

# **EXHIBIT C**

**Illinois**

**Environmental Protection  
Agency**



**Application for  
Authority to  
Administer  
the NPDES  
Program**

STATE OF ILLINOIS

APPLICATION FOR AUTHORITY  
TO ADMINISTER THE NPDES PROGRAM

SUBMITTED  
JULY, 1977

P

TABLE OF CONTENTS

	Page No.
LETTER FROM GOVERNOR TO USEPA	iii
INTRODUCTION	iv
I. Illinois Environmental Structure	1
II. Issuance of Permits	13
III. Monitoring Compliance with Permit Conditions	28
IV. Enforcement of NPDES Permit Requirements	40
V. Funding and Manpower	48

APPENDIXES

- A. Environmental Protection Act
- B. Regulations of the Illinois Pollution Control Board
  - Chapter One, Procedural Rules
  - Chapter Three, Water Pollution
  - Chapter Four, Mine Waste
  - Chapter Five, Livestock Waste
- C. Opinions of the Pollution Control Board
  - NPDES Adopting Opinion, R 73-11 and R 73-12
  - Livestock Waste Adopting Opinion, R 72-9
- D. Opinion of the Attorney General
- E. Memorandum of Agreement with USEPA
- F. Table of Organization, Illinois Environmental Protection Agency
- G. Major Illinois Dischargers
- H. Biographical Information
- I. Qualifications and Functions of Employees with NPDES Related Responsibilities
- J. Permit Forms
- K. Compliance Inquiry Forms
- L. Policy Statement: Requirements for Public Inspection of Agency Documents



STATE OF ILLINOIS  
OFFICE OF THE GOVERNOR  
CHICAGO 60601

JAMES R. THOMPSON  
GOVERNOR

July 8, 1977

Mr. George R. Alexander, Jr.  
Regional Administrator  
Region V  
U.S. Environmental Protection Agency  
230 South Dearborn  
Chicago, IL 60604

Dear Mr. Alexander:

With this letter, I am submitting the application of the State of Illinois for authority to administer the National Pollutant Discharge Elimination System (NPDES) permit program within Illinois, pursuant to the provisions of Section 402(b) of the Federal Water Pollution Control Act Amendments of 1972.

The State's submission includes: (1) a description of the legal and administrative structure of the Illinois agencies concerned with water pollution control; (2) a description of the State's program for issuing and enforcing NPDES permits; (3) the Memorandum of Agreement between the Illinois EPA and USEPA; (4) the Attorney General's statement that the laws of the State provide adequate authority to carry out the described program; and (5) certain supplementary and background material.

I hope that the U.S. Environmental Protection Agency will be able to approve the Illinois program in the near future. If there are any questions, please raise them with Dr. Leo M. Eisel, Director, Illinois Environmental Protection Agency.

Sincerely,

Original signed by Governor  
July 8, 1977

James R. Thompson  
GOVERNOR

JRT:ab

enclosure

INTRODUCTION

In support of its request for approval of its program for the issuance of permits under the National Pollutant Discharge Elimination System, pursuant to Section 402(b) of the Federal Water Pollution Control Act Amendments of 1972, the State of Illinois is submitting the material included in this document. The submission includes the following:

1. A description of the structure of the Illinois environmental program and implementing agencies.
2. A description of the National Pollutant Discharge Elimination System (NPDES) as Illinois proposes to administer it, including the procedures for issuance of NPDES permits, monitoring compliance with the terms and conditions of those permits, and enforcement of permit requirements.
3. A statement of the funding and manpower which Illinois proposes to devote to the carrying out of the NPDES program.

In addition, there are included a statement by the Attorney General on the adequacy of state law to carry out the NPDES program, the Memorandum of Agreement between Illinois and the U.S. Environmental Protection Agency concerning the details of the transfer, and copies of the relevant legislation, adopted regulations of the Illinois Pollution Control Board, and other supplementary material.

Electronic Filing - Received, Clerk's Office : 11/14/2016

The Illinois submission has been prepared in accordance with the requirements of the Federal Water Pollution Control Act of 1972 and implementing federal regulations, and with the assistance of personnel of Region V of the U.S. Environmental Protection Agency, whose assistance is most gratefully acknowledged.

Following preliminary review of the Illinois submission, Region V will schedule a public hearing on the question of whether or not the Illinois NPDES program should receive federal approval. A final decision is required within 90 days following the submission.

ILLINOIS ENVIRONMENTAL STRUCTURE

State government in Illinois has possessed statutory authority and responsibility for protecting the quality of the waters of the State since the enactment of the Sanitary Water Board Act in 1929. A state permit system for the construction and operation of wastewater treatment facilities has been in existence since the early 1930's. However, with the enactment of the Environmental Protection Act in 1970 and of the new Constitution of the State of Illinois in the same year, with its nationally significant environmental article (Article XI), the emphasis of state government in Illinois on environmental issues was substantially increased. The Environmental Protection Act was nationally recognized as a model of state legislation in the environmental field and many of its original features have been adopted in other states.

The Environmental Protection Act established three related state agencies concerned with environmental issues: the Environmental Protection Agency (the Agency), the Illinois Pollution Control Board (the Board), and the Institute for Environmental Quality (the Institute). Both the Agency and the Board will be involved in the administration of the NPDES program.

The Agency is designated by statute as the State's water pollution control agency for purposes of the Federal Water Pollution Control Act. In that role, it is the recipient of program grant funds under Section 106 of the Act, it certifies the Illinois water quality standards to USEPA, as required under Section 303 of the Act, and it will bear

the primary responsibility for administration of the NPDES permit program, as described in this submission. The Agency is responsible for issuance of permits, where required by state law or Board regulation, for monitoring and surveillance to determine compliance with the requirements of the state law, the applicable Board regulations, and permit requirements, and for preparing and presenting to the Board or the courts evidence of violation of any such requirements. The director of the Agency is appointed by the governor for a two-year term. By far the majority of Agency employees are non-partisan career state employees whose conditions of employment are established by the state's personnel code.

The Agency's present Table of Organization (Appendix F) does not require change to implement NPDES. The present sections of the Division of Water Pollution Control will remain, and the administration of the Illinois NPDES program will be carried out primarily within the following existing sections of that division:

Division Manager's Office

Field Operations Section

Permit Section

Planning and Standards Section

Variance and Technical Analysis Section

The administration of the program will also utilize eight persons within the Division of Enforcement Programs of the Agency.

The NPDES related functions of each of these sections is described briefly below:

The Enforcement Programs Division, which consists of lawyers (technical advisors) and clerical support, will have four basic functions to perform in the NPDES permit program, as follows:

1. Preparation and initiation of formal Agency enforcement actions, including the preparation and referral of enforcement case files to the appropriate prosecuting authorities, and assistance to such authorities during the preparation and trial of enforcement cases;
2. Preparation of the Agency case in permit denial appeals for action by the Illinois Attorney General (adjudicatory hearings) before the Pollution Control Board;
3. Preparation of the Agency recommendation and the Agency case in support of its recommendation in petitions for variance which, if granted, will require Agency issuance or modification of an NPDES permit; and,
4. Provision of advice to the various sections of the Division of Water Pollution Control to ensure that the NPDES permit program complies with applicable federal and state statutes and regulations.

The Division Manager's Office contains the Division Records Unit where the master files are kept on all dischargers. It is also responsible for providing information to the Data Processing Division.

The Field Operations Section's support to the NPDES permits program consists of the following:

1. Provision of information to the Permits Section as necessary for drafting NPDES permit conditions for individual dischargers;
2. Provision of technical assistance to communities and to wastewater treatment plant operators where necessary to explain NPDES permit conditions and to helping the dischargers meet NPDES permit requirements;
3. Provision of assistance as necessary to the compliance schedule monitoring program;
4. Review, validation and quarterly reports as necessary for the discharge monitoring report program; and,
5. Follow-up action as necessary for enforcement where violation of NPDES conditions have been discovered.

The Permits Section of the Division is responsible for review of all NPDES permit applications and issuance or modification of NPDES permits, including drafting of public notices, fact sheets, notices of public hearings, and conduct of public hearings.

## Electronic Filing - Received, Clerk's Office : 11/14/2016

The Planning and Standards Section is responsible for review of facilities and basin plans as they may affect the terms of NPDES permits. All NPDES permits issued by the Agency for discharges located in areas covered by approved 208 plans will be consistent with all terms and conditions of those 208 plans.

The Variance and Technical Analysis Section will assist the Permits Section in the review of modifications to NPDES permits which may be requested by permittees. It will apply the type of analysis or review used in preparing the Agency's response to variance petitions.

Organizational units of the Agency, other than those in the Division of Water Pollution Control, and Enforcement Programs, will have certain support functions in carrying out the NPDES program. They include the following:

The Director's Office, through the Manager of Enforcement Programs, will exercise control over the enforcement policies and strategies of the Agency, including the enforcement of NPDES permit requirements.

The Data Processing Division will provide data processing support, including storage and retrieval of compliance schedule information, self-monitoring reports, forecasts of reports coming due, and compliance and violation information and preparation of reports, including the quarterly report of permit violations required by 40 CFR 124.44(d).

The Division of Laboratory Services will provide laboratory support to the Agency's monitoring and enforcement efforts, including testing of effluent and water quality samples taken by Agency field staff.

The Public Affairs staff of the Agency will provide assistance in the Agency's efforts to encourage meaningful public participation in the State's water pollution control program.

The Pollution Control Board of the State of Illinois consists of technically-qualified members, appointed by the Governor for three-year terms.

The Board is now fully staffed. Biographical information about the five present members is provided in Appendix H of this submission.

As Appendix H shows, Board members include:

An engineer with extensive experience in pollution abatement (Mr. Dumelle);

An agronomist with a Ph.D. in agronomy (Mr. Satchell);

An attorney with a degree in industrial engineering who has experience with private industry and a state environmental regulatory agency (Mr. Young);

An engineer with experience in combustion engineering (Mr. Werner); and

An attorney and engineer with experience in private industry and with a public interest group (Mr. Goodman)

Past appointments to the Board have included engineers, attorneys, and other persons with technical expertise in fields related to pollution abatement.

All present Board members are in compliance with the conflict of interest provisions of Section 304(h)(2)(D) of the FWPCA and implementing regulations of 40 CFR 124.93, as presently interpreted by the Administrator of USEPA.

The Board, after public hearing, promulgates regulations for the implementation of the Environmental Protection Act. These regulations include, in the field of water pollution control, water quality standards, effluent standards, permit requirements, including specific requirements for mining and agricultural operations, classification standards for bodies of water, and the implementing regulations for the NPDES program. Copies of Board regulations which are concerned with water pollution abatement are included as Appendix B to this submission. In addition to its role as promulgator of environmental regulations, the Board acts as an administrative tribunal to hear cases brought by the Agency or by others charging violation of the Environmental Protection Act or implementing regulations. The Board is empowered to order remedial action and to assess civil penalties when it finds a violation, and these powers have been upheld by the Illinois Supreme Court. To the extent allowed by federal law, the Board may also grant variances from its regulations when it finds that compliance will cause an arbitrary or unreasonable hardship.

All public hearings conducted by the Board, whether regulatory or adjudicatory, are listed in the Environmental Register, published on a

regular schedule by the Board and distributed free of charge to persons requesting it. Participation by interested members of the public is invited in all such hearings. In addition, Board meetings are listed in the Register and are open to the public. Proposed regulations appear in the Register for public comment in writing. Records of Board regulatory and adjudicatory proceedings, with minimal exceptions required to protect confidential information and trade secrets, are open to the public and may be inspected and copied.

The Institute for Environmental Quality is a research and education organization. The Institute advises the Agency and the Board in the development of new regulatory proposals, including regulations dealing with the State's water pollution control program. The Institute has made major contributions to the public hearing processes by which the State's water quality and effluent standards were adopted. It provides the administrative structure for state-sponsored research and demonstration projects in areas of concern to the water pollution control effort. The Institute has contributed heavily toward the establishment of centers of expertise in subjects related to the environment at several state universities and research institutions, and it develops environmental education programs for use in the State's elementary and secondary schools.

Other state offices and agencies, not established by the Environmental Protection Act, also play roles in the State's environmental control system. They include the offices of the Attorney General, the Department of Registration and Education, the Department of Mines and Minerals,

the Department of Public Health, and certain interstate and international agencies. A chart depicting the relationships among the various State agencies appears on page 12 of this submission. A brief description of the activities of those whose responsibilities impinge on the administration of the proposed NPDES program appears below.

As the constitutional legal counsel of the State of Illinois, the Attorney General plays an important role in litigation related to environmental affairs. He represents the Agency in enforcement, variance and permit denial cases brought before the Board or in court, as well as acting as its counsel when it is named as a defendant. In addition, he may bring environmental cases to the Board or to court as the representative of the People of the State of Illinois.

The State Water Survey, the State Geological Survey, and the State Natural History Survey are included in the Department of Registration and Education. All three are scientific research organizations whose expertise is of value to the Agency in fulfilling its responsibilities. The Agency expects to utilize the geological and hydrological expertise of the Surveys, especially in dealing with such problems as the control of injection wells for the underground disposal of liquid wastes. The Agency has the explicit authority to regulate injection wells which receive waste, and for several years the Agency has administered a program requiring permits for such wells. The program has consistently required detailed, periodic reports from the well operators. Although the program is presently functioning within the Agency's Division of Land Pollution Control, its future functioning will be in complete consonance with the requirements of 40 CFR 124. The State Water Survey conducts intensive

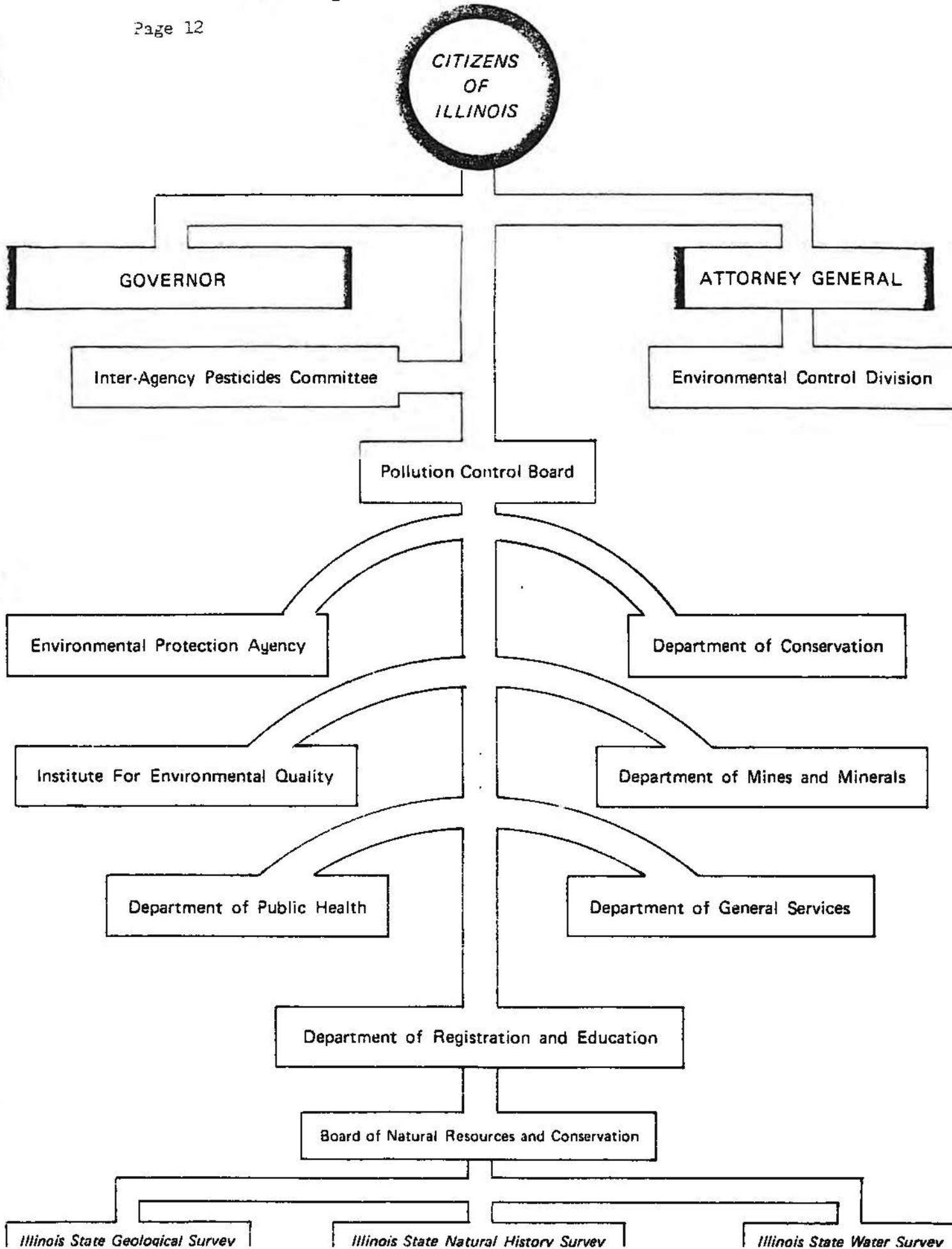
water quality monitoring surveys, the results of which will be used by the Agency in developing basin plans and permit conditions based on waste load allocations where required to preserve or achieve applicable water quality standards.

The Department of Mines and Minerals has primary jurisdiction over pollution problems resulting from petroleum production operations. The Department maintains active surveillance to assure that waters of the State are not polluted by crude oil or brine from oil fields, and has the authority to shut down any oil production facility which may be causing such pollution. Injection wells operated in conjunction with petroleum production are regulated by the Department under a permit system administered by it, although other injection wells require permits from the Agency.

The Department of Public Health engages in several activities which are directed toward prevention of the public health problems which may result from inadequate sewage treatment and resulting water pollution. In particular, the Department administers the Private Sewage Disposal Licensing Act, which regulates small, private sewage disposal systems which are not generally within the scope of the Agency's jurisdiction.

The Department also licenses mobile home parks, youth camps, recreational camps, and migrant labor camps to assure, among other things, that proper disposal of sewage and solid waste is provided. Where any facility subject to regulation by the Department requires an NPDES permit, the permit will be issued by the Agency.

The Department of Public Health is also responsible for control over activities involving the use of radioactive material; however, NPDES permits with provisions governing the discharge of radioactive wastes into the waters of the State will be issued by the Agency under authority of Section 39(b) of the Environmental Protection Act.



## II.

## ISSUANCE OF PERMITS

The Illinois Environmental Protection Act and the Regulations of the Illinois Pollution Control Board state that all discharges for which NPDES permits are required under applicable federal legislation and regulations are required to have NPDES permits under state law as well. Section 39(b) of the Environmental Protection Act authorizes the Agency to issue NPDES permits for the discharge of contaminants from point sources into navigable waters (all as defined in the Federal Water Pollution Control Act Amendments of 1972) or into any well.

The Permits Section of the Division of Water Pollution Control of the the Agency will issue all NPDES permits in the State of Illinois except for NPDES permits for discharges from public water supplies, which will be issued by the Permits Section of the Division of Public Water Supplies under the guidance of the Permits Section of the Division of Water Pollution Control. Other duties of the Permits Section include review of infiltration/inflow analysis, preliminary engineering reports on proposed construction, mine permits, determinations to restrict sewer extensions, issuance of state permits for facilities which do not require NPDES permits, issuance of construction authorizations for facilities requiring NPDES permits, and other duties dealing with sewage and industrial wastes. Also, with the approval of NPDES authority by the Administrator the State will begin issuance of permits in accordance with Chapter 5, Livestock Regulations. (See Appendix B)

Since the Sanitary Water Board was formed in 1929 as a part of the Illinois Department of Public Health, state permits have been required for the construction of sewage treatment facilities, sewers and lift stations. Records are available for municipal and industrial treatment works constructed since that time. After the passage of the Illinois Environmental Protection Act in 1970, many of the functions of the Sanitary Water Board were transferred to the Agency.

The scope of the work was enlarged to include the issuance of permits for operation, as well as for construction, of all industrial, municipal, and semipublic treatment works, sewers, lift stations and wastewater sources.

With federal approval of the Illinois NPDES permit program, the Illinois Pollution Control Board (the Board) is required by Section 13(b)(1) of the Environmental Protection Act to discontinue the state operating permit requirement for direct dischargers required to obtain an NPDES permit. This will eliminate unnecessary duplication because an NPDES permit serves essentially the same purpose as a state operating permit. The Board has modified its rules and regulations to conform to the changes required by the Federal Water Pollution Control Act Amendments of 1972 (FWPCA). Part of these regulations are currently in effect; the rest of the regulations have been adopted and filed with the Secretary of State in accordance with Illinois law, and will become effective once the Agency is authorized by the Administrator to administer the NPDES permit program.

An overall view of the procedures for the processing of NPDES permits is shown on page 24. The detailed description of these procedures, with reference to Chapter 3 of the Board's regulations, is as follows:

1. Application forms will be provided by the Agency and will include the same information the NPDES application required on forms promulgated by USEPA. In addition, the Agency may require additional information, if necessary to determine whether the discharge will be in compliance with applicable requirements, as provided by Rule 902. Copies of all permit forms to be used by the Permits Section of the Division of Water Pollution Control, including those used in the NPDES program, are included in Appendix J.
2. The application is logged in by the Agency, assigned a log number, and assigned to a review engineer in the Permits Section.
3. Applications will, under normal circumstances, be processed on a first-in, first-out basis. Applications from major dischargers and other significant new sources may be processed out of order, or changes may be made to accommodate requests for public hearings.
4. The application is then reviewed to determine:
  - a. Whether the appropriate applications have been submitted, as required by 40 CFR Section 124.21.
  - b. Whether any additional information is required under Rule 902(a).

c. Whether the signatures are in accordance with 40 CFR Section 124.24 and Rule 902(h).

d. Whether any other data is needed from the applicant or if a site visit is needed (Rule 903).

If all required information was not received, the reviewing engineer will request the additional information or arrange for a site visit. If the applicant refuses to submit additional information, the permit will either be issued on the basis of the information currently before the Agency or will be denied, and the applicant so notified (Rule 903).

5. Once the review described above has been completed, and the application is determined to be administratively complete, a copy of the application will be sent to the District Engineer of the appropriate district of the U.S. Corps of Engineers in accordance with Rule 904. The Agency reviewing engineer will then ascertain whether the following determinations can be made concerning the proposed permit:

a. That the discharge, if in compliance with the conditions of the proposed permit, will be in compliance with 40 CFR Section 124.42, which sets forth the federal requirements establishing the terms and conditions of NPDES permits, including effluent limitations, standards of performance, toxic and pretreatment requirements, requirements arising from planning decisions, and requirements arising from the imposition of state standards, which may be stricter than federal standards. The full list of terms and conditions which may be included in an NPDES permit appears in Rule 910.

- a. The draft permit will be prepared in accordance with Rule 910. Effluent limitations will be established in accordance with 40 CFR Sections 124.42, 43, 44 and 45. Forms are shown in Appendix J.
  - b. The public notice will be prepared in accordance with 40 CFR Section 124.32 and Rule 906 (See Appendix B).
  - c. The fact sheet, when required, will be drafted in accordance with 40 CFR Section 124.33 and Rule 907 (See Appendix B).
8. The permit documents will be printed, and the mailing list will be determined.
  9. After printing, the permit documents will be mailed to the USEPA and all other persons and government agencies as required in Rules 906, 907, and 908 and 40 CFR Sections 124.32, 33 and 34.
  10. Following public notice, thirty days will be given for receipt of public comments (Rule 906(b)). However, 90 days will be allowed for the receipt of comments from USEPA for treatment works in classes and categories for which review has not been waived in accordance with Section 402(d)(3) of the FWPCA.

11. Following the close of the comment period all comments will be reviewed. If a permit is requested which would violate Rule 902(j), the permit will be denied. If changes are made based on comments received, another draft permit will be prepared, public notice of the revised proposed permit will be issued if the changes are significant, and unless the Regional Administrator has waived his right to object to issuance, the revised proposed permit will be sent to USEPA for comment prior to issuance.

12. If the Agency determines that there is a significant degree of public interest in a proposed permit or group of permits, the Agency will hold a public hearing in accordance with Rule 909 and 40 CFR Section 124.37.

13. If after the public hearing the draft permit is changed, a copy of the proposed permit will be sent to the USEPA prior to issuance. If objection is made by USEPA, the permit will be changed to take into account the objections. This procedure will be followed until the Regional Administrator waives his right to object to issuance as provided in Section 402(d)(3) of the FWPCA.

14. If objections are made during the comment period or if changes are made to the permit based on receipt of the comments from the public, the draft permit will be changed as necessary to reflect significant objections and then issued. If no public comments are received during the 30-day comment period, the permit will be issued as drafted.

15. Appeals of Agency NPDES permit decisions are subject to the provisions of Rules 911 and 912 of Chapter 3 and Rule 502(b) of the Board's Procedural Rules. An applicant may appeal a permit denial or a permit condition to which he objects by filing with the Clerk of the Board a petition for review of the Agency's action. Any person, other than the applicant, who participated in or requested a public hearing concerning the issuance or denial of an NPDES permit may also contest the final decision of the Agency by filing a petition with the Clerk in the same manner as the applicant. The effective date of a permit denial or grant is the date the Agency takes final action with regard to the permit application. That effective date will remain the same until changed by appropriate order of the Pollution Control Board or a court of competent jurisdiction.

16. Any person, including the Agency, whether or not that person has participated in the proceedings related to the original issuance of the permit, may file a complaint before the Board seeking modification, suspension, or revocation of the permit for "cause," in accordance with Rule 912 of Chapter 3, Water Pollution, and Part III of Chapter 1, Procedural Rules, of the Board. "Cause" includes but is not limited to the following:

- a. Violation of any term or condition of the permit;

b. Obtaining a permit by misrepresentation or failure to disclose fully all relevant facts; or

c. A change in any circumstance that mandates either a temporary or permanent reduction or elimination of the permitted discharge.

17. Except for issuance of permits to those classes of dischargers for which the Regional Administrator has waived his right to object, a permit which is modified by the Agency pursuant to a Board order will be submitted by the Agency to USEPA for comment before it is issued, as required by Section 402(d) of the FWPCA. (See Appendix E, page 6).

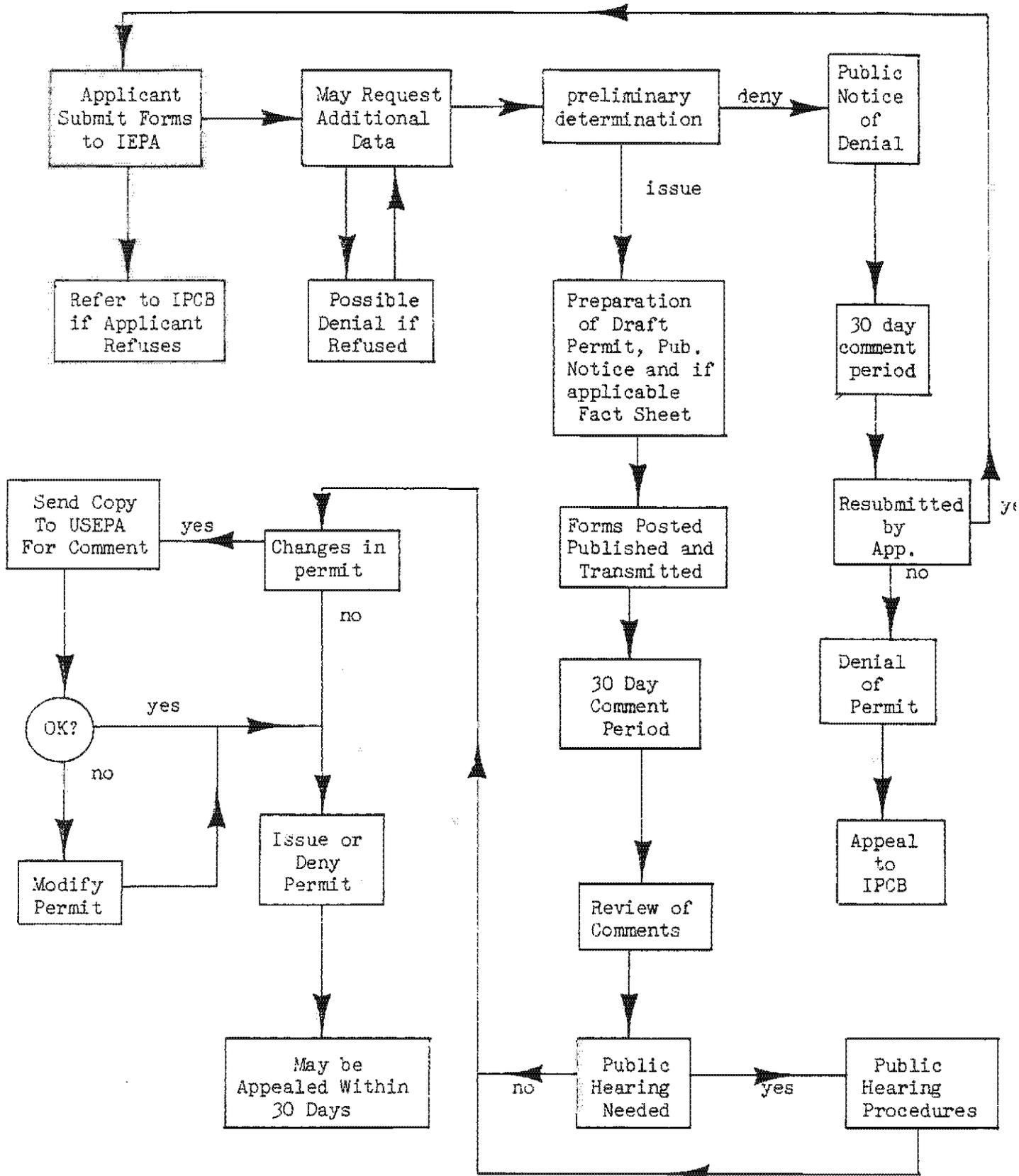
Special conditions will be included in NPDES permits as necessary to provide that the requirements of state and federal law are met. Standard conditions will be included in all permits as shown in Attachment H to the NPDES permit form, as included in Appendix J.

All NPDES permit issuance activities will be conducted in accordance with the following priorities:

1. Discharges endangering public health
2. Expiring major discharge permits
3. Significant modifications to major discharge permits
4. New major discharge permits

5. Expiring minor discharge permits
6. Significant modifications to minor discharge permits
7. New minor discharge permits
8. Other modifications
9. Termination of permits

NPDES FLOW CHART



OTHER PERMITS

The Agency will continue to issue permits for sewers, lift stations, certain pretreatment works and any treatment works or wastewater sources in the State which do not require NPDES permits. These will be handled by the same staff that issues the NPDES permits. Regulations governing the issuance of non-NPDES permits for the purpose of water pollution control are included as subpart B of Part IX of Chapter 3. The forms and instructions for application for these permits are also shown in Appendix J.

## PUBLIC INSPECTION OF PERMIT DOCUMENTS

Permit applications, supporting material, fact sheets, proposed and issued permits, quarterly reports of noncompliance, and other documents generated in the NPDES program are available to the public for inspection and copying in accordance with the Agency's policy on inspection and copying of documents (Appendix L). For local planning commissions working on areawide plans information will be provided without charge by the Agency to assist those commissions in their planning activities. Most NPDES documents will be available for public inspection and copying both at the regional offices and at the Agency's Springfield headquarters.

## THERMAL DISCHARGES

A special provision to implement 40 CFR Part 122, Thermal Discharges, which sets forth the procedure prescribed by Section 316(a) of the FWPCA, is contained in Rule 410(c) of Chapter 3. Rule 410(c) allows the Board to determine that an alternative thermal standard, other than that found in 40 CFR Part 122 and Chapter 3, should apply to a particular thermal discharge.

The concept of reviewing the effect of a thermal discharge on a receiving stream is not a recent addition to the Board's Water Pollution Regulations. Rule 203(i)(5), which became effective on April 7, 1972, requires that owners or operators of a source of heated effluent which discharges 0.5 billion BTU per hour or more demonstrate in a hearing before the Board that the discharge from that source has not caused and cannot reasonably be expected to cause a significant ecological damage to the receiving waters. Upon failure to prove the above, the Board will order that appropriate corrective measures shall be taken. The Agency proposes that the demonstration requirements found in 40 CFR Part 122 and the supporting technical documents be utilized in the determination of an alternative thermal standard pursuant to Rule 410(c) and Rule 203(i)(5).

## III.

## MONITORING COMPLIANCE WITH PERMIT CONDITIONS

The objectives of the Illinois NPDES permit compliance monitoring program are (1) to insure that all dischargers or potential dischargers to the waters and boundary waters of the State are in compliance with all applicable state and federal laws, statutes, and regulations, and with the conditions established by the discharger's NPDES permit; and (2) to communicate with the dischargers, to explain and clarify the monitoring and reporting conditions of NPDES permits and the compliance requirements of state and federal statutes, and to provide technical assistance to dischargers through training and certification programs for wastewater treatment plant operators.

A Compliance Monitoring Unit will be established within the Division of Water Pollution Control Field Operations Section. This unit will be located in the Springfield office. It will be responsible for evaluating and tracking discharge monitoring reports, compliance schedule reports, and industrial users and pretreatment reports from dischargers. Notices to dischargers who have failed to adequately report or dischargers who have reported violations will originate from the Compliance Monitoring Unit. Whenever feasible from an economic standpoint, telephone calls will be utilized to remind dischargers of reporting requirements. The Compliance Monitoring Unit will be the repository of all reports required by NPDES permits. For purposes of carrying out its duties of sorting and screening reports and contacting dischargers regarding reports, the Compliance Monitoring Unit will maintain necessary records, work sheets, files and logs.

The Illinois NPDES compliance monitoring program will utilize as input information obtained from six sources: (a) public monitoring (citizen complaints); (b) the discharger's self-monitoring activities and reports; (c) Agency data as derived from Agency grant, permit and enforcement activities; (d) Agency monitoring of central files and records; (e) contacts with treatment plant operators in training and certification activities; and (f) Agency monitoring of chemical and biological parameters through field surveillance.

#### Public Monitoring

Public monitoring of NPDES permit holders is conducted both by those living in the immediate vicinity of the discharger and by environmental organizations. These two groups report their findings to the Agency and to other administrative agencies through citizen complaints.

Citizen complaints received or referred to the Agency are recorded and, if initial review indicates a complaint of substance, the complaint is sent for investigation to the supervisor of the appropriate Agency regional field office. (A list of the regional supervisors, including addresses and telephone numbers, and a map showing the territories covered by each of the regional offices, appears on page 30 of this submission.) The same procedure is followed for written complaints, telephone calls, and personal visits by complainants.

On occasion, the citizen complaints are received directly by a regional office. These complaints are recorded in the regional office.

ILLINOIS ENVIRONMENTAL PROTECTION AGENCY (EPA)

DIVISION OF WATER POLLUTION CONTROL (DWPC)

REGIONAL OFFICES

Region 1

Mr. Harris Chien, Manager  
Illinois EPA-DWPC Region 1  
4302 North Main Street  
Rockford, Illinois 61103

Region 2

Mr. Benn Leland, Manager  
Illinois EPA-DWPC Region 2C  
1701 First Avenue  
Maywood, Illinois 60153  
(Tel. 312/345-9780)

*Mr. Ed. Blawie after 8-1-97*

Region 3C

Mr. Kenneth Baumann, Supervisor  
Illinois EPA-DWPC Region 3C  
2125 So. First Street  
Champaign, Ill. 61820  
(Tel. 217/333-8361)

Region 3P

Mr. Kenneth Merideth, Supervisor  
Illinois EPA-DWPC Region 3P  
5415 North University  
Peoria, Illinois 61614  
(Tel. 309/691-2200)

Region 3S

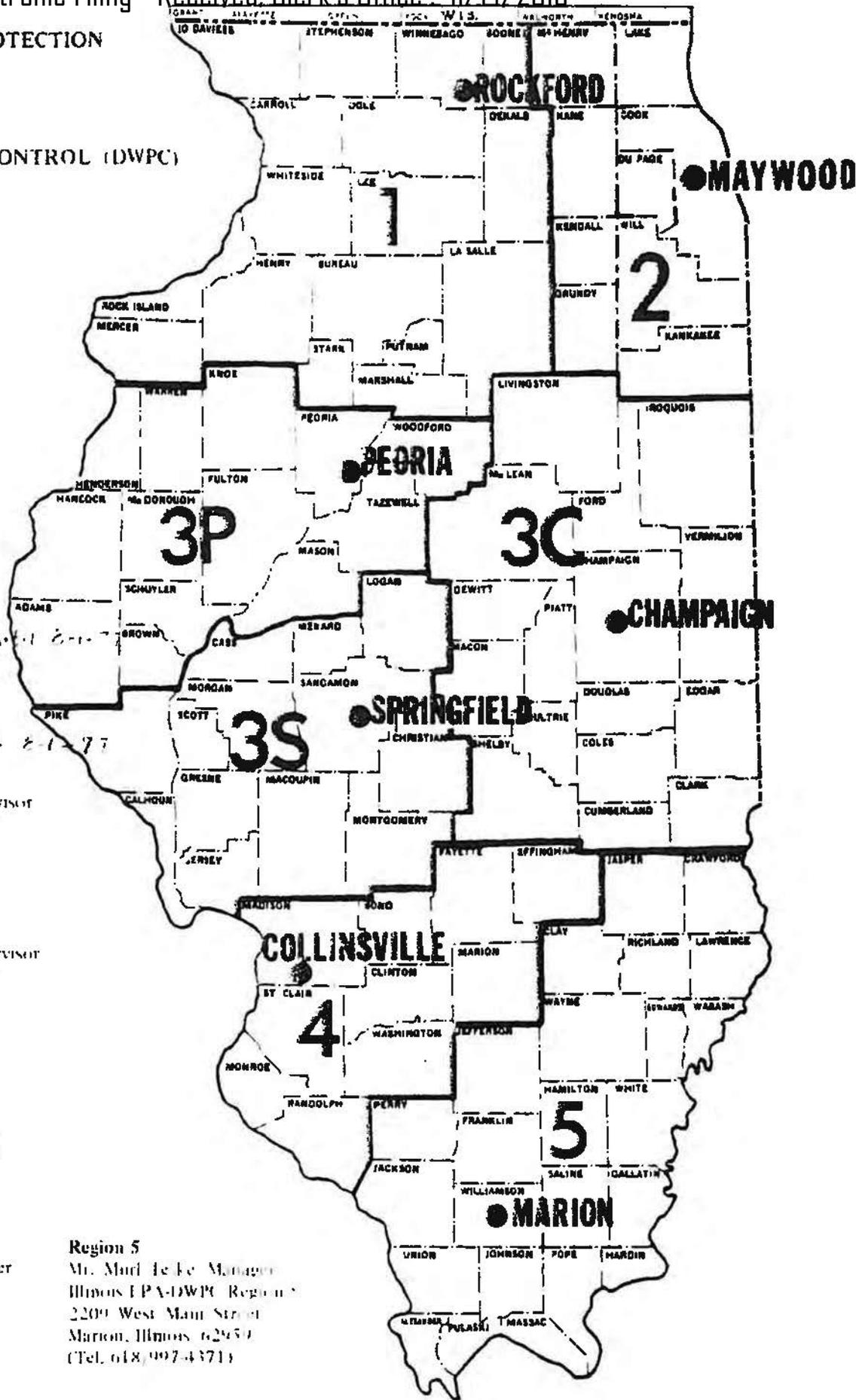
Mr. John Fornaris, Manager  
Illinois EPA-DWPC Region 3S  
4500 South Sixth Street Road  
Springfield, Illinois 62706  
(Tel. 217-786-6892)

Region 4

Mr. Robert Schlegel, Manager  
Illinois EPA-DWPC Region 4  
117 West Main Street  
Collinsville, Illinois 62234  
(Tel. 618/345-6220)

Region 5

Mr. Murl Leke, Manager  
Illinois EPA-DWPC Region 5  
2200 West Main Street  
Marion, Illinois 62959  
(Tel. 618/997-4371)



ILLINOIS ENVIRONMENTAL PROTECTION AGENCY

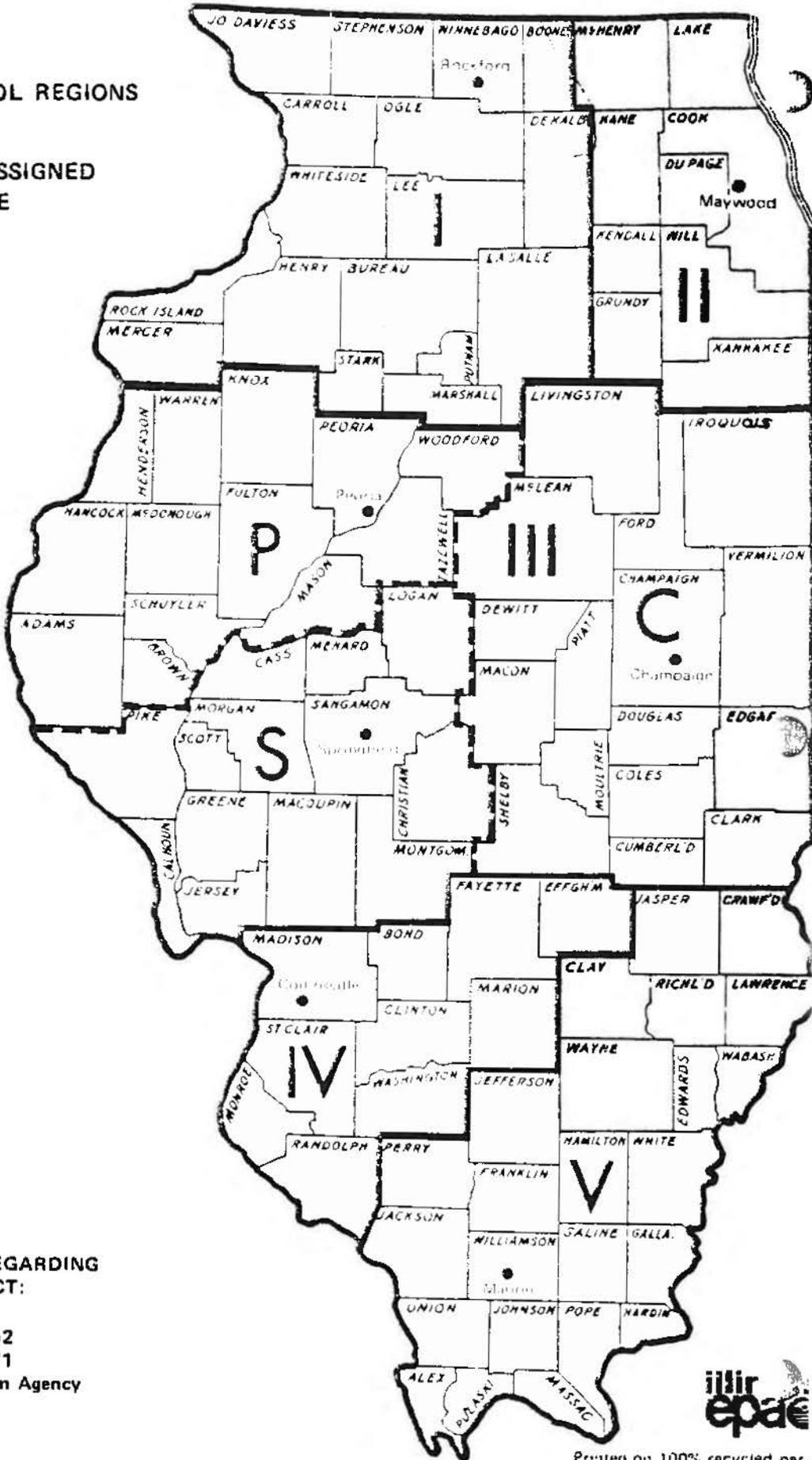
WATER POLLUTION CONTROL REGIONS

SURVEILLANCE PERSONS ASSIGNED DUTY AS LIVESTOCK WASTE MANAGEMENT PERSONNEL

- I Ron Mills  
4302 North Main Street  
Rockford, Illinois 61103  
Phone: 815-987-7576
- II Robert C. Taylor  
1205 Intercontinental Center  
1701 First Avenue  
Maywood, Illinois 60153  
Phone: 312-345-9780
- IIIP Lyle A. Ray  
5415 North University  
Peoria, Illinois 61614  
Phone: 309-691-2200 Ext. 564
- IIIS Bruce Goff  
4500 South 6th Street Road  
Springfield, Illinois 62706  
Phone: 217-786-6892
- IIIC Richard A. Ryczek  
2125 South First Street  
Champaign, Illinois 61820  
Phone: 217-333-8361
- IV Kenneth F. Hammer  
117 West Main Street  
Collinsville, Illinois 62234  
Phone: 618-345-6220
- V Byron Marks  
2209 West Main Street  
Marion, Illinois 62959  
Phone: 618-997-4371

FOR GENERAL INFORMATION REGARDING FEEDLOT REGULATIONS CONTACT:

James F. Frank – 217/782-2752  
 Ron Elliott – 217/782-6171  
 Illinois Environmental Protection Agency  
 2200 Churchill Road  
 Springfield, Illinois 62706



Investigation of citizen complaints against NPDES permittees is conducted in the same manner as investigation initiated by the Agency. The type of investigation is determined by the regional supervisor. In general, if a periodic full compliance monitoring inspection has been recently completed or is not scheduled for some time to come, a reconnaissance survey will be conducted to check on the specific complaint.

The investigations conducted in response to citizen complaints are focused on the quality of the effluent and its compliance with the NPDES permit and on the status of the improvements, if any, required by the NPDES compliance schedule. The results of the investigation are recorded and utilized in a report or response to the complainant.

The handling of apparent violations which may be uncovered during investigations of citizen complaints is in accord with the Agency's NPDES enforcement program. (See Section IV). In general, following the inspection, an informal letter and a copy of the inspection report are sent to the discharger. If a periodic compliance monitoring inspection has recently been completed, the report of that inspection will also be included. If the letter reports an apparent violation, Form IA or IB will be used (Appendix K).

#### Self-Monitoring by the Discharger

Discharger self-monitoring will consist of three elements:

1. The discharge monitoring report (DMR),
2. The compliance schedule report, and
3. Any other special reports which may be required by the terms of the permit.

## Electronic Filing - Received, Clerk's Office : 11/14/2016

The discharge monitoring report is completed by the discharger and is based on its measurement of flow and laboratory analyses of effluent, as required by its NPDES permit. The report is submitted on forms (USEPA Form E-40, or as revised) furnished by the Agency. For most dischargers, these reports are to be compiled monthly and mailed quarterly to the Compliance Monitoring Unit and to the USEPA regional office. For the USEPA-Agency agreed list of major dischargers, the permit will require that these reports be mailed monthly to the Agency's Compliance Monitoring Unit in Springfield and quarterly to the USEPA, so that prompt action may be taken on reported violations. This agreed list appears in each year's Program Plan and the current list appears in Appendix G.

The DMRs are reviewed for accuracy and reliability, and for indication of violations. Violations are detected through comparison of the report with a master file for each discharge of NPDES conditions and effluent requirements. A separate master file of violations reported in DMR's serves as a record of the reports until electronic data processing (EDP) support becomes available. It will provide a brief historical summary of instances of noncompliance with measured parameters. It will be kept for the period of time required by the federal law and is available for public inspection.

When EDP support becomes available, the reports will be keypunched at least quarterly and returned to the regional office for filing. The EDP system will be used to generate quarterly noncompliance reports to the USEPA, for managerial control purposes, and to allow follow-up in cases of missing or inadequate DMR's. Until EDP is available, a manual system will be used for these purposes.

Whether a manual system or an automated system is in use, a form letter (compliance inquiry) will be the normal first step used to investigate and resolve instances of DMR non-reporting by dischargers or the reporting to the permittee of effluent discharge violations noted in the DMR's or both. If a compliance monitoring survey is required, one is made by regional staff. These visits also may be used to give instruction on DMR reporting mechanics, laboratory analyses, or operation of the plant itself.

Review of DMR's from the list of major dischargers will be given special attention. These dischargers will be required to submit monthly rather than quarterly reports, and this requirement will be included as a condition of the permit. These DMR's will be evaluated monthly upon receipt and if an apparent violation is found, either an inquiry letter will be mailed within five days of discovery of the violation; or, if there have been other violations, other appropriate enforcement action will be taken in accordance with the procedures described in Part IV of this submission and Appendix E (the Memorandum of Agreement).

When DMR's are not received from a discharger when due, Form 1-C (Appendix K) (request for DMR) will be sent. When a DMR has been received, and the Agency decides to notify the discharger of noncompliance indicated in the report, Form 1-1 (Appendix K) may be sent. Any DMR may be transmitted from the central office to the regional office with a request for an investigation prior to enforcement action.

## Electronic Filing - Received, Clerk's Office : 11/14/2016

Discharger reports of compliance with permit compliance schedules are sent to the Agency's Springfield office. The regional office serves as a second information source, to confirm the accuracy of discharger reports, and as an investigative arm.

Compliance schedule reports sent to the Agency are reviewed for completeness and for possible violations. If the report is incomplete, an inquiry letter is sent to the discharger in order to complete the report and to ascertain reasons for the incompleteness. A copy of the inquiry is sent to the regional office for addition to the regional master file on violations.

Agency Data

The Agency's Division of Water Pollution Control's Grants and Permits Sections and the Enforcement Programs Division maintain contact with the Compliance Monitoring Unit through the copying or forwarding of data pertaining to compliance schedules.

Agency Monitoring of Central Files

The Compliance Monitoring Unit has established a manual tickler file, in which compliance schedule requirements for each permit are entered as received. From this file a monthly report of compliance schedule status is prepared for use in sending out inquiries in case of missing or inadequate compliance schedule reports, or in taking further enforcement action, in accordance with the procedures described in Part IV, Enforcement.

The Permits Section will include with new NPDES permits a package of preprinted compliance schedule event reporting forms which

the permittee will complete and return as required by the permit. Copies of the completed forms returned by permittees will be kept in Springfield and in the appropriate regional offices.

If the report shows an apparent violation, a compliance inquiry (Form 1-E, Appendix K) is usually sent to determine the reason. More stringent enforcement may of course be taken. If an adequate explanation is not provided in response to the compliance inquiry, Form 1-F may be sent or Enforcement Programs is notified so that further enforcement steps may be taken. Enforcement is coordinated with the regional supervisors to enable concurrent action on all NPDES permit violations, some of which may not be apparent from the reporting systems.

#### Training and Certification Activities

The Operator Certification and Training Unit maintains operator certification files which are utilized to monitor both the individual operator's certification status and the certification status at wastewater treatment facilities. Although records are updated on an ongoing routine basis, the files are audited periodically by direct contact with the operator or treatment facility.

The Unit coordinates and cooperates with field personnel in monitoring and enforcement activities. The Grants Section is also informed of the certification status at specific treatment facilities to the extent that information applies to final grant inspections and payments.

Through coordination of certification records and workforce analysis activities, the development and administration of certification examinations, the evaluation of certification procedural rules and policies, and the development and carrying out of training activities are facilitated.

Agency Compliance Monitoring

The Agency's own activities in monitoring of compliance include water quality monitoring, effluent monitoring, and facilities inspections.

Water quality is monitored for chemical, biological, and physical characteristics of the waters through the use of 226 fixed monitoring stations. Biological surveys are also used for this purpose. The biological surveys are generally of an investigative nature with locations selected to support an enforcement activity or to verify chemical data and to measure the results of treatment improvements. To the extent that they are available and helpful, biological surveys may also be used in support of basin planning activities.

The fixed station network is maintained by the Field Operations Section of the DWPC. The stations are usually sampled monthly by technicians. The samples are collected and analyzed in Agency laboratories with the results of the analyses reported to the regional offices. Regional staff review the data for compliance with water quality standards. They may in this way detect changes which can be attributed to a specific discharger. When water quality degradation appears which can be traced to an identifiable discharger, additional investigation, such as a reconnaissance survey of the facility, may be conducted.

The biological surveys, while generally conducted for purpose of water quality monitoring, may occasionally result in detection of violations for specific discharges. Violations noted through biological surveys are subject to enforcement procedures in the same manner as other violations.

The Agency also operates an effluent sampling program to supplement the water quality monitoring program. The samples of effluent from principal pollutant point source discharges are collected and reviewed together with DMR's. Occasionally samples collected by a discharger will be split and analyzed concurrently by the discharger and the Agency.

The Agency operates four laboratories -- in Chicago, Champaign, Springfield, and Marion -- at which effluent and water quality samples are analyzed in accordance with the procedures mandated by 40 CFR 136 and any amendments adopted pursuant to Section 304 (g) of Public Law 92-500.

Agency laboratory procedures include comprehensive quality assurance and quality control programs, including the use of spike samples, split-sample analyses with USEPA and discharger laboratories, and other procedures. Special care is taken to maintain tight control over sample identities and chain of custody so that problems are not encountered in use of laboratory results in enforcement.

The reports of analyses are submitted to the regional supervisors for review and included in reports made to dischargers and responses to citizen complaints, as well as for enforcement. By comparing the laboratory data with effluent requirements as stated in the NPDES permits, detection of effluent violations and the triggering of further compliance activity are possible.

The Agency believes that all violations shown on self-monitoring reports should be subject to enforcement action. However, the facility inspection is also an important monitoring tool leading

to enforcement action. The facility inspection may be of two types, the reconnaissance survey and the complete compliance monitoring inspection.

The reconnaissance survey is conducted for a specific purpose: to review a specific problem which may have been detected by effluent monitoring, citizen complaint, or water quality monitoring, or by request of the discharger. Requests from the discharger often result in the Agency's providing specific recommendations to correct a violation.

The compliance monitoring inspection is a thorough inspection and review of the discharger's facility and includes a review of the Agency's effluent sampling data and the discharger's self-monitoring reports, and a complete engineering inspection of treatment units and waste handling systems.

Priorities for conducting facility inspections and for determining the necessity for enforcement surveys are set annually during the program plan process, as required by 40 CFR 35, subpart B.

The reconnaissance survey is utilized to provide continuing communication with dischargers during periods between compliance monitoring inspections. Major emphasis is on determining compliance with the discharger's NPDES permit or to determine, in some cases, whether the discharger holds or has applied for an NPDES permit.

The results of all surveys are reported to the discharger. If the reports show an apparent violation, a compliance inquiry is sent (Form I-A or I-B, Appendix K). The report may also include Agency recommendations and other information.

The information describing compliance or noncompliance with NPDES requirements which is obtained from facility inspections is recorded on a compliance status report and reviewed by the regional supervisor and an Agency technical advisor at the monthly enforcement conference as described in Section IV.

The conduct of compliance monitoring inspections is a coordinated effort with USEPA in Chicago so that the resources available in that office may be utilized to gain the best possible coverage of Illinois facilities. To this end, a standard inspection report form will be utilized when conducting these surveys. Survey reports are exchanged between USEPA in Chicago and the Agency as the surveys are conducted. Agency copies of inspection reports are kept on file both in the appropriate regional office and in Springfield.

Compliance status reports are prepared monthly and quarterly by Regions in cooperation with technical advisors, as described in Part IV. The quarterly report includes information such as the status of major dischargers currently in violation of any discharge limits or schedule dates, bypass notifications, industrial users and pretreatment reports, as well as other required information. The quarterly report is to be assembled and forwarded to the Compliance Monitoring Unit office by the 12th of the month following the end of the quarter; and to USEPA, Region V, by the 20th of the month.

## ENFORCEMENT OF NPDES PERMIT REQUIREMENTS

The Illinois NPDES enforcement program will ensure that all discharges to the waters of the State comply with all terms and conditions of NPDES permits issued to the dischargers and with all applicable state and Federal statutes and regulations.

Section 12(f) of the Illinois Environmental Protection Act prohibits any person from causing, threatening or allowing the discharge of any contaminant into the waters of the State without an NPDES permit or in violation of any term or condition of such permit. Section 12(f) also provides that an NPDES permit issued by the Administrator of the USEPA is deemed to be a permit issued by the Agency. It is the intent of the Agency that all violations of permit conditions be pursued with an appropriate enforcement remedy until compliance is achieved.

The organizational elements of the Illinois NPDES permit enforcement program include the Field Operations Section of the Division of Water Pollution Control, the Enforcement Programs Division and the Office of the Attorney General.

The regional managers of the Field Operations Section are responsible for initial informal contacts where apparent violations are discovered resulting from inspections. Such action will normally consist of sending a compliance inquiry to the discharger (See Forms 1A-1F, Appendix K) and determining the adequacy of the response.

If the regional manager receives no response to a compliance inquiry within the specified time limit, if the response is in any way unsatisfactory, or if a compliance inquiry is inappropriate, a staff member of the Enforcement Programs Division, in cooperation with the regional manager, determines the nature of further action to be taken. This staff person is referred to as a technical advisor under the Personnel Code of the State of Illinois. In all cases these "technical advisors" are attorneys licensed to practice law in Illinois.

The Enforcement Programs Division includes eight technical advisors as well as clerical staff assigned to support the Division of Water Pollution Control. Of this staff, five are assigned specifically as advisors to the five regional managers. A compliance status report (Form 2, Appendix K) is prepared monthly at each regional Office, and is reviewed at a monthly meeting between the regional manager, his staff, and the technical advisor assigned to the region. These meetings are held at the regional offices where complete files on each discharger's history are available.

At the monthly regional conference, violations, including DMR violations and violations of compliance schedules, will be considered for referral for legal action in accordance with established priorities and available resources.

If further investigation is necessary or desirable to establish the existence or extent of a violation, the technical advisor provides definite guidance on the information to be obtained.

At the conclusion of the monthly regional enforcement meeting, the regional manager completes the monthly compliance status report to show the actions undertaken. Copies of this report are maintained in Springfield headquarters and reviewed regularly by the manager of Enforcement Programs and the division manager.

As soon as a decision is reached to take enforcement action, the Enforcement Programs Division opens an Agency enforcement file and assigns the file to an Agency technical advisor who is usually assigned to the region in which the violation occurred.

The technical advisor supervises any additional investigations which may be conducted to improve the quality of proof of the violations being charged or to secure proof of continuing or additional violations.

In order to assure management control over the NPDES permit enforcement program, the Enforcement Programs Division prepares a monthly report of the enforcement status of all violators against which enforcement action is being taken.

The monthly compliance status report and the monthly enforcement status report, as well as the quarterly report of violations prepared by the Agency pursuant to 40 CFR 124.44 (c) and PCB Rule 910 (a)(5), are public documents. The compliance status reports will be available for public inspection in the Agency's Springfield headquarters and in the originating regional offices; the enforcement status reports are available from the Enforcement Programs Division in Springfield and Maywood; the quarterly report will be available for public inspection in the Agency's Springfield and Chicago offices.

On determination that an enforcement case should be prosecuted, the case file is presented to the Manager, Enforcement Programs Division for review and approval by the Director. After approval, the enforcement case is referred to the Chief of the Environmental Control Division of the Illinois Attorney General's office, who assigns an assistant attorney general to prosecute the case.

If the Agency and the assistant attorney general agree that the evidence is sufficient to sustain the alleged violations, a complaint is drafted, approved by the Director, and filed. The Attorney General's office represents the Agency in all subsequent litigation in the matter.

If a permittee requests modification of the terms or conditions of a permit (including a schedule of compliance), the Agency may make such a modification within the limits established by applicable state and federal statutes and regulations. Modification of a schedule of compliance must be consistent with Rule 913 of the Board's regulations, which limits the total of any extension or series of extensions of a schedule of compliance to 90 days beyond the original final compliance date. Further extensions require a variance from the Board, as stated in Rule 914 of Chapter 3 of the Board's regulations.

If a discharger seeks modification of a permit condition which would require an exemption from Board-adopted regulations (other than compliance dates) a variance is also required and can be granted only within the limits of the applicable federal law and regulations (Rule 914).

## Electronic Filing - Received, Clerk's Office : 11/14/2016

Variances will be granted by the Board, pursuant to Title 9 of the Environmental Protection Act, only on the basis of arbitrary or unreasonable hardship, and the burden of proof rests with the petitioner for variance. The procedures governing variance proceedings appear in Part IV of the Board's Procedural Rules (Appendix B of this submission). Until the Administrator waives his right of review of NPDES permits in Illinois, as provided in Section 402 (e) of the FWPCA, an NPDES permit which is modified as the result of a Board order in a variance proceeding will be subject to review by USEPA, as provided by Section 402(d) of the FWPCA.

In all cases in which the discharger proposes a permit modification which requires a variance, if the discharger and the Agency can arrive at an agreement on an appropriate modification, that agreement will be presented to the Board either in the Agency's recommendation or in a proposed agreed order. The "agreed order" approach may also be used if a permit is to be modified as the result of the decision in an enforcement proceeding.

Permit modifications not requiring Board orders (e.g., extensions of schedules of compliance for less than 90 days, or monitoring, sampling or reporting requirements) may be made by Agency action. In such cases, the appropriate regional manager, assigned technical advisor, and Permit Section engineer will participate in the decision. The Agency will issue public notice and reissue the modified permit as if it were a new permit.

Until the Regional Administrator waives his right to object to the issuance of permits in Illinois, in accordance with Section 401(d) (3) of the Federal Water Pollution Control Act Amendments of 1972, proposed modified permits will be submitted to USEPA before issuance, in accordance with the regulations and the Memorandum of Agreement (Appendix E).

There are special statutory provisions in the Environmental Protection Act which provide additional remedies in the case of violations which may result in "circumstances of substantial danger to the environment or to the public health of persons or to the welfare of persons where such danger is to the livelihood of such persons." (Section 43).

In such cases, the Act provides that the State's Attorney or the Attorney General, upon request of the Agency or on his own motion, may institute a civil action for an immediate injunction to halt the discharge or other activity causing or contributing to the danger or to require such other action as may be necessary.

Cases of this type will be referred to the Attorney General's office without regard to the procedural steps described in this submission. Telephone clearances and approvals will be made, in accordance with the Agency's emergency procedures, which have been approved by USEPA as part of the Agency's water pollution control program plan as required by Section 106(a)(2) of the FWPCA. The Region V office of USEPA will also be promptly notified of all such actions so that it may take action under Section 504 of the FWPCA.

In addition to the injunctive relief provided by Section 13 of the Act, the Agency possesses the authority, under Section 34 of the Act, to seal any equipment or facility which is contributing to an emergency condition which creates an immediate danger to health. Provision is made for a hearing before a Board member and a qualified hearing officer, or for injunctive relief to determine whether the seal should be removed.

The Agency's Division of Water Pollution Control has not found it necessary to utilize the provisions of Section 34 and does not anticipate doing so in the course of administering the NPDES program. However, no problems are expected if circumstances should arise in which a Section 34 seal is the appropriate remedy.

The Agency, in cooperation with representatives of Region V, has developed a system of enforcement priorities which will enable it to carry out an enforcement program with the maximum environmental impact and deterrent effect possible with the resources at its command.

1. Those which cause imminent danger to public health;
2. Major dischargers (those which appear on the list in Appendix C) which do not meet the compliance schedules included in their permits or do not provide progress reports as required;
3. Major dischargers which do not meet the effluent limitations (either interim or final limitations) which are included in their permits or do not provide self-monitoring reports as required;

4. Other significant dischargers (those in the size range immediately below the major dischargers or those with problem discharges) which do not meet or properly report on compliance schedule requirements;
5. Minor dischargers which do not meet effluent limitations or provide self-monitoring reports as required;
6. All others.

An effective enforcement tool against municipal dischargers that will augment WPDES compliance activities is the sanitary sewer extension ban. Authority to impose sanitary sewer extension restrictions is provided by Rule 604 of Chapter 3 of the Board's Regulations.

Under this rule, the Agency imposes partial or complete restriction on new sewer extensions in a community when the publicly owned treatment works approaches or exceeds its design capacity. The Agency may also impose sewer extension restrictions on a publicly owned treatment works if its effluent does not meet applicable limits even though it may have not reached its design capacity.

All of the organizational elements are in place to carry out the described enforcement program, and the funding expected from State appropriations as augmented by federal grants is expected to be fully adequate to do so.

## V.

## FUNDING AND MANPOWER

Table 1, page 49, sets forth the resources and manpower which the Agency proposes to use to administer the NPDES program during a twelve month period. Table 2, page 51, details the 1978 budget (by line item) of the Division of Water Pollution Control, which has the major responsibility for the program.

During the initial shakedown of the administration of this program some of the manpower requirements will be provided by contractual help. The number of people to be on contract is not included in this budget analysis.

Table 3, page 52, details the number of positions, personnel code classifications, and estimated time of each person, for each position with NPDES related responsibilities. Further details concerning the qualifications requested for each position title are set forth in Appendix I.

TABLE 1

## FY 78 BUDGET FOR NPDES PROGRAM ADMINISTRATION

<u>Cost</u>	<u>Permanent Headcount</u>	<u>Budget Amount</u>
<u>Division Direct</u>		
Field Operations Section	50.3	\$1,012,019
Permits Section	15.7	302,082
Variance Section	4.65	103,102
Enforcement	9.66	190,215
<u>Division Indirect</u>		
Division Manager's Office (including Records Unit)	6.0	220,328
Word Processing	2.0	28,655
<u>Division Total</u>	98.9	1,856,401
Federal Funds		869,661
General Revenue Funds		986,740
<u>Other Agency Direct</u>		
Division of Laboratory Services	24.1	570,360
Division of Information Systems	5.9	51,200
Office of the Manager of Environmental Programs	.05	6,000

Agency Indirect

Computed at a rate of 15.5%

385,013

Agency Total

32,868,974

Table 2

## Division of Water Pollution Control

## Line Item Summary

	State General Revenue Funds	Federal 106 Grant Funds	Total
Personnel Services and Fringe Benefits	\$762,685	\$697,621	\$1,460,306
Contractual	86,728*	165,131	251,859
Travel	64,563	-	64,563
Commodities	3,395	4,252	13,147
Equipment	2,500	2,657	5,157
Operation of Auto Equipment	10,974	-	10,974
Telecommunications	50,395	-	50,395
Total	\$986,740	\$869,661	\$1,856,401

\* Includes occupancy charges

Other state agencies and branches of government have budgeted funds and effort to support the water pollution control effort and therefore support the NPDES program administration. The major contributing agencies or branches of government include the Attorney General's Office, the Pollution Control Board, the Institute for Environmental Quality, the Department of Mines and Minerals, the Department of Registration and Education, and the Department of Public Health. The amount of funds contributed by these governmental bodies toward the administration of an NPDES program cannot be readily or accurately determined; therefore, no representation is made in this application. Suffice it to state that their contribution is significant and continuous.

Table 3

NPDES Staffing

<u>Section</u>	<u>Pos. Title</u>	<u>No. of Positions Involved</u>	<u>No. of Man-Yrs Devoted to NPDES</u>
Mgrs. Office	EME I	1	.4
	EPE V	1	
	EPE IV	1	.6
	EPS IV	1	
	EPA	1	1.0
	Adm. Clerk	1	.2
	Clerk V	2	1.0
	Sec. I	1	.4
	CS III	1	.4
	CT III	1	1.0
	Clk III	2	.5
	Clk II	1	<u>.5</u>
			6.0
Var. & Tech.	EPE V	1	.85
Analysis	EPE IV	1	.1
	EPE III	2	2.0
	EPS III	1	1.0
	CT III	<u>1</u>	<u>.7</u>
		6	4.65

Enforcement	TA IV	2		1.35	
	TA III	2		3.2	
	TA II	2		2.1	
	TA I	1		1.0	
	LI II	2		.33	
	OS III	1		1.0	
	OS II	1		<u>1.0</u>	
				9.66	
Field	EPE VI	3		1.631	1.6
Operations	EPE V	6		3.311	3.3
	EPE IV	3		2.534	2.5
	EPE III	9		4.816	4.8
	EPE II	6		3.612	3.6
	EPE I	4		2.408	2.4
	EPS IV	1		1.0	1.0
	EPS III	3		3.408	3.4
	EPS II	3		2.408	2.4
	EPS I	3		2.408	2.4
	EPT II	6		4.18	4.2
	EPT I	3		3.35	3.4
	EPA	1		1.204	1.2
	AB IV	1			

AB III	2	-	
AB II	3	-	
AA I	1	.427	.4
Clerk IV	1	-	
CS III	6	3.437	3.4
CS II	2	1.204	1.2
CT III	4	2.806	2.8
CT II	6	3.408	3.4

<u>Section</u>	<u>Pos. Title</u>	<u>Nc. of Pos. Involved</u>	<u>Denoted NPDES</u>
Permits	EPE VI	1	.6
	EPE IV	3	2.95
	EPE III	3	2.68
	EPE II	6	2.95
	EPE I	6	2.94
	CS III	1	.6
	CT II	5	<u>3.0</u>
			15.7
Admin.	Clk V	1	1.0
Support			
Word	Corresp.	1	1.2
Processing	CT III	1	<u>.4</u>
			2.6
Unclassified			
Personnel			
brought from			
other Sections			2.3
			<u>2.9</u>
Total Staff			50.3

EME: Environmental Management Engineer  
EPE: Environmental Protection Engineer  
EPA: Environmental Protection Assistant  
CS: Clerk Stenographer  
CT: Clerk Typist  
EPS: Environmental Protection Specialist  
TA: Technical Advisor (at former)  
LI: Legal Investigator (paralegal)  
EPT: Environmental Protection Technician  
AB: Aquatic Biologist  
AA: Administrative Assistant

**ADDITIONAL  
ATTACHMENTS REMOVED**