ILLINOIS POLLUTION CONTROL BOARD January 24, 2002

PEOPLE OF THE STATE OF ILLINOIS,)	
Complainant,))	
V.)	PCB 02-80
CATERPILLAR, INC., a Delaware)	(Enforcement – Air)
corporation)	
Respondent.)	

OPINION AND ORDER OF THE BOARD (by C.A. Manning):

On December 18, 2001, the Office of the Attorney General, on behalf of the People of the State of Illinois (People), filed a three-count complaint against Caterpillar, Inc. (Caterpillar). *See* 415 ILCS 5/31(c)(1) (2000); 35 Ill. Adm. Code 103.204. The People allege that Caterpillar violated Sections 9(b) and 9.1(d) of the Environmental Protection Act (Act) (415 ILCS 5/9(b), 9.1(d) (2000)), and various air emission regulations. The People further allege that Caterpillar violated these provisions in its operation of a boiler, two diesel generators, three underground storage tanks, one above ground gasoline tank, one bulk paint storage tank, and one diesel fuel tank. The complaint concerns Caterpillar's facility that manufactures heavy machinery and machinery components. The facility is located at 600 West Washington, East Peoria, Tazewell County.

Additionally, on December 18, 2001, the People and Caterpillar filed a stipulation and proposed settlement, accompanied by a request for relief from the hearing requirement of Section 31(c)(1) of the Act (415 ILCS 5/31(c)(1) (2000)). This filing is authorized by Section 31(c)(2) of the Act (415 ILCS 5/31(c)(2) (2000)). See 35 Ill. Adm. Code 103.300(a). The Board provided notice of the stipulation, proposed settlement, and request for relief from hearing. The newspaper notice was published in the *East Peoria Times-Courier* on December 26, 2001. The Board did not receive any requests for hearing. The Board grants the parties' request for relief from the hearing requirement. *See* 415 ILCS 5/31(c)(2) (2000); 35 Ill. Adm. Code 103.300(b).

Section 103.302 of the Board's procedural rules sets forth the required contents of stipulations and proposed settlements. 35 III. Adm. Code 103.302. These requirements include stipulating to facts on the nature, extent, and causes of the alleged violations and the nature of Caterpillar's operations. Section 103.302 also requires that the parties stipulate to facts called for by Section 33(c) of the Act (415 ILCS 5/33(c) (2000)). The People and Caterpillar have satisfied Section 103.302. Caterpillar denies the alleged violations, but agrees to pay a \$20,000 penalty. The Board accepts the stipulation and proposed settlement.

This opinion constitutes the Board's findings of fact and conclusions of law.

<u>ORDER</u>

- 1. The Board accepts and incorporates by reference the stipulation and proposed settlement.
- 2. Caterpillar, Inc. (Caterpillar) must pay a civil penalty of \$20,000 no later than February 24, 2002, which is the 30th day after the date of this order. Caterpillar must pay the civil penalty by certified check or money order, payable to the Illinois Environmental Protection Trust Fund. The case number, case name, and Caterpillar's social security number or federal employer identification number must be included on the certified check or money order.
- 3. Caterpillar must send the certified check or money order to:

Illinois Environmental Protection Agency Fiscal Services Division 1021 North Grand Avenue East P.O. Box 19276 Springfield, Illinois 62794-9276

- 4. Penalties unpaid within the time prescribed will accrue interest under Section 42(g) of the Environmental Protection Act (415 ILCS 5/42(g) (2000)) at the rate set forth in Section 1003(a) of the Illinois Income Tax Act (35 ILCS 5/1003(a) (2000)).
- 5. Caterpillar must cease and desist from the alleged violations.

IT IS SO ORDERED.

Section 41(a) of the Environmental Protection Act provides that final Board orders may be appealed directly to the Illinois Appellate Court within 35 days after the Board serves the order. 415 ILCS 5/41(a) (2000); *see also* 35 Ill. Adm. Code 101.300(d)(2), 101.906, 102.706. Illinois Supreme Court Rule 335 establishes filing requirements that apply when the Illinois Appellate Court, by statute, directly reviews administrative orders. 172 Ill. 2d R. 335. The Board's procedural rules provide that motions for the Board to reconsider or modify its final orders may be filed with the Board within 35 days after the order is received. 35 Ill. Adm. Code 101.520; *see also* 35 Ill. Adm. Code 101.902, 102.700, 102.702. I, Dorothy M. Gunn, Clerk of the Illinois Pollution Control Board, certify that the Board adopted the above opinion and order on January 24, 2002, by a vote of 7-0.

Dorothy Mr. Burr

Dorothy M. Gunn, Clerk Illinois Pollution Control Board