TITLE 35: ENVIRONMENTAL PROTECTION

 SUBTITLE A: GENERAL PROVISIONS

 CHAPTER II: ENVIRONMENTAL PROTECTION AGENCY

 PART 174

 DELEGATION OF CONSTRUCTION AND OPERATING PERMIT AUTHORITY

 FOR SANITARY AND COMBINED SEWERS AND WATER MAIN EXTENSIONS

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AUTHORITY: Implementing and authorized by Section 4(g) of the

Environmental Protection Act (Ill. Rev. Stat., 1987, ch. 111 1/2, par.

1004(g)).

SOURCE: Adopted and codified at 7 Ill. Reg. 7300, effective May 27, 1983;

amended at 14 Ill. Reg. 4891, effective March 16, 1990.

 SUBPART A: INTRODUCTION

**Section 174.101 Purpose**

These rules set forward the requirements and procedures for the delegation

of Agency authority to grant construction and operating permits for

sanitary and combined sewers, sewer system lift stations, and water main

extensions.

**Section 174.102 Definitions**

All definitions in 35 Ill. Adm. Code 301 and 601 apply to these rules.

 SUBPART B: STANDARD CONDITIONS FOR SANITARY

 AND COMBINED SEWER PERMIT DELEGATION

**Section 174.201 Applicability**

 a) The Agency may enter into an agreement to delegate authority to

 issue permits for sewers required under 35 Ill. Adm. Code 309.202,

 309.203, and 309.205 with any unit of local government which holds

 an National Pollution Discharge Emission System (NPDES) permit to

 operate one or more wastewater treatment plants provided that the

 entity submits an application which meets the requirements of

 Section 174.401.

 b) No delegation agreement shall include the power to issue the

 following:

 1) "construct only" permits as defined by the Agency's

 Guidelines for Notification of Restricted Status or Critical

 Review Pursuant to the Requirements of Rule 604 of Chapter 3,

 WPC-4.

 2) permits for the construction for facilities funded in whole

 or in part by the Illinois Anti Pollution Bond Act, (Ill.

 Rev. Stat. 1981, ch. 127 par. 451 et. seq.) or under Title 2

 of the Clean Water Act, (33 U.S. C. 1251 et seq. (Supp

 1980-1981)).

 c) The requirements that follow shall constitute the standard

 conditions of such an agreement. The Agency and the local unit of

 government may agree to conditions in addition to these.

**Section 174.202 Technical Staff**

 a) The applicant shall employ a qualified technical staff which

 assures the permit application reviews are properly conducted and

 permits are properly issued.

 b) All technical staff involved in the review of applications and

 issuance of permits shall be employed full time by the applicant.

 Firms on retainer shall not be considered to be employed full

 time.

 c) There shall be one person from the technical staff that is in

 responsible charge of the review of applications and issuance of

 permits. This person shall be a registered Professional Engineer

 of Illinois and shall have at least two years of experience in the

 preparation or approval of plan documents for sanitary or combined

 sewers in Illinois.

 d) If the unit of local government prepares its own plan documents

 for constructing sewer extensions or lift stations, there shall be

 one person designated as being in responsible charge of the

 preparation of those documents. This person shall be a registered

 Professional Engineer of Illinois and shall have at least two

 years experience in the preparation and approval of plan documents

 for sanitary and combined sewers in Illinois. This person shall

 not be the same person who is in responsible charge of the

 issuance of permits.

**Section 174.203 Required Documents**

 a) The applicant shall maintain the following documents and make them

 available for Agency inspection at reasonable times in accordance

 with Ill. Rev. Stat. 1981, Ch. 111 1/2, par. 1004(d).

 b) The applicant shall adopt by ordinance and maintain standard

 specifications for material and workmanship for the design and

 construction of sewer lines, mains and appurtenances. These

 standards shall be at least as stringent as Agency standards which

 have been promulgated in the Agency's Recommended Standards for

 Sewage Works and Design Criteria for Pressure Sewer Systems, 35

 Ill. Adm. Code 374.

 c) The applicant must maintain an atlas or other documentation of the

 sewage collection and treatment system owned and operated by the

 applicant which shows the items listed in Subsection (c)(1).

 1) This shall show all known parts of the system including but

 not limited to:

 A) the location and names of all streets, stream crossings

 and named water courses;

 B) public water supply wells, treatment works, intake

 structures and storage tanks;

 C) sanitary sewers, combined sewers and storm sewers if

 tributary to combined sewers, pumping stations, and

 forcemains, indicating size of sanitary and combined

 sewers, trunk sewers and interceptor sewers and capacity

 of pumping stations;

 D) on system overflow points;

 E) sewage works, lagoons and on-system excess flow

 treatment units;

 F) subsurface disposal fields;

 G) flood plains and storm water retention basins.

 2) This documentation shall be provided with an index.

 3) If the information required by Subsection 174.203(c)(1) is

 not available at the time of the application, the applicant

 shall develop updated documentation containing these

 requirements when it applies for renewal.

**Section 174.204 Permit Requirements**

A unit of local government to which permitting authority is delegated shall

not grant permits under authority delegated by this agreement unless the

following conditions have been met:

 a) Any permit issued shall be consistent with 35 Ill. Adm. Code

 309.202, 309.203 and 309.205.

 b) The design construction and installation of all sanitary combined

 sewers covered by any permit issued shall be consistent with those

 same Rules, with the current edition of the Illinois Recommended

 Standards for Sewage Works, Standard Specifications for Water and

 Sewer Main Construction, local standards and specifications and

 with the Design Criteria for Pressure Sewer Systems, 35 Ill. Adm.

 Code 374.

 c) No permit shall be issued unless there is sufficient capacity in

 the treatment and collection system to receive the flow. The

 capacity shall be as determined by the Agency in accordance with

 WPC-4.

 d) No permit shall be issued for sanitary or combined sewers which

 would be constructed or would serve an area outside the approved

 Facilities Planning Area for the sewage collection system to which

 they would directly discharge or would otherwise conflict with a

 plan approved under Section 208(b) of the Clean Water Act.

 e) Trustees of a land trust who apply for a permit relating to the

 land which is the subject of the trust, must identify and define

 the interest of each beneficiary as required by "An Act to

 require disclosure, under certification of perjury, of all

 beneficial interests in real property held in a land trust in

 certain cases", (Ill. Rev. Stat. 1981 ch. 148, par. 72).

**Section 174.205 Identification**

For each construction and operating permit issued the unit of local

government shall identify the project by a unique log number and permit

number in a manner designated by the Agency as compatible with the Agency's

permitting system.

**Section 174.206 Notice of Permits**

The unit of local government shall notify the Agency monthly of all permit

applications under review and the issuance or denial of all permits by

submitting the information on a form approved by the Agency including the

log number, the date of final action, the name(s) of permittee(s) and the

permit number and by submitting copies of all permits issued and denials.

**Section 174.207 Permit Forms**

Permits shall be written on forms approved by the Agency.

**Section 174.208 Permit for Ownership and Operation**

 a) Units of local government shall be deemed to have a permit for

 ownership and operation of new construction providing the

 following requirements are met:

 1) Downstream facilities which provide sufficient capacity as

 determined by the Agency in accordance with WPC-4 have been

 constructed and are operational including the treatment

 plant, and

 2) All easements and dedications have been secured which are

 necessary to provide the local unit of government permanent

 access to the permitted facilities.

 b) The permitting authority shall be responsible for assuring proper

 operation and maintenance of all permitted projects immediately

 when the project goes into service.

**Section 174.209 Training**

The Agency may provide initial assistance, training, and consultation to

employees of the unit of local government in organizing and managing the

permit system depending upon whether such assistance is requested and upon

the availability of Agency staff. Any training program shall be outlined

in the agreement.

 SUBPART C: STANDARD CONDITIONS FOR WATER

 MAIN EXTENSION PERMIT DELEGATION

**Section 174.301 Applicability**

 a) The Agency may enter into an agreement to delegate authority to

 issue permits required under 35 Ill. Adm. Code 602.101 and 602.102

 for water main extensions with any unit of local government which

 is the owner of official custodian of a public water supply,

 provided that the entity submits an application which meets the

 requirements of Section 174.401.

 b) The requirements that follow shall constitute the minimum standard

 conditions of such an agreement. The Agency and the local unit of

 government may agree to additional conditions.

**Section 174.302 Technical Staff**

 a) The applicant shall employ a qualified technical staff which

 assures that permit application reviews are properly conducted and

 that permits are properly issued.

 b) Except as provided otherwise in subsection (d):

 1) All technical staff involved in the review of applications

 and issuance of permits must be employed full time by the

 unit of local government.

 2) Consultants on retainer will not be considered to be employed

 full time.

 C) Qualifications

 1) There shall be one person from the technical staff who is in

 responsible charge of the review of permit applications and

 issuance of permits.

 2) The person described in subsection (c)(1) shall be a

 registered Professional Engineer in Illinois and shall have

 at least two years of experience in the preparation of water

 main plan documents for Illinois public water supplies.

 d) Use of Consultants

 1) The unit of local government may retain the services of a

 full or part-time consultant to meet the requirements set

 forth in subsection (c)(1) if the consultant meets the

 qualifications set forth in subsection (c)(2).

 2) If the unit of local government proposes to use a full or

 part-time consultant in accordance with subsection (d)(1),

 the unit of local government shall provide to the Agency a

 copy of the contract between the unit of local government and

 the consultant. The contract shall, at a minimum, require the

 consultant to perform all technical services necessary to

 obtain and retain delegated permit authority under Subparts

 C, D, and E.

 e) If the unit of local government prepares its own plan documents

 for constructing water main extensions, there shall be at least

 one person on the technical staff who is in responsible charge of

 the preparation of these documents. This person shall be a

 registered Professional Engineer in Illinois and shall have at

 least two years of experience in the preparation of water main

 plan document for Illinois public water supplies. This person

 shall not be the same person designated in subsection (c). No

 person shall issue a permit for water main construction designed

 by or under their own supervision.

 f) There shall be at least one certified public water supply operator

 of the proper class on the technical staff to comply with

 requirements of 35 Ill. Adm. Code 603.102.

 (Source: Amended at 14 Ill. Reg. 4891, effective March 16, 1990)

**Section 174.303 Required Documents**

The applicant shall maintain the following documents and make them

available for Agency inspection at reasonable times in accordance with

Section 4(d) of the Act.

 a) The applicant shall maintain standard specifications for water

 main construction which require that all design, construction and

 installation shall be in accordance with 35 Ill. Adm. Code:

 Subtitle F, Chapters I and II.

 b) The applicant shall maintain an atlas of the distribution system.

 1) This shall show all parts of the system, including but not

 limited to:

 A) the location and names of all streets and bridges,

 culverts and water courses;

 B) the location and size of water mains, valves, hydrants

 and other appurtenances;

 C) wells and intake structures;

 D) pump stations and water treatment plants;

 E) any conditions or installations which may deleteriously

 affect the public water supply distribution system.

 2) The atlas shall contain an indexing system to allow for rapid

 location of water mains. If it is not possible to prepare a

 single sheet atlas, a sectionalized atlas may be used,

 provided that an index sheet showing the location of the

 various sections in relation to each other is provided.

 3) The atlas may be maintained on microfiche, sectionalized if

 desirable.

 4) If the applicant possesses a sewer map or atlas it shall be

 included as an addendum to the water main atlas.

 (Source: Amended at 14 Ill. Reg. 4891, effective March 16, 1990)

**Section 174.304 Permit Requirements**

A local unit of government to which permit authority is delegated shall not

grant permits unless the following conditions have all been met:

 a) Any permit issued must be consistent with Section 39 of the Act,

 35 Ill. Adm. Code 602.101, 602.102 and 35 Ill. Adm. Code:

 Subtitle F, Chapter II.

 b) Plans prepared for each water main extension project shall bear

 the professional seal of the person responsible for preparation of

 the plan documents.

 c) Trustees of a land trust who apply for a permit relating to the

 land which is the subject of the trust, must identify and define

 the interest of each beneficiary as required by Section 2 of "An

 Act to require disclosure, under certification of perjury, of all

 beneficial interests in real property held in a land trust in

 certain cases", (Ill. Rev. Stat. 1987 ch. 148, par. 72).

 (Source: Amended at 14 Ill. Reg. 4891, effective March 16, 1990)

**Section 174.305 Restricted Status or Critical Review**

Public water supplies which are on the Restricted Status or Critical Review

List (35 Ill. Adm. 602.106, 652.401, or 652.402) are not eligible for

delegation.

 (Source: Amended at 14 Ill. Reg. 4891, effective March 16, 1990)

**Section 174.306 Identification**

For each construction and operating permit issued, the unit of local

government shall identify the project by a unique project number and permit

number in a manner designated by the Agency as compatible with the Agency's

permitting system.

 (Source: Amended at 14 Ill. Reg. 4891, effective March 16, 1990)

**Section 174.307 Permit Forms**

Permits shall be written on forms provided by the Agency.

**Section 174.308 Annual Reports**

By February 1 of each year, the unit of local government shall submit an

annual report containing the following:

 a) Preliminary plans which indicate the location of anticipated water

 main construction for the ensuing 12 months. This may be updated

 to add or delete anticipated extensions by the public water supply

 at any time;

 b) Plan documents as described in 35 Ill. Adm. Code 652.104, 652.105,

 652.106, and 652.107 for all water main extensions constructed in

 the preceeding year along with the identification numbers, dates

 of initial operation, reports of bacteriological analyses, length

 and size of water main, and estimated population served by the new

 main;

 c) Reports of bacteriological analysis performed according to Section

 174.309(a); and

 d) A list of construction and operating permits issued.

 (Source: Amended at 14 Ill. Reg. 4891, effective March 16, 1990)

**Section 174.309 Routine Operation**

 a) Water samples shall be collected from the completed main in

 accordance with 35 Ill. Adm. Code 652.203.

 b) If there are changes in construction from the preliminary plans

 described in Section 174.308 the Agency shall be notified of those

 changes within 10 days after construction has begun.

 c) If the construction shown in the as-built plans filed in the

 annual report does not conform to the approved specifications or

 35 Ill. Adm. Code: Subtitle F, Chapters I and II, corrections

 shall be made consistent with those regulations.

 d) The atlas is to be updated at least once every five years and a

 copy sent to the Permit Section Manager, Division of Public Water

 Supplies (DPWS).

 (Source: Amended at 14 Ill. Reg. 4891, effective March 16, 1990)

**Section 174.310 Operating Permits**

Public water supplies which have been delegated construction permit

authority by this Agency may also issue operating permits for new

construction provided the following requirements are met:

 a) The newly installed water main is flushed to remove foreign

 material introduced into the water main during construction and

 chlorinated for disinfection purposes;

 b) Bacteriological analyses are performed on a sufficient number of

 representative water samples collected from the newly constructed

 water main to demonstrate statisfactory disinfection; and

 c) The water main is not placed into operation until such time as the

 bacteriological analyses required in Subsection (b) indicates that

 the water is safe for drinking.

 SUBPART D: APPLICATION AND AGREEMENT

**Section 174.401 Application Requirements**

In order to enter into a delegation agreement, the applicant must submit a

letter requesting delegation and include:

 a) A statement that the technical staff is in the full time employ of

 the applicant or a copy of the contract between the consultant and

 the unit of local government pursuant to Section 174.302(d);

 b) The name, registration number, and qualifications of the person(s)

 who will be responsible for

 1) the issuance of the construction and operating permits, and,

 2) if applicable, the preparation of plan documents;

 c) A resolution by the governing body of the unit of local government

 or existing ordinance authorizing the chief officer of the

 applicant to designate persons to sign permits on behalf of the

 applicant;

 d) Standard specifications adopted by the unit of local government

 for sewer or water main construction, whichever is applicable, at

 least as stringent as Agency standards, or a certification that

 the applicant has adopted such specifications by ordinance;

 e) A certification that the applicant possesses an atlas or other

 documents as required by Sections 174.203(c)(1) or 174.303(b);

 f) Administrative Procedures

 1) For sewer permits, those procedures that will be used to

 process applications;

 2) For water main extension permits, those procedures that will

 be used to correlate permitted projects with corresponding

 plan documents and bacteriological analysis report sheets;

 g) Capacity Information

 1) For sewer permit delegation, a certification that by issuance

 of a construction permit the applicant acknowleges adequate

 capacity in its wastewater collection system and at its

 treatment plant as required by 35 Ill. Adm. Code 309.222(b).

 2) For water main extension delegation, a report which provides

 information as to raw and finished water production capacity,

 average daily demand, peak monthly demand, peak day demand,

 peak hour demand, number of service connections, population

 served, and pressures in the distribution system.

 (Source: Amended at 14 Ill. Reg. 4891, effective March 16, 1990)

**Section 174.402 Agreement**

 a) If the Agency decides on the basis of the application that the

 applicant has the capability to administer a permit program in

 that it meets the requirements of Subparts B or C it will agree to

 enter into a delegation agreement.

 b) The Agency shall prepare the delegation agreement. This document

 shall include the conditions set forward in these rules and any

 other related conditions which the parties decide will further the

 agreement.

 c) This document shall not be effective until it has been signed,

 first by an authorized representative of the unit of local

 government, and then by the the Director of the Agency.

**Section 174.403 Amendments**

 a) Either the Agency or the unit of local government may propose an

 amendment to the agreement, which shall become effective when both

 parties sign a document embodying the amendment, or at any other

 later mutually agreeable date.

 b) Changes to standard specifications for water main construction

 shall be made by amending the delegation agreement.

**Section 174.404 Duration**

 a) The delegation agreement shall be valid for a period not to exceed

 five years.

 b) If the unit of local government requests renewal of the agreement

 it must file a full application at least six months before the

 expiration date of the agreement.

 c) If the Agency and the unit of local government decide to renew the

 agreement, a new document shall be executed at least 90 days

 before the expiration date of the previous agreement.

**Section 174.405 Termination**

Either party may terminate the delegation agreement by providing the other

party ninety days notice in writing.

 SUBPART E: AGENCY REVIEW

**Section 174.501 Agency Inspection**

The Agency may inspect at all reasonable times in accordance with Section

4(d) of the Act all permit files held by the unit of local government

regarding issued permits or permit applications and plan documents and may

inspect the permitted facilities.

 (Source: Amended at 14 Ill. Reg. 4891, effective March 16, 1990)

**Section 174.502 Appeals of Permits**

If a decision of the unit of local government regarding a permit issued or

denied under this Part is appealed pursuant to Section 40 of the Act, the

unit of local government shall appear as co-respondent in the action and,

in instances where the unit of local government has elected to retain the

services of a consultant in accordance with Section 174.302(d), the

consultant shall also appear.

 (Source: Amended at 14 Ill. Reg. 4891, effective March 16, 1990)