. This is a long way from 13 being completed. Could it be done at this time so 14 it would be good to do now rather than later on, when it will be much more expensive. And, to the 15 best of my recollection and my wife's, Pete said 16 17 of course, the unit can be relocated. We could put it at different points around the house. 18 19 Ο. Did he also tell you that the house could 20 be moved? 21 Α. No. 22 That never happened? Ο. 23 Α. I would remember something as light as

24 that because we were extremely concerned and

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1 levity like that would have sent me through the roof. He didn't say that, no. 2 Q. Mr. Shelton, you did have a problem with 3 construction noise on the Crown residence 4 renovation, right? 5 б From time to time, it was quite noisy, Α. 7 yes. And, I take it that this was a source of 8 Q. 9 complaint from your wife? It was much more difficult for her since 10 Α. 11 the construction was during the day, and I was 12 typically gone while she was there. 13 Did she complain to you about it quite a Q. bit? 14 15 Α. I wouldn't say quite a bit, she did, on 16 several occasions after a particularly noisy 17 amount of work had been going on. There was no screening put up between us. 18 19 By the time the air conditioning unit was Q. 20 turned on in September of 1993, the construction 21 had been going on for over a year, a year and a 22 half? 23 Α. About a year. About a year -- I'm sorry, I know 24 Q.

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September of 1991, about 2 years.

1

2 Α. About two years, yes, sir. 3 Ο. And during the early stages of construction, they were working on the if I can 4 call it the shell of the home, the outer walls and 5 б involved a lot of heavy equipment? 7 Α. Yes. And, that was a source of some 8 Ο. 9 frustration to you and your family, is that right? No more so than the rest of the 10 Α. neighborhood, I don't believe at that point. 11 12 Q. You mentioned in the letter to Mr. Crown, 13 which is Exhibit 49, that the workmen were arriving at work too early? 14 15 Α. Yes. And, was the purpose of raising that was 16 Ο. 17 to try to get him to work on taking steps to quiet down over there? 18 19 A. The purpose was to ask if he could have 20 the workmen come at 7:00 o'clock, which was the village code, rather than arriving at 6:00 in the 21 22 morning. Well, it was because you felt they were 23 Ο. too noisy, right? 24

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| 1  | A. Yes, 10 to 15 cars and trucks lined up to       |
|----|----------------------------------------------------|
| 2  | get in at 6:00 and 6:30 in the morning was quite   |
| 3  | noisy weapon it's 28 feet from your son's bedroom. |
| 4  | Q. So, they came in your side?                     |
| 5  | A. All the construction was centered on our        |
| 6  | side, after the initial work was done.             |
| 7  | Q. So, the trucks and cars that arrived for        |
| 8  | the job would come on the side of the Crown house  |
| 9  | which is toward your house?                        |
| 10 | A. Yes.                                            |
| 11 | Q. You raised the issue of dealing with the        |
| 12 | construction project and the strain on your family |
| 13 | in correspondence with the village, hadn't you?    |
| 14 | A. I'd have to refresh myself on the               |
| 15 | particular correspondence.                         |
| 16 | Q. Did you                                         |
| 17 | A. I may have.                                     |
| 18 | Q. Did you write a letter dated June 8, 1994       |
| 19 | to Doug Williams, Winnetka Village Manager in      |
| 20 | which you told him that the project had been a     |
| 21 | strain on your family and neighborhood?            |
| 22 | A. I believe that I probably did, yes, this        |
| 23 | is a letter I wrote to Mr. Williams.               |
| 24 | MR. CARSON: I think we had four photos             |

```
1
          that we had introduced through Mr. --
                   HEARING OFFICER: Yes, those are at the
 2
          back of the folder.
 3
                   MR. ELLEDGE: So, the next number is 5?
 4
                   HEARING OFFICER: Yes.
 5
 6
                   MR. DIVER: Respondent's 5 will be the--
 7
                   MR. CARSON: June 28, 1994 letter to Mr.
          D. Williams.
 8
 9
                   MR. CARSON: Doug Williams, right. Is it
          okay with you if I substitute a non highlighted
10
          version of this letter?
11
12
                   MR. DIVER: No, I think we'd proably
13
         prefer it.
          BY MR. CARSON:
14
                   If you would kindly ignore the
15
              0.
         highlighting on there and aside from the
16
17
         highlighting on Respondent's Exhibit No. 5, is
          that a true and correct copy that you sent to Doug
18
19
          Williams on or about June 28th of 1994?
20
              Α.
                   Yes, yes.
                   And, who is Doug Williams?
21
              Q.
                   Doug Williams is the Village Manager of
22
              Α.
          Winnetka.
23
                   MR. CARSON: I apologize, I thought this
24
```

1 was already marked. I couldn't find it. 2 HEARING OFFICER: Is there a motion for its inroduction? 3 MR. CARSON: No, not yet. I simply 4 wanted to show it to you so you can make note of 5 6 it. 7 HEARING OFFICER: Thank you. BY MR. CARSON: 8 9 Q. You told Mr. Williams that you had considered moving, but your real estate broker 10 told you you couldn't sell the house until the air 11 12 conditioner problem was resolved? That's correct. 13 Α. Did you consult with other real estate 14 Q. brokers? 15 We talked with, I think, 3 firms when we 16 Α. 17 eventually did the appraisal by the realtors. We also talked with at least one other broker 18 19 extensively about this. So, there were two 20 brokerage firms that we talked with extensively about, as of this time. 21 One of them was Kahn? 22 Q. Kahn, yes. 23 Α. Kahn Realty? 24 Q.

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| 1  | A. Yes.                                            |
|----|----------------------------------------------------|
| 2  | Q. And, who was the other?                         |
| 3  | A. The other was Wendy Cross as one of the         |
| 4  | owners of, I'm at a loss for the name of her firm  |
| 5  | in Winnetka. It's on Lincoln Avenue. I can't       |
| 6  | think of it right now.                             |
| 7  | Q. Did you say there was also a third?             |
| 8  | A. When we, it was actually subsequent to          |
| 9  | that when we later had brokers come through in the |
| 10 | fall we, of course, had several other brokers.     |
| 11 | Q. At this point in time that you                  |
| 12 | corresponded with Doug Williams, you told him you  |
| 13 | considered moving, but your real state broker said |
| 14 | you can't sell the house until the air             |
| 15 | conditioning problem is resolved at that time.     |
| 16 | You weren't looking to formally list your house?   |
| 17 | A. We couldn't.                                    |
| 18 | Q. You couldn't because the air conditioning       |
| 19 | problem hadn't been resolved, that was your        |
| 20 | opinion?                                           |
| 21 | A. Yes. And, at that point, Mr. Crown had          |
| 22 | told us they were going to do no more.             |
| 23 | Q. On June 28th of 1994?                           |
| 24 | A. Yes, between June 28 and June 30, we had        |

1 two conversations. The gist of the first was that 2 they are essentially done, the clear indication in 3 the June 30th conversation is that they were going 4 to do no more. We have been accommodated all we were going to be accommodated, I think was the 5 6 word. 7 Q. Is that what prompted the letter to the 8 Village? 9 What prompted the letter to the Village Α. 10 is that it was becoming clear as time passed on 11 and the things that were being done were extremely 12 limited in their scope, that we were not going to 13 be able to resolve this between neighbors, and 14 that with we were being forced to turn to the Village. 15 16 ο. What did you expect the Village to do 17 about it? We hoped that they would either, by 18 Α. 19 application of their noise nuisance ordinance or 20 by some other methods of intercession, be able to persuade the Crowns or force the Crowns to take 21 22 steps to reduce the noise. Q. Now, within a matter of just a few days 23 or a week or so after this June 28th letter, there 24

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```
were some sound measurements taken?
 1
 2
              Α.
                   Yes.
                   And shortly thereafter, Mr. Crown was
 3
              ο.
          made aware of the sound measurements and some
 4
          investigation was done into the construction of an
 5
 6
          enclosure around the unit?
 7
              Α.
                   Yes?
                   In an effort to reduce the sound?
 8
              0.
                   MR. DIVER: Object to the form of the
 9
          question. There are multiple statements that he's
10
          being asked to attest to, including when Mr. Crown
11
          learned about the results of the sound test.
12
                   HEARING OFFICER: Could you rephrase
13
14
          your question?
                   MR. CARSON: I'll try to break it down
15
16
          into components.
17
          BY MR. CARSON:
                   The, your letter to Mr. Williams was
18
              Q.
          dated June 28th?
19
20
              Α.
                   Yes.
                   And, it was within a matter of a week or
21
              Ο.
          thereabouts that Mr. Shiner took his first set of
22
          sound measurements, correct?
23
24
              Α.
                   Yes.
```

| 1  | Q. And, shortly thereafter, Mr. Crown was          |
|----|----------------------------------------------------|
| 2  | made aware of the results of the sound             |
| 3  | measurements, as far as you know, right?           |
| 4  | A. I faxed him the results the same day.           |
| 5  | Q. And, within a matter of a few days, Mr.         |
| 6  | Crown, to your knowledge, met with experts to      |
| 7  | discuss a plan for attenuation of the sound.       |
| 8  | A. He met with the engineer we had hired to        |
| 9  | do the readings.                                   |
| 10 | Q. He met with Al Shiner?                          |
| 11 | A. Yes.                                            |
| 12 | Q. And, as far as you know, he also met with       |
| 13 | Brad Mautner, right, and Pete Keller to talk about |
| 14 | a way to resolve the problem?                      |
| 15 | A. You're asking only about sound experts,         |
| 16 | and I certainly wouldn't put those gentlemen in    |
| 17 | that category.                                     |
| 18 | Q. Al Shiner is a sound expert, isn't he?          |
| 19 | A. Yes.                                            |
| 20 | Q. And, he's a good sound expert, isn't he?        |
| 21 | A. Yes.                                            |
| 22 | Q. He's the one you selected to try to solve       |
| 23 | the problem.                                       |
| 24 | MR. DIVER: Objection, he selected him              |

```
1
          to measure the sound, that's the testimony, not to
          solve the problem.
 2
                   HEARING OFFICER: Sustained.
 3
          BY MR. CARSON:
 4
                   Did you hire Al Shiner to try to solve
 5
              ο.
 б
          the problem?
 7
              Α.
                   No, we hired Al Shiner to do sound
          readings.
 8
 9
                   And, you weren't interested in solving
              Q.
          the problem, you only wanted to know what the
10
          readings were?
11
12
                   MR. DIVER: Object to the argumentative
13
          natre of the question as to what he was or not
14
          interested in.
                   MR. CARSON: To me, it defies common
15
          sense that you didn't want Al Shiner to help solve
16
17
          the problem. Is that your testimony?
                   HEARING OFFICER: Sustained.
18
          BY MR. CARSON:
19
20
              Q.
                   Is it correct you hired Al Shiner to
21
          conduct sound measurements from your property in
          an effort to quantify the sound emissions from the
22
          Crown air conditioning unit?
23
24
              Α.
                   Yes.
```

1 Q. What, if any, other benefit did you hope 2 to gain from Mr. Shiner's measurement of that 3 sound? A. The benefit and, at that time, the only 4 benefit we'd hoped to gain was to be able to 5 6 demonstrate to Mr. Crown that these were not crazy 7 neighbors complaining, that there was a basis in fact of an egregious violation, and that he should 8 9 act on it. That was the only benefit we expected from hiring Mr. Shiner. 10 Okay. And, at that time, you had in mind 11 Q. 12 demonstrating for Mr. Crown that there was an 13 egregious violation of what? Of the Illinois Numeric Noise Standards. 14 Α. Did you later learn that Illinois Numeric 15 Ο. Noise Standards are not applicable? 16 17 MR. DIVER: Objection. MR. KAISER: Objection, calls for a legal 18 19 conclusion. HEARING OFFICER: Sustained. 20 21 MR. CARSON: Fine, your Honor. The 22 Petitioner has been permitted to adduce all sorts 23 of evidence for the purpose of notice and state of mind. And, in this instance, the purpose of the 24

1 question is not for the purpose of establishing 2 the legal standard, but whether what Mr. Shelton's 3 understanding was. Same objection. 4 MR. DIVER: HEARING OFFICER: Would you read back 5 б the question? 7 (WHEREUPON, the record was read by 8 the Court Reporter.) 9 HEARING OFFICER: The objection is sustained. The question will have to be rephrased 10 so as not to elicit a legal opinion in the 11 12 hearing. 13 BY MR. CARSON: If you would please take a look at 14 Q. Exhibit Number 48. 15 MR. KAISER: Could we have that 16 17 identified for the record, please? MR. CARSON: Exhibit Number 48 is 18 19 evidence as --HEARING OFFICER: It's the letter from 20 D. Shelton to S. Crown dated 6-30-94, and it has 21 been admitted into evidence. 22 MR. KAISER: Thank you. 23 Yes, I recognize this letter. 24 Α.

1 MR. CARSON:

| 2  | Q. And, this is the letter you sent to Mr.         |
|----|----------------------------------------------------|
| 3  | and Ms. Crown on or about June 30th, 1994?         |
| 4  | A. Yes.                                            |
| 5  | Q. And, if I recall your testimony this            |
| 6  | morning, you sent this letter by certified mail    |
| 7  | because Greg Zack suggested that?                  |
| 8  | A. Yes.                                            |
| 9  | Q. Were you preparing for litigation at that       |
| 10 | point?                                             |
| 11 | A. I wasn't preparing for litigation at that       |
| 12 | point. We had not engaged counsel and to the       |
| 13 | contrary, I was hoping, if at all possible, to     |
| 14 | avoid litigation. However, it had become clear     |
| 15 | from my conversations with Steven, we were moving  |
| 16 | into a more combative state with either the EPA or |
| 17 | the Village.                                       |
| 18 | Q. You had Mr. Zack's advice as to how to          |
| 19 | establish a record that might be useful in a       |
| 20 | proceeding like this, right?                       |
| 21 | A. How to commence a record, yes.                  |
| 22 | Q. Now, in your opening sentence in Exhibit        |
| 23 | 48, you told be Nancy and Steven, we have had      |
| 24 | conversations about how to resolve the noise       |

```
1
          problem. That was true at the time you said it,
          wasn't it?
 2
 3
              Α.
                   Yes, it was.
                   So, it's not, it wasn't a problem that
 4
              Ο.
          they were being uncommunicative?
 5
 6
              Α.
                   Up until that point, we had a number of
 7
          times, yes.
 8
              Q.
                   And, up to that point in time, you were
          aware of both the planned and the ultimate
 9
          installation of fences, trees, and baffling,
10
          right?
11
12
              Α.
                   At this point, we had heard the evidence
13
          of their installation and seen that they had
          little, if any, effect.
14
              Q. Of course, you knew that they would have
15
          little, if any, effect back in October of 1993?
16
17
                   MR. DIVER: Objection to the
          argumentative nature of the question.
18
19
                   HEARING OFFICER: Could you rephrase your
20
          question?
          BY MR. CARSON:
21
                   You were of the opinion that these sound
22
              Ο.
          attenuation methods would be ineffective, even
23
          before they were installed?
24
```

1 A. The various experts we had talked to 2 predicted that, and it appears that they were 3 correct.

Q. You presented Mr. and Ms. Crown with an
ultimatium, we need your response within 15 days
of this letter. What was the significance of 15
days from the date of this letter?

8 Α. There were two reasons for the specific 9 date. One is that we needed something done quickly because my family was going crazy. We 10 couldn't get away from this sound. The second 11 12 thing is that Steven, the night before, had drawn 13 the line in sand and said they weren't doing any 14 more, and we could do whatever we had to do. And, then, they left for 4th of July weekend to go to 15 16 Colorado. We felt that we had to have a fairly 17 tight timeframe in order to get any kind of a 18 response.

19 Q. This conversation that you just alluded20 to was that at the swim meet?

A. No, that was earlier. This was a phone
conversation on, I believe, 29th of June. On the
evening of the 29th of June.

24 Q. Did you make Mr. Crown aware that a sound

1 engineer was coming out to take measurements? At the time we talked, I didn't know that 2 Α. 3 one was. It was only on the morning of June 30th 4 that, in our desperation, we started doing a lot of things at once. We visited the police, we 5 6 talked to the village council members, we 7 commenced the petition, we got on the agenda for 8 the village council meeting, and we arranged for 9 the sound engineer. And, if memory serves me, we may not have been able to reach him until the day 10 he took the readings, and he happened to have some 11 12 time availble that morning, the 5th. 13 MR. CARSON: Respondent's Exhibit 6 is dated July 1, 1994. It's a handwritten note from 14 David Shelton addressed to Steven. 15 HEARING OFFICER: Thank you. 16 17 BY MR. CARSON: Respondent's Exhibit No. 6, is that a 18 ο. 19 note in your handwriting? 20 Α. It is. And, did you transmit this note somehow 21 Ο. to Steve Crown? 22 I don't specifically recall when I wrote 23 Α. this. It's clearly in my handwriting. It looks 24

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1 like it might have been the cover for something else, but I'm not sure what else. 2 Q. 3 As you sit here today, you don't remember 4 writing this note? I don't specifically remember writing the 5 Α. б note, but it's my handwriting. 7 You testified that around June 30th, this Q. was evidently written July 1, you were going to 8 9 the police, you were going to the village, and you 10 were going to track down the sound engineer? I believe that happened on Tuesday, yes. 11 Α. 12 Q. If you know. 13 I believe it was Friday, the 4th was a Α. 14 Monday. 15 Ο. Okay. And the measurements were actually taken on the following Tuesday? 16 17 Α. Yes. You stated you were going in several 18 ο. 19 directions in this note, is that a reference to 20 going to the village, going to the police, trying to locate a sound engineer? 21 Yes, it is a reference to all of those 22 Α. 23 things. I'm sure that that's the case. I just don't recall specifically why I sent Steven or 24

1 what might have accompanied it, that's the only 2 thing I'm at a loss for. But, that's clearly what 3 it was referring to because those are the things 4 that were going on that weekend. Do you recall why it would be either you 5 Q. 6 would not tell Steve Crown in one of these 7 communications that you're retaining a sound 8 engineer to conduct readings? 9 We had, when we arranged for the engineer Α. on Tuesday, and I believe we didn't arrange for 10 him until Tuesday morning, I did let him know via 11 12 fax. We also left a message at both his home and 13 office that we were planning to go to the Village 14 council that night and we had gotten engineering 15 readings. He was gone, however. In fact, he made --16 That night being what night? 17 ο. I believe that they, Steven would know 18 Α. 19 his schedule better than I, but I believe they 20 left on Thursday or Friday, the 4th of July weekend, and probably didn't return until mid week 21 22 the following week. We have tried to be very 23 careful about communicating every step of the way

24 here, and have tried to avoid doing things without

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1 letting the Crown folks know what we're doing. Q. Mr. Shelton, did you, in fact, contact 2 3 the Winnetka Police Department at the end of June? Yes, we did. 4 Α. Concerning the air conditioner noise? 5 ο. 6 Yes, the night of the 29th, as I recall. Α. 7 And, did the Winnetka Police inform you Ο. that there was some violation of the law involved 8 9 here? MR. DIVER: Objection to the extent that 10 he's trying to introduce by hearsay testimony a 11 12 statement of legal opinion with respect to whether 13 it was or was not a violation of the law. 14 MR. CARSON: Again, it goes to what this witness' state of mind was. 15 MR. DIVER: It's not being introduced for 16 17 establishing the truth of anything the officer said. I withdraw my objection. 18 19 HEARING OFFICER: I'll permit the 20 witness to answer the question. So, the objection is either withdrawn or overruled. 21 MR. DIVER: All right, make it overruled. 22 We had sometime earlier approached the 23 Α. Village about whether there were code controls 24

```
1
          over there, sort of thing or not, and --
                   HEARING OFFICER: Mr. Shelton, could you
 2
          just answer the question specifically?
 3
                   Could we have the reading of the question
 4
              A.
          back?
 5
 6
                   MR. CARSON: Rather than read it back,
 7
          I'll rephrase it.
                   HEARING OFFICER: All right. We'll have
 8
 9
          the question rephrased.
          BY MR. CARSON:
10
                   On the basis of your consultation with
11
              ο.
12
          the Winnetka Police, did you form a belief as to
13
          whether there was a violation of the law involved
14
         here?
                   MR. DIVER: Which law, Winnetka law,
15
          Illinois law, federal law, Winnetka law, are we
16
17
          talking about --
                   MR. CARSON: Whatever law he discussed
18
19
          with the police, violation of the law.
20
                   HEARING OFFICER: I'll permit the
          witness to answer the question.
21
                   They indicated that we might be able to
22
              Α.
         prosecute forward on the basis of a public noise
23
          nuisance, that the code covered that. However, it
24
```

1 would most likely be kicked to a circuit court 2 where the judge would say that this is an EPA or Pollution Control Board kind of issue. And, one 3 of the officers at the meeting suggested that we 4 contact the EPA. That if we wanted to go forward 5 6 with filing a noise nuisance complaint, we could, 7 but that it would end up being a lot of busy work. 8 I take it based on your answer, then, 0. 9 that the Winnetka Police didn't view it as a matter appropriate for the Winnetka Police? 10 MR. KAISER: Objection. 11 12 MR. DIVER: Objection, 13 mischaracterization of testimony. The testimony 14 is what it is. 15 HEARING OFFICER: Could you phrase it as 16 a question? 17 BY MR. CARSON: Did the Winnetka police respond to it as 18 ο. 19 a police matter? 20 Α. The Winnetka Police said that they could 21 respond to it as a police matter, but that it was 22 unlikely to render a permanent solution. They suggested the EPA and going back to the village. 23 And, when they told you that they could 24 Ο.

1 respond to it as a police matter, but it would not 2 likely result in a permanent solution, did you 3 think that that meant that they would go to Mr. Crown's house and place him under arrest? I'm 4 trying to get an understanding of what you thought 5 the police could do about it, or should do about 6 7 it on the basis of your discussions with them, and 8 and is the answer nothing? 9 Prior to the meeting, we thought that the Α. 10 public noise nuisance ordinance was absolutely

clear and that the police would be able to come 11 12 over and cause the Crowns to stop operating their 13 unit. We subsequently, as we talked with the 14 police, found that there's a gray area as to 15 what's a public nuisance as opposed to a private 16 nuisance, and that it wasn't clear that enough 17 people were being affected here to make it a public nuisance, and that's the only way that the 18 19 village can get involved.

20 Q. I thought you testified this morning that 21 the Winnetka Police said go see the Village of 22 Winnetka, and the Village of Winnetka said see the 23 police, and you were getting that kind of a 24 bureaucratic runaround, is that an accurate way

1

of starting it?

Yes, we had earlier approached the 2 Α. 3 village and they said go see the police if there's 4 a problem, and that's when we went to see the police on the 30th, said go see the village and 5 6 the EPA. 7 Q. So, it's a matter of enforcement of any 8 law or village code, neither of those, the village 9 or the police, took responsibility for taking enforcement action, is that correct? 10 As of that time, that's correct. 11 Α. 12 MR. DIVER: Madam Hearing Officer, I 13 wonder if we might ask for a brief recess at this point, we understand. Mr. Shelton's been on the 14 stand for about two hours now. We just have kind 15 of an energy grabing break, nothing too long, 5 16 17 minutes. HEARING OFFICER: I think we can take our 18 19 afternoon break at this time. All right. Let's 20 take 5 minutes. (WHEREUPON, a brief recess was 21 22 taken.) AFTER RECESS 23 MR. CARSON: If you would, Mr. Shelton, 24

```
1
          please take an look at Exhibit Number 17, which is
 2
          a July 6th, 1994 letter to Mr. Crown from you.
 3
              Α.
                   Yes.
                   This letter was written after you
 4
              ο.
          received Al Shiner's sound measurements, is that
 5
 б
          right?
 7
              Α.
                   Yes.
                   And, were the sound measurements
 8
              Ο.
 9
          transmitted to Mr. Crown with this letter?
                   No, I faxed the sound measurements the
10
              Α.
          afternoon of the 5th when I received them.
11
12
              Q.
                   Okay, the preceeding day?
13
              Α.
                   Yes.
14
              Q.
                   And, it appears that already within a one
          day's time, that Mid/Res could or Mid/Res, that's
15
          the same company, right?
16
17
              Α.
                   Yes.
                   That Mid/Res could, had asked for Mr.
18
              ο.
19
          Shiner's assistance in working on this issue?
20
              Α.
                   Yes.
                   And, how was that communicated to you?
21
              Q.
                   Mr. Shiner called to ask if it would be
22
              Α.
          acceptable to me for him to be engaged by Mid/Res,
23
          the Crowns to help find a solution for the
24
```

1 problem, and I told him that my concerns about 2 conflict of interest notwithstanding, our interest 3 was in finding a solution, and it was acceptable with us for him to work with Mid/Res and the 4 5 Crowns. Q. So, at that point, you consented to Mr. 6 7 Shiner assisting in finding a solution? 8 Α. Yes. 9 And, you stated in this letter that you ο. 10 gave that permission because Mr. Shiner is good 11 and can probably help resolve the problem, is that 12 way you felt about it at that time? 13 Α. Yes. It also says in this letter that you had 14 Q. 15 intended to press the Village for action at last Tuesday's council meeting. What action did you 16 17 intend to press village for? We were asking the Village to either 18 Α. 19 take -- strike that -- we contemplated asking the 20 village to help by either taking action under the 21 existing noise nuisance ordinance or by adopting a 22 new ordinance that would prevent this sort of noise from being created in the village. 23 The existing noise pollution ordinance 24 ο.

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```
1
          that you were referring to, which ordinance is
 2
          that?
                   There was a, in the general list of
 3
              Α.
          ordinances, there's a, there was a public noise
 4
          nuisance ordinance.
 5
 6
              Ο.
                   For the Village of Winnetka?
 7
                   For the Village of Winnetka, yes.
              Α.
 8
                   Did you ever pursue a complaint with the
              Q.
 9
          Village of Winnetka utilizing that ordinance?
                   Yes, we did.
10
              Α.
                   And, has that been resolved in some
11
              ο.
          fashion?
12
13
              Α.
                   We filed that complaint, I say we, Bob
          Julian and I filed that complaint September of
14
          1994. We then withdrew the complaint when we, on
15
          the day that we filed it, received plans for an
16
17
          enclosure.
                   That was when Mr. Crown provided you with
18
              ο.
19
          the plans for the acoustical enclosure which was
20
          constructed the following spring?
21
                   I thought it was January, but yes.
              Α.
22
              Q.
                   You thought it was constructed in
23
          January?
                   That's when we withdrew the complaint
24
              Α.
```

1

when we received the plans.

Q. On this letter, however, Exhibit 17, it 2 3 says that you intended to press the village for action. However, after talking with Bill Devers, 4 we did not do this. Who is Bill Devers? 5 Bill Devers was a neighbor of ours on 6 Δ 7 Ardsley, and also a friend of the Crown family. 8 Q. And, you had a conversation with Bill 9 Devers concerning this air conditioning noise 10 issue? Yes. I testified this morning --11 Α. 12 Q. Tell me when that conversation took 13 place, please? It was prior to the 4th of July. I don't 14 Α. recall the exact date. I do believe that there's 15 a letter that has been entered into evidence from 16 Mr. Devers that might indicate the date, but it 17 was sometime. The initial conversation was 18 19 sometime prior to the 4th of July. 20 Q. According to this letter, Exhibit 17, you 21 had intended to press the village for action at 22 last Tuesday's council meeting. However, after talking with Bill Devers we did not do this. So, 23 would that refresh your memory that the 24

conversation with Bill Devers was sometime around
 the end of June?

3 A. There was --

4

24

Q. Early July time frame.

5 Α. There was a conversation on July 5th, I'm б sorry, I thought you were talking about the 7 initial conversation which was prior to the 5th when he offered to be a mediator or peacemaker 8 9 here, if you will. He talked with Steven, as I understood it, in Colorado on the 4th and called 10 me on the 5th to tell me his conversation with 11 12 Steven. 13 Q. I see. In your first conversation with 14 Mr. Devers, and that was approximately when?

A. Again I'm not sure the date, it was
sometime around the 30th or the 1st, most likely
one of those two days.

Q. In that conversation, did you tell Bill
Devers of any of the sound attenuation efforts
that had been attempted up to that time?
A. No, but he listened to the noise.
Q. You didn't tell him about Mr. Crown's
efforts to lessen the noise up to that time?

A. I told him that Mr. Crown had said he was
1 going to do no more.

| Q. Okay. Maybe it's the way that I'm asking       |
|---------------------------------------------------|
| the question that's causing you not to be able to |
| answer.                                           |
| A. I didn't specifically tell him about the       |
| landscaping or the fences, turning the unit       |
| around, if that's what you're asking.             |
| Q. Okay. You didn't think that those things       |
| were relevant, is that the reason that you didn't |
| tell him?                                         |
| A. I thought that the relevant thing was the      |
| noise that was being created as of that time?     |
| Q. After, to your understanding, then, Mr.        |
| Devers had an opportunity to talk to Mr. Crown,   |
| and then he called you back. And, as a result of  |
| something Mr. Devers told you, you withdrew your  |
| plans to press the village for action?            |
| A. I pulled back on what we planned to talk       |
| with the village about for two reasons. One was   |
| the call from Mr. Devers. The second was that we  |
| had, as of that date, gotten the sound readings   |
| and I did not want to go public with the village  |
| on this matter without telling Steven the         |
| readings. So, I wanted to wait until he knew      |
|                                                   |

1 about the readings before I asked the, formally asked the village for help. So, it's for those 2 3 two reasons. By the way, what Mr. Devers told me was that he had talked with Steven and that if we 4 proceeded to take this thing public, that they 5 6 would do no more. MR. CARSON: Move to strike the last 7 8 portion as non-responsive to any question. 9 HEARING OFFICER: Is there a response to 10 the motion? MR. KAISER: The objection was, I'm 11 12 sorry, non-responsive? I'm sorry, I didn't--13 HEARING OFFICER: There was a motion to 14 strike the last portion of the testimony given by 15 the witness as non-responsive to the question. Is 16 there a response to that motion? All right. The 17 motion is granted. That portion of the testimony is stricken. 18 19 Ο. You learned that Mid/Res had asked the 20 acoustical engineer to consult with them on this air conditioner noise, right? 21 22 Α. Yes. And that I take it was a step forward 23 ο. from where you had been? 24

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| 1  | A. Yes.                                            |
|----|----------------------------------------------------|
| 2  | Q. Who did you talk to first, Bill Devers or       |
| 3  | Al Shiner?                                         |
| 4  | MR. DIVER: If I might help, Exhibit                |
| 5  | Number 14 might be of assistance to both counsel   |
| 6  | and the witness. That's a letter from Mr. Devers   |
| 7  | to Mr. Crown dated July 1, 1994.                   |
| 8  | MR. CARSON: I'm not talking about that,            |
| 9  | that pertains to the first conversation with Mr.   |
| 10 | Devers. You had a second conversation with Mr.     |
| 11 | Devers that you already said occurred on July 5th? |
| 12 | A. Yes. I got the results of the Shiner            |
| 13 | readings, if memory serves me, late in the         |
| 14 | morning. I got the actual hard copy faxed to me    |
| 15 | in the afternoon. I talked with Mr. Devers late    |
| 16 | in the afternoon on Tuesday the 5th.               |
| 17 | Q. When was it that Mr. Shiner informed you        |
| 18 | that Mid/Res had asked him to consult with them on |
| 19 | resolving the air conditioner problem?             |
| 20 | A. That was the following day, the 6th that        |
| 21 | he called me?                                      |
| 22 | Q. So, if I understand the chronology, you         |
| 23 | learned from Mr. Devers that if you go public      |
| 24 | I'm going withdraw that, that testimony is         |

striken. I'll withdraw that.

1

```
This is Exhibit 34, Exhibit 34 is the
 2
          letter from Al Shiner with the drawing attached.
 3
          The letter dated July 12, 1994?
 4
                   Yes, I received this.
 5
              Α.
 6
              Ο.
                   You received this from Al Shiner on or
          about July 12, 1994?
 7
 8
              Α.
                   Yes.
 9
              ο.
                   And, at that time, you were aware that a
          plan was in the works for construction of some
10
          sore of enclosure around the air conditioner,
11
12
          right?
13
              Α.
                   I thought this enclosure--yes.
14
              Q.
                   And, did you respond to Mr. Shiner with
          your critique of his proposed enclosure?
15
16
                   I'm not sure what you mean by critique.
              Α.
17
              Q.
                   Showing counsel Exhibit 47 dated July 21,
          1994.
18
                   MR. DIVER: This is from David Shelton to
19
20
          Al Shiner, c.c. Steven Crown and Gregory Zack.
                   HEARING OFFICER: Okay, thank you.
21
                   Yes, I responded with this letter. I
22
              Α.
          don't know that I would characterize it as a
23
          critique, but this is a letter I wrote.
24
```

| 1  | Q. You requested it, didn't you, you              |
|----|---------------------------------------------------|
| 2  | questioned his plans?                             |
| 3  | A. I only asked if there had been any sound       |
| 4  | reduction calculations made, and that was the     |
| 5  | suggestion of Greg Zack that I ask that question. |
| 6  | I also sent copies of Trane information I had     |
| 7  | received between the time of the readings on July |
| 8  | 5th and the date of this letter.                  |
| 9  | Q. Were you already concerned that this           |
| 10 | enclosure was not likely to be effective?         |
| 11 | A. I was hoping very much that it would be        |
| 12 | effective. Greg Zack suggested that I ask about   |
| 13 | the reduction calculations, and had also advised  |
| 14 | that noise problems like this with units of this  |
| 15 | size are very complicated to eliminate and        |
| 16 | Q. Did you still have a preference as of          |
| 17 | July, 1994 that the unit be relocated?            |
| 18 | A. No. All we wanted to do was get the            |
| 19 | noise reduced.                                    |
| 20 | Q. In the last paragraph on the first page        |
| 21 | of Exhibit 47, you expressed concern that there   |
| 22 | should be an adequate margin of safety below      |
| 23 | Illinois' Numeric Standards. What were you        |
| 24 | referring to?                                     |

| 1  | A. I was referring to the Illinois Numeric         |
|----|----------------------------------------------------|
| 2  | Noise levels.                                      |
| 3  | Q. What about the margin of safety, what's         |
| 4  | that in reference to?                              |
| 5  | A. I was thinking about the fact that the          |
| 6  | design should be such that there's some margin for |
| 7  | error in it.                                       |
| 8  | Q. So, if the enclosure was designed in a          |
| 9  | manner intended to achieve meeting the IEPA        |
| 10 | nighttime standards, would that satisfy you or did |
| 11 | you want it lower?                                 |
| 12 | A. If it would meet the standards, that            |
| 13 | would certainly be acceptable to us. I was simply  |
| 14 | concerned that in the course of designing, if it   |
| 15 | were designed to exactly meet the standards, it    |
| 16 | was a very good chance that it wouldn't, and so    |
| 17 | that the prudent thing to do wuold be to allow a   |
| 18 | margin of safety, that's all I had in mind.        |
| 19 | Q. Is it your belief that in designing a           |
| 20 | sound attenuation device, it's appropriate, under  |
| 21 | these circumstances, to shoot for a level below    |
| 22 | those standards?                                   |
| 23 | A. I'm not a sound expert, but as a                |
| 24 | Q. That was what you were suggesting in this       |

1 letter, not that you were a sound expert, but that 2 it would be appropriate to shoot for a level below 3 the IEPA nighttime standards? I would think a reasonable person would 4 Α. think that they should have a bit of margin of 5 6 error in their design that would be, as a 7 businessman, I would certainly expect that kind of 8 margin of error. 9 And, you also expressed the concern Ο. 10 again, reading from Exhibit 47, that an inadequate solution would simply extend the stress that your 11 12 family family is now living with and would cause 13 the Crowns to spend money wastefully. In making 14 that statement, was it your intent to express the 15 concern that why construct an enclosure unless you're sure it's going to work? 16 17 Could you repeat the question? Α. Was it your intent to express the view 18 ο. 19 that one should not construct the enclosure 20 without having certainty that it would work? 21 To the degree possible, if one is going Α. 22 to try to put a solution in place, they should do their best to make sure that it will work. 23

Q. So, you wouldn't find fault with somebody

24

```
1
          for being careful and deliberate in their process
 2
          of selecting a sound attenuation enclosure?
                   MR. DIVER: We're talking about
 3
          carefulness and deliberateness or tardiness?
 4
                   MR. CARSON: Your Honor, I would ask that
 5
 6
          comments like that, that counsel be instructed to
 7
          refrain from comments like that. It's totally
          inappropriate. He's directing his comments to
 8
 9
          me..
                   HEARING OFFICER: Let's have a motion or
10
          else no comments or a motion or objection or no
11
12
          comments.
13
                   MR. DIVER: My objection is to the
14
          ambiguity of the question, asking for carefulness
15
          and deliberateness as opposed to what that
16
          particularly means to this witness.
17
                   HEARING OFFICER: Overruled. You can
          answer the question.
18
19
          BY MR. CARSON:
20
              0.
                   Mr. Shelton, you wouldn't fault someone
          for being careful or deliberate in their selection
21
22
          of a sound enclosure, would you?
                   HEARING OFFICER: The question asks for a
23
24
          yes or no answer.
```

1 Δ I find it difficult to answer just yes or 2 no, but no, I guess if I have to say one word, 3 Madam. Why did you see Mr. Zack a copy of the 4 ο. July 21, 1994 letter, Exhibit 47? 5 6 My experience with this situation to date Α. 7 had been that progress was painfully slow and the 8 results frequently weren't what had been told me 9 were going to be. I felt that it was important to 10 keep some momentum going to keep the EPA involved, 11 that's why I sent the copy to the EPA. I didn't 12 trust that what had been represented to me in Mr. 13 Shiner's letter was, in fact, going to be carried forward. 14 You knew at that time that Mr. Crown and 15 Ο. 16 his consultants were working on a sound enclosure? 17 Only communication I had received was the Α. one letter from Mr. Shiner in. 18 19 My question, sir, is you knew as of July Q. 20 21 that Mr. Crown and his consultants were working on a sound enclosure? 21 22 Α. Yes, and I simply provided some additional information and copied the EPA. 23 I'm going to show you, and I've shown 24 Ο.

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```
1
          counsel, and I'm now showing the Hearing Officer
 2
          Exhibit 45. This is a letter that you prepared
          and you and Bob Julian signed, right?
 3
 4
              Α.
                   Yes.
                   And, this was in September of 1994. And,
 5
              ο.
 б
          at this time, you also were aware that a sound
 7
          enclosure was in the works, right?
                   I was not sure, at that time, that there
 8
              Α.
9
          was one in the works.
                   At that time, you thought that it might,
10
              ο.
          the plan might have been abandoned?
11
12
              Α.
                   Yes.
13
              Q.
                   Did you call Al Shiner and ask him?
14
              Α.
                   Yes, several times.
                   And did Al Shiner tell you the plan had
15
              Ο.
          been abandoned?
16
17
              Α.
                   He told me he didn't know, that he had
          been expecting specs and hadn't received them. I
18
19
          called roughly weekly starting the end of July
20
          through early September, and he was increasingly
21
          exasperated that he hadn't gotten any feedback, he
22
          had no idea where the specs were.
                   You sent this letter to Steve Crown,
23
              Ο.
          copying Doug Williams with the Village of Winnetka
24
```

| 1  | and the Winnetka Village Council and Gregory Zack  |
|----|----------------------------------------------------|
| 2  | with the Illinois EPA, is that right?              |
| 3  | A. Yes.                                            |
| 4  | Q. And, why did you copy all those people          |
| 5  | with this letter?                                  |
| 6  | A. I've already explained why I kept the EPA       |
| 7  | informed. The reason I kept the Village informed   |
| 8  | is that we had dropped our momentum with our       |
| 9  | effort to get the Village to help. Based upon      |
| 10 | what we thought was a commitment on the part of    |
| 11 | the Crowns to get this thing resolved. By the      |
| 12 | middle of September, with little or no evidence    |
| 13 | that they were going forward, other than the quick |
| 14 | letter from Mr. Shiner, we had lost faith that     |
| 15 | they were, in fact, moving forward and decided     |
| 16 | that we had to reinitiate our efforts with the     |
| 17 | village.                                           |
| 18 | Q. Was your concern over getting the sound         |
| 19 | reduced or was it over being informed at every     |
| 20 | step of way?                                       |
| 21 | A There were two things going on here. The         |
| 22 | first, that we thought that it was terribly        |
| 23 | important, under the circumstances, that there be  |
| 24 | some activity shown, and that we be made aware of  |
|    |                                                    |

| 1  | it. We thought that was the right thing to have    |
|----|----------------------------------------------------|
| 2  | happen, and we weren't. The only direct            |
| 3  | communication we had from Mr. Crown was a          |
| 4  | conversation, a not very cordial one, he had with  |
| 5  | my wife in late July in which he told her that     |
| 6  | construction will begin at or around Labor Day,    |
| 7  | and that time came and went, nothing had still     |
| 8  | happened, and we had gotten no more                |
| 9  | communications. So, we had no reason to have       |
| 10 | confidence that anything was happening. And,       |
| 11 | under the exigencies of a hot summer, we thought   |
| 12 | that that was unacceptable. The second thing that  |
| 13 | was going on is this thing was devastating my      |
| 14 | family. There was no one living in that house,     |
| 15 | and yet the air conditioner was running 24 hours a |
| 16 | day. And, we had to continue to communicate to     |
| 17 | them that they had to stop doing this to my        |
| 18 | family.                                            |
| 19 | HEARING OFFICER: Can we go off the                 |
| 20 | record for a moment to discuss exhibits?           |
| 21 | (Off the record)                                   |
| 22 | AFTER RECESS                                       |
| 23 | MR. CARSON: We've agreed that it's okay            |
| 24 | to use this one, even though there is a clean copy |
|    |                                                    |

```
1
          someplace, we just can't get our hands on it right
 2
          now.
                   HEARING OFFICER:
                                      Okay. All right.
 3
          Just ignore that because we know we have the other
 4
          in the record, so we don't have to talk about
 5
 6
          that.
 7
                   MR. DIVER: What's the date on that,
 8
          counsel?
                   MR. CARSON:
 9
                                 September 15, 1994.
                                                         This
          is Exhibit No. 20.
10
          BY MR. CARSON:
11
              Q. Mr. Shelton, is this a letter you
12
13
          received from Steve Crown?
                   Yes, I received it the 23rd or 24th.
14
              Α.
                   This is the letter you said was
15
              Ο.
          postmarked after September 15th?
16
17
              Α.
                   Yes, some 6 days.
                   Do you know, since you looked so closely
18
              ο.
19
          at the postmark, did you see where it was
20
          postmarked from?
                   I don't recall.
21
              Α.
                   If it was someplace far away, would you
22
              ο.
          remember that? Would it refresh your recollection
23
          if I told you it was mailed from Honolulu?
24
```

```
1
              A. I think the postmark date's the issue, not
 2
          how long the mail took to get here. The postmark
 3
          versus the letter date, it was a 6 day gap.
                   MR. KAISER: Is that an offer of proof,
 4
          counsel, that was postmarked from Honolulu,
 5
 б
          Hawaii.
 7
                   MR. CARSON: No, it's not an offer of
 8
          proof. I'm asking to refresh his recollection.
 9
          Does it refresh your recollection?
                   I don't recall where it was postmarked
10
              Α.
          from. I just recall the date because I noted it
11
12
          on my letter.
13
              Q. You did receive with -- strike that --
14
          upon receipt of this letter, you knew that in fact
          the design for the acoustical enclosure was
15
          complete?
16
17
              Α.
                   With the receipt of this letter and the
          accompanied plans, we understood that there were
18
19
          now plans, no construction, but plans.
20
              Q.
                   You had in your September 14th letter,
          Exhibit 45, set a deadline for response, is that
21
22
          right?
23
              Α.
                   Yes.
                   And you wanted, you essentially were
24
              Q.
```

| 1  | telling Steve Crown on September 14th that unless  |
|----|----------------------------------------------------|
| 2  | you received specific plans by September 23rd, you |
| 3  | would ask the Village to take necessary steps to   |
| 4  | remedy the situation, right?                       |
| 5  | A. Yes.                                            |
| 6  | Q. And, I take it then that you did not take       |
| 7  | steps with the Village of Winnetka at that time?   |
| 8  | A. No, on the contrary. We, in fact, did           |
| 9  | take the action of filing a letter of complaint    |
| 10 | with the Village as of the 23rd. We had not yet    |
| 11 | received Mr. Crown's letter at that time.          |
| 12 | Q. Upon receipt of Mr. Crown's letter,             |
| 13 | Exhibit 20, you withdrew the complaint?            |
| 14 | A. Yes.                                            |
| 15 | Q. Now, showing you what has been marked           |
| 16 | Exhibit 48 A and B and received into evidence.     |
| 17 | HEARING OFFICER: Do you mean 43 A and              |
| 18 | B?                                                 |
| 19 | Q. Excuse me, 43 A and 43 B.                       |
| 20 | A. Yes, I sent these letters.                      |
| 21 | Q. The first is a letter dated September 27,       |
| 22 | 1994 to Doug Williams, Village Manager, Village of |
| 23 | Winnetka. In that letter, you advised Mr.          |
| 24 | Williams that you're withdrawing the complaint,    |

1 correct? 2 Α. Yes. 3 And, you suggested there's a chance at Ο. 4 making progress between neighbors, right? 5 Α. Yes. 6 Q. However, you questioned the effectiveness 7 of the, or you suggested that there are questions 8 about the effectiveness of the proposed enclosure. 9 Did someone tell you that the proposed enclosure might not be effective? 10 Yes, Mr. Zack did express some 11 Α. 12 reservations. 13 Q. Okay. So, you received it on September 14 23rd and you obtained Mr. Zack's review of the plans by September 27th when you sent this letter? 15 Mr. Zack had reviewed the original 16 Α. 17 proposal described by Al Shiner, and I described the kind of enclosure we were talking about here, 18 19 and he had expressed some reservations. In 20 addition to that, my experience on this at this 21 time with the extreme slow timetable I think it's 22 fair to say reluctance on making any progress in 23 this matter, made me very concerned that progress, in fact, wouldn't be complete. 24

1 Q. I'm referring specifically to the portion of your letter that refers to questions about the 2 3 effectiveness of the proposed enclosure. Now, what questions had been raised to you and by whom 4 about the effectiveness of the enclosure which was 5 6 shown on the plans that accompanied Exhibit 20? 7 The questions have been primarily based Α. 8 uon the enclosure that was shown to us and in Al 9 Shiner's letter in July, July 12th, I believe it 10 was. Did you understand when you received the 11 Q. 12 plans with Exhibit 20 that the enclosure was not 13 gvoing to be exactly as had been drawn by Pete 14 Keller in the preceding July? MR. KAISER: Objection, I believe that 15 16 misstates the testimony. It wasn't drawn up --17 wasn't it drawn by Al Shiner? MR. CARSON: I believe the testimony was 18 19 that it was drawn up by Pete Keller. 20 MR. KAISER: I apologize. 21 HEARING OFFICER: You can answer the 22 question. 23 Α. Could you repeat the question? HEARING OFFICER: 24 I'll have the question

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| 1  | read back.                                         |
|----|----------------------------------------------------|
| 2  | (WHEREUPON, the record was read.)                  |
| 3  | A. Yes, I observe that that was the case.          |
| 4  | Q. You observed that the plans                     |
| 5  | A. When I got the plans.                           |
| 6  | Q that the plans had changed?                      |
| 7  | A. Yes. For example, there was a top shown         |
| 8  | on the plans.                                      |
| 9  | Q. Had you obtained comments from Greg Zack        |
| 10 | or any other expert about the proposed enclosure   |
| 11 | that we saw in September by the time you wrote to  |
| 12 | Doug Williams telling him that you had questions   |
| 13 | about the effectiveness of the proposed enclosure? |
| 14 | A. I had not, at that time, had a chance to        |
| 15 | send him a copy of the full plans, I don't         |
| 16 | believe. I might have done it simultaneous with    |
| 17 | this. I don't believe that I had had a chance to   |
| 18 | look the detailed plans, though. I generally       |
| 19 | described the nature of the structure.             |
| 20 | Q. Is this another situation when you had          |
| 21 | already decided that it wouldn't work, even before |
| 22 | it was implemented?                                |
| 23 | MR. DIVER: Object to the                           |
| 24 | characterization of this witness' testimony        |

1 beforehand. Move that the question be struck. 2 MR. CARSON: We've already seen --3 MR. DIVER: This is another example of your deciding in advance, I don't believe there's 4 been any testimony by this witness that he had 5 6 decided in advance that nothing would work. 7 MR. CARSON: There certainly was, and it concerned Exhibit No. 4, near the beginning of my 8 9 Cross-examination of this witness when the witness decided before anything had been done that the 10 only viable solution was relocation. 11 12 MR. DIVER: You talking about October 13 11th, 1993? 14 MR. CARSON: Yes, sir. 15 MR. DIVER: Before anything had been 16 done. 17 MR. CARSON: Yes, sir. MR. DIVER: Not that a particular 18 19 proposal had been on the table, whether or not 20 that would work. HEARING OFFICER: 21 I'm going to sustain 22 the objection and ask that if you wish to pursue 23 that, rephrase your question. You told M. Williams that there were 24 ο.

1 questions about the effectiveness of the proposed enclosure when, in fact, you didn't have any idea 2 at all as to whether the enclosure would be 3 effective, isn't that true? 4 5 Α. That's true. 6 Ο. You didn't have any comment from any 7 experts regarding the proposed enclosure at that 8 time, did you? 9 Α. I had the following, I had the experience 10 of what had purportedly been done prior to July of 1994, which had had almost no effect, despite 11 12 enormous delays. I had reviewed the structure 13 that Al Shiner desinged in early July of 1993, excuse me early July of 1994 with the State EPA, 14 and they did raised some questions about that 15 16 structure. I was now looking at the structure and 17 it had taken two and a half months during the air conditioning season of 1994 to get a plan to us 18 19 while my family was being devastated, and I saw 20 that it didn't even have a roof on it, those were 21 the bases for me being to some degree, concerned 22 that this might not be the final solution. Exhibit 7 and Exhibit 35. 23 MR. CARSON: HEARING OFFICER: 24 All right.

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1 BY MR. CARSON:

| 2  | Q. I'm handing you Exhibit 7 and Exhibit 35.       |
|----|----------------------------------------------------|
| 3  | These are documents that both bear on a meeting    |
| 4  | that occurred with the Village Council in January  |
| 5  | of 1995, right?                                    |
| 6  | A. The letter of January 21st bears on that        |
| 7  | meeting. The letter of January 16th was, I         |
| 8  | believe prior to the meeting and in response to a  |
| 9  | letter that Mr. Crown had written.                 |
| 10 | Q. Did you request a meeting with the              |
| 11 | Village of Winnetka in January of 1995, the        |
| 12 | Village Board,                                     |
| 13 | A. No, Mr. Crown did.                              |
| 14 | Q. Was there an earlier meeting that Mr.           |
| 15 | Crown was not in attendance at where the issue of  |
| 16 | his air conditioner was the subject of discussions |
| 17 | also in January of 1995?                           |
| 18 | A. There was a meeting in November, but not        |
| 19 | in January there was a village council meeting     |
| 20 | on January 10th, I believe it was in Winnetka.     |
| 21 | Q. Okay. And, the Crown air conditioner was        |
| 22 | the subject of a discussion at a Winnetka Village  |
| 23 | Council meeting around January 10th of 1995,       |
| 24 | right?                                             |

| 1  | A. I wouldn't say it was the subject of            |
|----|----------------------------------------------------|
| 2  | discussion per se. There were two proposed         |
| 3  | ordinances that were to be discussed at what was a |
| 4  | public study group of the village council.         |
| 5  | Q. And, you were there because you knew that       |
| 6  | these matters were going to be the subject of      |
| 7  | discussion?                                        |
| 8  | A. Yes, the village had sent the information       |
| 9  | to both Mr. Crown and myself.                      |
| 10 | Q. You didn't inform Mr. Crown that that           |
| 11 | meeting was going on?                              |
| 12 | A. The village had informed him.                   |
| 13 | Q. How much notice did Mr. Crown receive, do       |
| 14 | you know?                                          |
| 15 | A. According what Mr. Williams said the            |
| 16 | night of that meeting, Mr. Crown had just          |
| 17 | Q. Excuse me for interrupting, Mr. Shelton,        |
| 18 | I'm really just interested in knowing if you know  |
| 19 | how much notice was given to Steven Crown of that  |
| 20 | meeting?                                           |
| 21 | A. I assume it was the same amount of notice       |
| 22 | that was given to us.                              |
| 23 | Q. How much notice did you receive?                |
| 24 | A. The materials were mailed to us roughly a       |

1 week before.

| 2  | Q. At the time that this January 10th              |
|----|----------------------------------------------------|
| 3  | meeting occurred, were you aware that there was a  |
| 4  | plan to construct an acoustical enclosure around   |
| 5  | the air conditioning unit?                         |
| 6  | A. I had been, I had received the plans in         |
| 7  | September, yes.                                    |
| 8  | Q. And, I take it you were frustrated              |
| 9  | because the enclosure hadn't been built already?   |
| 10 | A. Well, I had two purposes being at the           |
| 11 | meeting. There's fairly good attendance that       |
| 12 | night. There were several things being discussed   |
| 13 | at the meeting, but one was the particular concern |
| 14 | about the Crown air conditioner. If history was    |
| 15 | any indicator, we could not be very confident      |
| 16 | that there would be a timely or effective solution |
| 17 | for the noise. The second was having lived in the  |
| 18 | Village for approaching 20 years, I was very       |
| 19 | concerned about things that are going on in the    |
| 20 | village, and I thought it terribly important that  |
| 21 | an ordinance be put in place so that kind of thing |
| 22 | would never happen again, which the village        |
| 23 | subsequently did.                                  |
| 24 | Q. Okay.                                           |

| 1  | A. Put an ordinance in place.                      |
|----|----------------------------------------------------|
| 2  | Q. Just to put your statement about if             |
| 3  | history was any indicator, up to this point in     |
| 4  | time, there had been a significant number of       |
| 5  | efforts or identifiable things done to try to      |
| 6  | reduce the sound?                                  |
| 7  | A. There had been a series of small things         |
| 8  | done prior to July of 1994, all of which had       |
| 9  | failed.                                            |
| 10 | Q. None of them had satisfied you.                 |
| 11 | A. And most had been, the landscaping in           |
| 12 | particular had been predicted by, we talked, which |
| 13 | I don't know if the Crowns talked to any experts   |
| 14 | or not, but the ones we had talked to said         |
| 15 | landscaping is of little relevance to sound        |
| 16 | control. Trane engineers even told us that.        |
| 17 | Subsequent to that time, we had had the quick      |
| 18 | reaction on the Shiner drawing and then the two    |
| 19 | and a half months as my family was living through  |
| 20 | this thing of not even a plan, let alone a         |
| 21 | construction. And then suddenly being told that a  |
| 22 | different kind of enclosure that doesn't happen to |
| 23 | have a top on it is being built.                   |
| 24 | HEARING OFFICER: Okay, thank you. Were             |

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1
          you finished, I'm sorry, I thought you were
 2
          finished.
                   So, for those reasons, I did not have a
 3
              Α.
          high level of confidence that the soluton was yet
 4
          in sight.
 5
 6
                   HEARING OFFICER: Okay. I'm going to ask
 7
          once again at this point that the witness answer
          the questions directly posed by counsel as
 8
 9
          succinctly as possible.
10
              Α.
                   Sorry, ma'am.
                   As far as the history of what had
11
              ο.
12
          happened up until that point, a number of
13
          different attempts or fixes had been attached with
          respect to the sound. None of which however,
14
          satisfied you and your family, is that a fair
15
16
          characterization?
17
              Α.
                   The minor things done before July of 1994
          had not been effective.
18
19
              Ο.
                   he rotation of the unit, that was a minor
20
          thing?
                   We don't know if that was for sound.
21
              Α.
22
              Ο.
                   The blanket over the compressor, that was
23
          a minor thing?
                   That was later removed.
24
              Α.
```

1 Q. Was it a minor thing, sir? 2 I don't know, but it was later removed. Α. 3 I'm trying to use your words. You said Q. they were minor things. The installation of the 4 cones, the installation of the panels, these were 5 б minor things? 7 HEARING OFFICER: Answer to the best of 8 your ability. 9 Α. Yes. Are you aware of the cost of these minor 10 ο. things? 11 12 Α. No, I'm not. 13 Q. They didn't satisfy you or your family, though, right? 14 Correct, the noise was still extremely 15 Α. 16 high. 17 Q. There was then a meeting with a number of village representatives on January 17, 1995 and 18 19 Exhibit No. 35, which you have before you, I 20 think--21 Α. Yes. -- speaks to that meeting? 22 Q. 23 Α. Yes. And, as I understood your testimony on 24 Q.

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1 direct examination, these notes that are attached 2 to Exhibit No. 35 are notes that were put together 3 by you and Bob Julian as to what transpired at the 4 meeting? The notes were put together by me. I 5 Α. 6 thought it important that we memorialize what 7 transpired at the meeting. 8 Ο. Did you have handwritten notes at the 9 meeting or did you make handwritten notes at the 10 meeting? Yes, I did. 11 Α. 12 Q. And, did your handwritten notes contain a 13 notation that Steven Crown stated "or that he 14 would", take whatever steps are necessary for the Crowns air conditioner to comply with the IEPA 15 nighttime standards? These steps will be taken as 16 17 fast as is practical concerning design, fabrication, and construction lead times. 18 19 That was my understanding from the Α. 20 meeting. I did not attempt to use exact words, I was trying to describe the essence of the meeting 21 22 with this outline. And you remember Mr. Crown stating that 23 ο. 24 he would take whatever steps are necessary?

| 1  | A. That was my understanding, yes.                 |
|----|----------------------------------------------------|
| 2  | Q. Well, what did he say that caused you to        |
| 3  | have that understanding?                           |
| 4  | A. I thought that he said that if the              |
| 5  | readings are not satisfactory, then we will take   |
| б  | the necessary further steps. And by that, I        |
| 7  | thought he was alluding to the steps Al Shiner had |
| 8  | talked about, if you will look under Point 2 where |
| 9  | Mr. Shiner had said that with this enclosure, if   |
| 10 | it doesn't work, then additional steps can be      |
| 11 | taken to make it comply with the nighttime         |
| 12 | standards. And I believe that Mr. Crown            |
| 13 | subsequently indicated that he agreed that Mr.     |
| 14 | Shiner had indicatd this.                          |
| 15 | Q. And in fact, Mr. Crown also subsequently        |
| 16 | responded to your letter saying I did not say that |
| 17 | I would take whatever steps are necessary to       |
| 18 | comply with Illinois EPA nighttime standards?      |
| 19 | A. He agreed with these points, with the           |
| 20 | exception of Point 4 A, which has to with taking   |
| 21 | the further steps.                                 |
| 22 | Q. At that meeting, was it also discussed          |
| 23 | that strike that did you say at that meeting       |
| 24 | that if the unit would come within 10 percent of   |

the IEPA nighttime standards, that would be satisfactory?

1

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3 I don't remember saying that Α. 4 specifically. I may well have said that if the unit, you know, our goal is to try to get the 5 6 noise reduced, if it comes within a reasonable 7 proximity of the night time standards, that would 8 be all right. I vaguely recall saying something to 9 that effect. I don't recall saying 10%. 10 ο. Before Leaving Exhibit Number 7, on Page 4, this is a portion of your letter where you 11 12 state that you're pointing out 3 points in 13 Steven's January 10th letter that were 14 particularly in error, and the second one states in 1993, we had asked the Crowns if they would be 15 interested in buying our house, and that was a 16 17 statement that had been made in Mr Crown's January 10 letter, right? 18 19 Yes. Α. 20 Q. But, that was not in error, was it? 21 I would have to refresh myself by looking Α. 22 at his letter, but the context in which it was 23 stated that there was something sinister about our phone call, and I wanted to clarify that that was 24

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| 1  | not the case at all. It's just as it's laid out    |
|----|----------------------------------------------------|
| 2  | here, and as I testified to a little bit ago.      |
| 3  | Q. You telephoned Steven Crown and said            |
| 4  | would you like to buy my house, right?             |
| 5  | A. Yes.                                            |
| 6  | Q. And, you gave him a price of \$1.2              |
| 7  | million, give or take, right?                      |
| 8  | A. I Believe it was 1.1.                           |
| 9  | Q. \$1.1 million, and he expressed no              |
| 10 | interest in buying your house, right?              |
| 11 | A. Short circuiting it, there were a couple        |
| 12 | of phone calls during which time we went back to a |
| 13 | broker and so on, and I explained to him why we    |
| 14 | were thinking about this.                          |
| 15 | Q. Okay. And, this exchange of phone calls         |
| 16 | occurred pror to the installation or certainly     |
| 17 | prior to firing up of this air conditioner, right? |
| 18 | A. Right.                                          |
| 19 | Q. And, after he turned you down at sometime       |
| 20 | later, the air conditioner is started and you      |
| 21 | begin to make statements that it's making your     |
| 22 | house unlivable and you're going to have to move   |
| 23 | right? And, you've made a series of those          |
| 24 | statements to various people, including in this    |

1

hearing, right?

I think I can't agree with what you're 2 Α. 3 saying, counsel. You're trying to characterize, put words into my mouth, taking things a little 4 out of context. 5 6 Q. I'm just raising a question as to whether 7 it might appear that something is confusing or sinister about this. 8 9 MR. KAISER: Objection. HEARING OFFICER: Okay, let's go off the 10 record for just a moment to discuss the need to 11 12 come to an agreement as to the conclusion of our 13 day's proceedings today. (Off the record) 14 HEARING OFFICER: Back on the record. 15 We've been discussing the scheduling and we will 16 17 pick up immediately on an objection we had now, we have an objection to the last statement made by 18 19 counsel for Respondents during the cross 20 examination. Counsel, did you have a response to the objection? 21 22 MR. CARSON: I thought it was a question 23 that I asked, you just said it was a statement. Maybe if we have it read back. 24

| 1 |       | HEARING | OFFICER: | Let's | have | it | read |
|---|-------|---------|----------|-------|------|----|------|
| 2 | back. |         |          |       |      |    |      |

3 MR. CARSON: My response, your Honor, is 4 simply that on Exhibit No. 7, this witness stated 5 that he doesn't see anything confusing or 6 sinister about it. I tried to give him in 7 explaining the series of events and then now I'm 8 asking him to reconsider if he sees anything 9 confusing or sinister about it.

MR. KAISER: Asks him to speculate on whether it might appear sinister to some who knows who, whether to himself or some third party, it's irrelevant and calls for speculation.

HEARING OFFICER: All right. The objection is sustained. I would like to ask the witness again to answer each question to the best of his ability and succinctly, and counsel, you may continue with your questioning on that issue. And then, I believe we'll be taking a break shortly.

21 Q. Mr. Shelton, you did make the statement 22 in this letter, we don't see anything confusing. 23 or sinister about this, as suggested by Steven, 24 right?

1 Α. Yes, I did. And where is it that Steven suggested 2 Ο. that there was something sinister about it? 3 There was a letter that Steven wrote as 4 Α. of January 10th. I don't have a copy in front of 5 6 me, however, it was our feeling that a number of 7 the facts were wrong and that in particular he had insinuated in that letter that there was 8 9 something connected between our complaint about the air conditioner and the fact that we talked 10 about selling our house to him some months 11 12 earlier. 13 Q. Was our next Exhibit, your Honor, 7? 14 HEARING OFFICER: Yes. 15 MR. CARSON: I'll wrap up this area in 16 just a moment. 17 Q. Respondent's Exhibit 7 is a letter dated January 10th, 1995 to Winnetka Village Council 18 19 from Steven Crown. This is Respondent's Exhibit 20 No. 7, is that the letter you're referring to? 21 Α. Yes. And, in fact, the letter that's been 22 ο. identified as Exhibit 7, Petitioner's Exhibit 7 23 24 is, in essence, your response to Respondent's

Exhibit number 7?

1

2 Α. Yes, it is? In Mr. Crown's letter, he described the 3 Ο. 4 circumstances concerning the possible purchase of your home in the second full paragraph on the 5 б second page, do you see that? 7 Α. Yes, I do. And in the middle of that paragraph, he 8 Ο. 9 says this was somewhat confusing, but he didn't say it was sinister, did he? 10 I think if you read the entire paragraph, 11 Α. 12 counsellor, that at least as I read it, it posed 13 questions that, to me, seemed intended to arouse 14 suspicions. Suspicions that perhaps your motivations 15 Q. might arise from a desire to sell your home? 16 17 Α. That's what I thoguht the implication in this paragraph was. 18 19 But, you didn't get the same implication Q. 20 from the circumstances as you believe Mr. Crown 21 was trying to insinuate? 22 For one thing, I don't have to rely on Α. implication, I know in my mind what happened. I 23 know for a fact what happened, and there is 24

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1 absolutely no linkage. Secondly, when I stand 2 back and try to objectively look at the fact I 3 don't see anything that is suspicious at all as 4 was suggested by this paragraph and Steven's letter. That's why we have the response. 5 6 The fact remains, though, does it not, Q. 7 that you desired to sell your home, before the air 8 conditioner became operational and after the air 9 condtioner became operational? 10 As I testified this morning, in the Α. spring of 1993, we were considering the 11 12 possibility of selling, but had concluded that we 13 didn't want to go through the listing process. We 14 would only do it if a sale happened to be readily 15 available. And, Mr. Crown was an obvious possibility, a neighbor building an enormous house 16 17 and it seemed an innocent kind of question to ask if he would be interested in buying our house. 18 19 You wanted to sell the house, then, if it Ο. 20 could be easily done? If it could be easily done. 21 Α. 22 MR. CARSON: This would be a good time to 23 break. HEARING OFFICER: All right. 24 We have

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now come to the conclusion of this day's proceedings. And, the continuation of this hearing will occur on August 19th at 9:00 a.m. The room will be announced. In the interim, a transcript of the proceedings we have had to date will be available in the interim. Thank you for your attendance and cooperation in our process and we'll see you then. 

| 1  | CERTIFICATION                                     |
|----|---------------------------------------------------|
| 2  |                                                   |
| 3  | I, VERNETTA MCCREE, A Certified                   |
| 4  | shorthand Reporter doing business in the State of |
| 5  | Illlinois, Certify that I reported in shorthand   |
| 6  | the testimony taken in above-entitled matter, and |
| 7  | that this constitutes a true and accurate         |
| 8  | transcription of my shorthand notes so taken as   |
| 9  | aforesaid.                                        |
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| 12 |                                                   |
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| 14 | VERNETTA MCCREE                                   |
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